

PROGRAMMING OF THE FETAL HEMATOPOIETIC STEM AND
PROGENITOR CELL COMPARTMENT

By

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List of Abbreviations

1°, primary
2°, secondary
4-HNE, 4-hydroxynonenal
8-oxoG, 8-oxoguanine
AF488, Alexa Fluor 488
AGM, aorta-gonado-mesonephros
Aldehyde dehydrogenase 2
AML, acute myeloid leukemia
ASL, AA4.1⁺ Sca-1⁺ Lin^{low/-}
ATP, adenosine triphosphate
BER, base excision repair
BM, bone marrow
CBC, complete blood count
CFU-C, colony forming unit cell
cGy, centigray
Co-IP, co-immunoprecipitation
CpG, cytosine-guanine bases
DIO, diet-induced obesity
DNA, deoxyribonucleic acid
DNA-PKcs, DNA-dependent protein kinase catalytic subunit
DOHaD, Developmental Origins of Health and Disease
dpc, days post coitum
DR, diet reversal
DSB, double strand break
ESC, embryonic stem cell
FA, Fanconi anemia
FAAP20, Fanconi anemia-associated protein of 20 kDa
FAAP24, Fanconi anemia-associated protein of 24 kDa
FAAP100, Fanconi anemia-associated protein of 100 kDa
FACS, fluorescence-activated cell sorting
FAN1, FANCD2/FANCI-associated nuclease 1
FANCA/Fanca, Fanconi anemia complementation group A
FANCB, Fanconi anemia complementation group B
FANCC/Fancc, Fanconi anemia complementation group C
FANCD1 (BRCA2), Fanconi anemia complementation group D1
FANCD2/Fancd2, Fanconi anemia complementation group D2
FANCD2^{Ub}, ubiquitinated Fanconi anemia complementation group D2
FANCE, Fanconi anemia complementation group E
FANCF, Fanconi anemia complementation group F
FANCG, Fanconi anemia complementation group G
FANCI, Fanconi anemia complementation group I
FANCI, Fanconi anemia complementation group J
FANCL, Fanconi anemia complementation group L
FANCM, Fanconi anemia complementation group M

FANCN (PALB2), Fanconi anemia complementation group N
 FANCO, Fanconi anemia complementation group O
 FANCP (SLX4), Fanconi anemia complementation group P
 FANCC (ERCC4), Fanconi anemia complementation group Q
 FAO, fatty acid oxidation
 fcd-2, yeast homolog of FANCD2
 FL, fetal liver
 γH2AX, gamma-H2A histone family, member X
 GAPDH, glyceraldehyde 3-phosphate dehydrogenase
 hESC, human embryonic stem cell
 HFD, high-fat diet
 Hmga2, high-mobility group A2
 HPC, hematopoietic progenitor cell
 HR, homologous recombination
 HSC, hematopoietic stem cell
 HSPC, hematopoietic stem and progenitor cells
 ICL, interstrand crosslink
 KO, knockout
 KS, c-Kit⁺ Sca-1⁺
 KSL, c-Kit⁺ Sca-1⁺ Lin^{low/-} or Lin⁻
 LPS, lipopolysaccharide
 LT-HSC, long-term hematopoietic stem cells
 MDA, malondialdehyde
 MDS, myelodysplastic syndrome
 MFI, median fluorescence intensity
 miRNA, microRNA
 MM-C, mitomycin-C
 mtDNA, mitochondrial DNA
 NAC, *N*-acetyl cysteine
 NADH, nicotinamide adenine dinucleotide (reduced form)
 NER, nucleotide excision repair
 NHEJ, non-homologous end-joining
 Ogg1, 8-oxoguanine glycosylase
 PAS, para-aortic splanchnopleure
 PCR, polymerase chain reaction
 PLT, platelet
 PPARδ, peroxisome proliferator-activated receptor delta
 qRT-PCR, quantitative real-time polymerase chain reaction
Rad51, Rad51 recombinase
 RBC, red blood cell
 ROS, reactive oxygen species
 SEM, standard error of the mean
 SLAM, signaling lymphocytic activation molecule markers (CD150⁺CD48⁻CD244⁻)
 TLS, translesion synthesis
 TNF-α, tumor necrosis factor-alpha
 UBE2T, ubiquitin-conjugating enzyme E2T

UV, ultraviolet

V(D)J, Variable, Diverse, Joining (refers to gene segments)

WBC, white blood cell

WT, wild type

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ABSTRACT

The role of prenatal development in programming adult cardiovascular and endocrine disease is increasingly well-characterized, and neurological programming has been a popular topic of research as well. However, despite rising pediatric hematological and immune disease rates, the potential contribution of developmental origins of disease in the blood system has been largely unexplored.

The most common cause of inherited bone marrow failure, usually diagnosed in childhood, is Fanconi anemia (FA), a recessive, multisystem syndrome. While the conventional assumption was that hematopoietic stem cells were lost from the bone marrow postnatally, precipitating bone marrow failure, evidence from *in vitro* studies suggests an earlier loss of stem cells. Using the *Fancc*-deficient mouse model of FA, we demonstrate a developmental deficit in the hematopoietic stem and progenitor cells (HSPC) of the liver, the main hematopoietic organ in the fetus, and a significant reduction in their serial repopulating capacity. While we did not detect increased apoptosis or elevation of inflammatory cytokines in the fetal liver, which are two culprits of the postnatal FA pathology, an increased proportion of *Fancc*^{-/-} fetal HSPCs were in G₁ phase of cell cycle, suggesting cell cycle arrest. We further corroborated the FA fetal liver defect in two other mouse models. As the FA pathway functions in coordination of DNA repair, we assayed HSPC-enriched populations for DNA damage response and found increased γH2AX nuclear

foci and upregulation of several genes involved in nonhomologous end-joining and homologous recombination. Taken together, this suggests that FA fetal liver HSPCs are susceptible to DNA damage in the absence of chemical or radiological induction and that the hematopoietic compartment is a target for congenital defects.

As an alternative approach to the study of developmental vulnerability, we then chose a non-genetic model to further test self-renewal of the HSPC pool. As in FA, DNA damage plays a role in the pathology arising from a high-fat diet (HFD), which drives oxidative stress. The spread of the Western diet, which is composed of an excess of fat, has been accompanied by an epidemic of obesity. Interestingly, epidemiologists have documented a concurrent rise in pediatric diseases involving the immune system, which is derived from HSPCs, and this, along with studies from animal models and patients, suggests a role for excess lipid intake and maternal obesity in the nutritional programming of the hematopoietic system. We investigated the previously unexplored roles of these factors in the developing blood system, using an established mouse model of overnutrition. Maternal obesity and HFD induced losses in fetal liver HSPCs and short-term repopulation defects. Gene expression studies revealed perturbations in several genes involved in DNA repair.

Finally, nutritional and genetic mechanisms of developmental origins of disease were assayed together in a study of FA mice programmed under a HFD.

Fancc^{-/-} fetal mice receiving a HFD appeared to be inhibited from the late gestational growth spurt observed in their wildtype littermates, and both fetal and juvenile mice had modest quantitative defects in the hematopoietic progenitor compartment.

Altogether, these studies reveal that HSPCs are programmable via both genetic and metabolic modification, highlighting an intrauterine vulnerability in hematopoietic cells and revealing a unique opportunity for preventative therapy.

CHAPTER 1: INTRODUCTION

Developmental Origins of Health and Disease

Beginning in the World War II era, England saw a disconcerting rise in adult coronary heart disease of unknown etiology, while in countries such as the United States, the disease rate had risen and then began a downward slide, despite a lack of association with lifestyle changes. It was not until more than a generation later, in the mid-1980s, when a correlation was uncovered that would spawn a new field of study.

Analyzing data from different parts of England and Wales, Barker and colleagues discovered a geographical association between neonatal mortality from 1921-25 and death from cardiovascular disease between 1968-1978. Low birthweight was a common cause of infant death at that time, which suggested a link between poor prenatal growth and adult cardiovascular disease¹. In a subsequent study, they used data collected by midwives and government health visitors from 1911-1930 in Hertfordshire, England, who had recorded birth weights, weights at one year of age, whether babies were breast- or bottle-fed, and mortality data, and analyzed it against cause of death data for the same individuals between 1951 and 1987. They found a striking correlation between low birth weight and postnatal growth with coronary artery disease. It turned out that war rationing further restricted the diet of the impoverished populations in the industrial and rural areas of Hertfordshire. Those districts had lower birth weights and growth rates in the first year of life, and infant mortality was higher than in more prosperous regions^{2,3}. The male infants who were lighter in weight at birth and

failed to thrive grew up to be men who were at increased risk of dying from coronary artery disease. This even impacted those who should have been at lowest risk based on levels of serum cholesterol, smoking, blood pressure, and early symptoms³. A follow-up study with living men who had been born in Hertfordshire suggested that fetal liver development may have been impaired in those who were growth-restricted⁴.

Hales and Barker later published the “thrifty phenotype” hypothesis with data from individuals in Hertfordshire and Preston, linking impaired glucose tolerance and type 2 diabetes to reduced birth weight and weight at one year⁵. They proposed that poor prenatal and infant nutrition impaired proper metabolism⁵. Smaller body size and altered metabolism were thought to be fetal adaptations for living on minimal nutrients. Later studies linked low birth weight, paired with large placenta weight, to adult hypertension⁶. Along with previous work on coronary artery disease, a compelling body of evidence had emerged for the fetal programming of adult chronic disease.

Since then, the Developmental Origins of Health and Disease (DOHaD) theory has evolved, which states that fetuses respond to environmental cues during critical windows of development to adapt to anticipated life outside the womb³. As a result, certain phenotypic characteristics and disease susceptibilities of children can be altered in a way that cannot be accounted for by genetics alone. Other than low birth weight, additional risk factors have been identified, including

adiposity of neonates, umbilical cord leptin concentration, high birth weight, maternal nutrition, and *in utero* exposure to diabetes mellitus^{7,8}.

Metabolic Programming and High-Fat Diet

Since the seminal studies in DOHaD were performed, an overweight and obesity epidemic has emerged in the United States and other countries that have adopted the contemporary Western-style high-fat diet (HFD). It is typically constituted by about one-third fat (or more), high in refined carbohydrates, low in fiber, and generally consists of an excess of processed foods and meat, with a lack of adequate fruits, vegetables, and whole grains^{9,10}. With it have come gains in body mass index, a sharp increase in type 2 diabetes, cardiovascular disease, dysfunction of the immune system, and increased rates of cancer. In the United States, more than half of women and approximately 2/3 of men 20-39 years of age are overweight or obese, and of those, about 1/3 are obese¹¹. Obesity is recognized as a state of chronic inflammation, which modulates the immune system, and excess dietary lipids have also been shown to increase inflammation and trigger the production of oxidative radicals.

Recent research in DOHaD has uncovered a concerning role for maternal obesity and prenatal high-fat diet in the programming of cardiovascular disease, type 2 diabetes, and immune defects, including asthma and allergy¹²⁻¹⁹.

Genetic Programming

The intricate temporal and spatial regulation of gene expression during development can be modified not only by exogenous, nutritional means, but also by endogenous genetic factors. One such example is in folic acid metabolism. Dietary folic acid deficiency during early embryogenesis results in failed neural tube closure and spina bifida, the incidence of which has been greatly decreased by supplementation of cereals, breads, and other foods. However, spina bifida can also be caused by a loss in function of the folic acid metabolizing enzyme or in a carrier protein, preventing folic acid from being utilized and simulating a nutritional deficiency^{20,21}. Interestingly, with extra folic acid supplementation, this genetic defect can be partially overcome, and in the case of folic acid carrier protein deficiency, was demonstrated to rescue mice from embryonic lethality²¹. Thus, a unique therapeutic opportunity during prenatal development may be taken advantage of in order to ameliorate the impact of other genetic conditions with a developmental component to their pathology.

Fanconi Anemia

Defects of the musculoskeletal system representing failure of proper development are among the many symptoms of Fanconi anemia (FA), a rare, recessive, multisystem syndrome caused by mutation in one of at least 16 known genes, for which the carrier rate is estimated to be 1:181 in the United States^{22,23}. The most common cause of morbidity and mortality, however, is bone marrow failure. This is characterized by cytopenia due to a deficiency in functional

hematopoietic stem and progenitor cells, ultimately resulting in infection, anemia, and/or bleeding from an insufficient number of neutrophils, erythrocytes, or platelets, respectively. The median age of onset for bone marrow failure is 7 years and it affects 90 percent of FA patients by age 40²⁴. Improvements in therapies and supportive care have boosted the life expectancy of patients from 19 years of age in the 1980s to 30 years in 2000²⁵.

In addition to hematopoietic abnormalities, FA includes a variety of signs and symptoms: skeletal defects, especially in radial and craniofacial formation, small stature, learning disabilities, defects in the cardiac, endocrine, reproductive system, kidney, and gastrointestinal systems, café au lait markings on the skin, infection with human papillomavirus, and an increased susceptibility to cancer, including acute myelogenous leukemia (AML) or myelodysplastic syndrome (MDS)^{26,27}.

Aplastic anemia and physical anomalies were present in the three brothers who were first diagnosed in Switzerland in 1927, by the pediatrician Guido Fanconi, after whom the condition would come to be named^{28,29}. It became characterized as a chromosomal instability disorder in 1964 during clinical cytogenetic tests, and the discovery that the DNA crosslinking chemical, mitomycin-c (MM-C) worsened chromosomal aberrations in FA cells was made a decade later²⁹. The use of MM-C for cytogenetic testing of FA cells, which display an increased

incidence of chromosomal radials and breaks, has been a clinical standard for diagnosis³⁰.

Using MM-C as well as diepoxybutane, which crosslinks DNA with histones³¹ and prevents its unwinding for replication or transcription, cell fusion experiments were performed with FA patient cells to test for complementation; in this assay, fusion of cells with a mutation in the same gene does not rescue the DNA repair defect and lowered cell viability in the presence of crosslinkers, whereas fusion of cells with mutations in different genes yields a wild-type phenotype and greater cell survival. Despite some drawbacks, including a misclassification of a patient (which lead to the withdrawal of complementation group H)³², complementation cloning and retroviral transduction have been important tools for the identification of FA genes³³.

Compound heterozygote mutations in one of at least 16 genes can cause loss of function, leading to FA. They all cooperate in a pathway to direct repair proteins to interstrand crosslinks (ICL), which covalently join the Watson and Crick strands together, and to repair crosslinks between DNA and protein as well as double strand breaks. To accomplish this, the FA gene products direct homologous recombination (HR), translesion synthesis (TLS), and nucleotide excision repair (NER) proteins in a multistep process³⁴. Proteins of the Fanconi anemia pathway coordinate DNA repair upon stalling of the replication fork during S-phase²⁹. FANCM and an accessory protein, FAAP24, form a heterodimer that

binds to the DNA lesion at the stalled fork, recruiting the core complex, which is composed of FANCA, FANCB, FANCC, FANCE, FANCF, FANCG, and FANCL, along with FANCM. Two other accessory proteins, FAAP100 and FAAP20, have been shown to be associated with the core complex, but like FAAP24, are not classified as FA genes because they have not yet been found to be mutated in any FA patients^{35,36}. After the core complex binds to a DNA lesion, the FANCL protein, an E3 ubiquitin ligase, uses ubiquitin-conjugating enzyme UBE2T³⁷ to add one ubiquitin moiety each to FANCD2 and FANCI, separating them from one another; ubiquitinated FANCD2 (FANCD2^{Ub}) then binds to foci (including repair factors such as H2AX and Rad51) that mark the site of damage on the chromatin, followed by FANCI³⁸. Next, FANCD2^{Ub} recruits repair proteins to the site. On one side of the ICL, MUS81-EME1 creates a double-strand break, and on the other side, Fanconi anemia associated nuclease 1 (FAN1) creates a single-stranded break to unhook the ICL^{39,40}. This creates a gap that is filled in by translesion synthesis⁴¹. The unhooked crosslink is removed by NER machinery, the conserved strand is resected, and HR machinery forms a D-loop intermediate in the gap, providing a template for extension of the DNA. This is then likely to be disassembled by FANCI, a helicase that unwinds atypical DNA structures to allow movement of the replication fork in *trans*^{35,42,43}. In addition to FANCI, other downstream FA proteins include FANCD1 (BRCA2), FANCP (SLX4), and FANCN (PALB2). FANCD1 mediates recombination, is required for the formation of Rad51 foci, and colocalizes with FANCD2; it is a well-known tumor suppressor that is often mutated or epigenetically inactivated in breast, ovarian, and other

cancers⁴⁴⁻⁴⁷. The function of FANCN is still under investigation, though it is needed for the binding of FANCD1 to chromatin and thus for HR⁴⁸. FANCP is a scaffold protein that regulates nucleases participating in ICL repair as well as both topoisomerase I inhibitor-induced and PARP inhibitor-induced DNA damage⁴⁹. FANCC (ERCC4) is the most recently discovered FA gene and encodes the XPF endonuclease, which participates in NER and ICL^{50,51}. Altogether, the FA pathway involves a panel of multifunctional proteins critical to DNA damage control and cellular integrity.

In addition to directing repair proteins to ICL, the FA pathway inhibits NHEJ, an error-prone repair mechanism for double-strand DNA breaks which is a key repair pathway in hematopoietic stem cells (HSC)⁵². In this pathway, double-stranded break ends are ligated without the requirement of a homologous sequence. Though NHEJ uses anywhere from one to a few homologous bases, or microhomologies, to position the ends, illegitimate pairing can lead to mutations and gross cytogenetic abnormalities⁵³. These defects were found to be greatly reduced in both yeast and a chicken B cell line lacking *fcd-2* (the yeast homolog of *FANCD2*) and *FANCC*, respectively, when NHEJ was pharmaceutically or genetically inhibited^{54,55}.

Other than blocking NHEJ and directing the complex process of ICL repair to HR, TLS, and NER proteins, the FA pathway is also activated by other types of DNA damage, including spontaneous damage during replication and lesions from

exogenous causes, including ionizing radiation, ultraviolet light, and the deoxyribonucleotide production inhibitor, hydroxyurea. However, whereas these other types of damage often can be tended by other pathways, ICL repair solely relies on the FA pathway³⁵.

There are multiple dietary agents and metabolic products that can induce ICL or DNA-protein crosslinks. Nitrates, such as those found in preserved meats, can be converted to nitrous acid in the acidic conditions of the stomach and reacts with guanines to form ICL⁵⁶. Furocoumarins are photoactive organic compounds produced by certain kinds of plants, including foods such as celery and lime oil, as a defense mechanism; these compounds can intercalate into DNA and then react with UV light to form monoadducts with pyrimidines, and further react to form ICL^{57,58}. Interest has recently grown in the role of aldehydes in producing ICL, as they have been demonstrated to exacerbate the FA phenotype, particularly during the sensitive window of fetal development^{59,60}. Aldehydes can be produced in the body by many metabolic reactions, including those for carbohydrates, fats, amino acids, vitamins, ethanol, and steroids⁶¹. In the FA field, aldehyde work has almost solely focused on ethanol metabolism^{59,60}. Several steps are involved in the catabolism of ethanol, which involves its conversion to acetaldehyde and then acetate and NADH; the main enzyme that performs the last reaction is aldehyde dehydrogenase 2 (Aldh2). Both this enzyme and *Fancd2* have been inactivated in double knockout mice, which were found to be profoundly sensitive to ethanol, often dying *in utero* from exposure and

developing hematopoietic failure after receiving it postnatally. They eventually developed lymphoblastic leukemia or aplastic anemia even in the absence of alcohol^{59,60}.

Alcohol metabolism is a factor that is clearly involved in fetal alcohol syndrome and contributes to increased rates of esophageal cancer in East Asian countries such as Japan, Korea, and China, where 36 percent of the population carries a dominant negative mutation in *ALDH2* (8 percent of the world's population carries *ALDH2* mutations). This causes a condition called the Alcohol Flushing Response, in which even a loss of one copy of fully functional *ALDH2* causes a more than 100-fold reduction in enzymatic activity, accompanied by skin flushing, nausea, and tachycardia, along with a greatly increased risk of squamous cell carcinoma for even moderate drinkers^{62,63}. The buildup of the acetaldehyde metabolite due to *Aldh2* deficiency dramatically increases cellular aldehyde exposure. Acetaldehyde is a volatile compound that passes through the placenta; interestingly, at least one functional copy of *Aldh2* is required in *Fancd2*^{+/-} dams to prevent prenatal lethality of *Aldh2*^{-/-} *Fancd2*^{-/-} fetuses, suggesting conversion of endogenous acetaldehyde in the mother protects the fetus⁶⁰. Recent corroborative evidence from Japanese FA patients implicates co-incidence of *ALDH2* mutations in accelerated bone marrow failure, as well as increased rates of congenital defects, such as cardiovascular malformations⁶⁴.

Endogenous alcohol production can occur via metabolism of substrates such as pectin, or by gut bacteria, but the levels produced are quite low⁶⁵. It is unclear what the main source of aldehydes is in people for whom alcohol exposure only occurs via endogenous production, such as in pregnant women and children. Dietary fats are another source of aldehydes. The metabolism of fats involves lipid peroxidation, which can yield acrolein, 4-hydroxynonenal (4-HNE), crotonaldehyde, and malondialdehyde which can react with both DNA and protein⁶⁶⁻⁶⁸.

Lipid peroxidation also produces reactive oxygen species (ROS), which oxidize proteins, lipids, and nucleic acids. ROS can cause a variety of damage to DNA, including crosslinks to protein, single-strand breaks that can be converted to double-strand breaks during replication, and oxidation to bases, such as in the oxidation of guanine to 8-oxoguanine (8-oxoG). Damage to proteins may also exacerbate the situation by crippling enzymes that remove ROS from the cell, such as catalase and glutathione oxidase. Reactive organic radicals can be created upon ROS damage to lipid membranes⁶⁷.

Oxidative stress is extremely damaging to FA cells, particularly HSPCs^{69,70}. Pang *et al.* showed that HSPC from FA mice are generally more susceptible than WT counterparts to reoxygenation injury⁷¹. Primary FA patient bone marrow HSPCs survive more successfully under hypoxic conditions (5% O₂), that resemble those in the bone marrow microenvironment, than in ambient tissue

culture oxygen (20% O₂); they are also partially protected by antioxidant supplementation *in vitro*, in particular, *N*-acetyl cysteine (NAC)⁷².

Preserving genomic integrity is critical to proper prenatal development, including the HSPC compartment, which undergoes rapid expansion in the fetal liver. Along with obvious challenges of studying *in utero* events in human development, modeling of bone marrow failure in FA had long been focused on predominant postnatal losses of HSPC. Though congenital anomalies, including certain skeletal, heart, eye and ear, kidney, and skin defects, are signs of the disease, potential developmental defects in the hematopoietic compartment have been largely unstudied. The initial suggestion of a possible prenatal defect in hematopoiesis in FA came from the first FA patient cord blood transplant in France in 1990. Compared to progenitor colony formation from cord blood from 3 unaffected infants, cord blood from an FA newborn was found to have a very low frequency of hematopoietic progenitor cells⁷³. Corroborating a potentially important role for FA proteins during hematopoietic development, *in situ* hybridization on 13.5 dpc (days post coitum) mice revealed robust *Fanca* gene expression in the fetal liver⁷⁴. Knockdown of *FANCA* and *FANCD2* in human embryonic stem cells (hESC) and subsequent induction of differentiation to the hematopoietic lineage demonstrated a defect in hematopoietic cell formation and progenitor production⁷⁵. Though this suggested that the loss of FA genes hindered hematopoietic development, no studies in a more physiologically relevant model existed to clarify this, although examination of p21 expression in

human FA fetal liver specimens revealed significant overexpression, suggesting p53 induction via replicative stress⁷⁶.

Hematopoietic Stem Cells and Development

Hematopoietic stem cells are the source of all differentiated blood cells, which must be continually replenished throughout life. Their development, maintenance, and survival requires DNA repair to preserve faithful function and avoid malignant evolution. This is particularly critical during prenatal development, when the foundations of the blood system are being laid.

The development of the hematopoietic system occurs in waves. During the first wave, primitive HSCs emerge on embryonic day 7.5 (E7.5) in the mouse, in blood islands formed by hemangioblasts, which are progenitor cells that give rise to both endothelial and hematopoietic cells⁷⁷. Primitive HSCs can only give rise to a subset of cells: primitive erythrocytes, megakaryocytes, and macrophages⁷⁸. At E8.25, the second wave yields definitive multipotent HPSCs, produced by hemogenic endothelium in the aorta-gonado-mesonephros (AGM) or para-aortic splanchnopleure (PAS) (the dorsal aorta's ventral wall)⁷⁷. Unlike transient and limited primitive HSCs, definitive HSCs are able to self-renew and thus replicate themselves, as well as produce progenitor cells that will supply the full spectrum of mature, functional hematopoietic cells⁷⁸. Last, the third wave entails further production of definitive HSCs by AGM⁷⁹ and in the umbilical and vitelline arteries⁸⁰, starting on E10.5⁷⁷. They are detectable in the placenta on E10.5⁸¹

and yolk sac^{79,82} and fetal liver starting on E11.5⁸³. The fetal liver, which is downstream of the placenta, is the main hematopoietic niche of the fetus, and HSCs will undergo rapid expansion there, doubling each day until 14.5 dpc. HSCs are also detectable in the fetal spleen and thymus at this time^{84,85}. At day 15.5, the fetal liver HSC population begins to decrease as the cells migrate to the bone marrow⁸⁶ and the hepatoblasts begin to differentiate into hepatocytes⁸⁷. (Mice retain some residual hematopoiesis in the fetal liver for about a week after birth and in the spleen for several weeks⁸⁸.)

The bone marrow serves as the main postnatal hematopoietic niche, though mice carry out some hematopoietic functions in the spleen as well⁸⁹ and can use the liver for stress hematopoiesis; humans can only carry out extramedullary stress hematopoiesis in the spleen⁹⁰. In humans, the hematopoietically active red marrow regresses from the long bones between age 5 to 7 and blood production is concentrated in their proximal ends, along with the ilium and axial bones (vertebrae, ribs, sternum, and cranium), whereas in mice, hematopoiesis occurs in all of the bones⁹¹.

To isolate HSPCs from a mouse, no known single cell surface marker exists; rather, a combination of markers is used for both positive and negative selection, and though different investigators have different preferences, transplantation studies have verified the ability of certain cell populations to repopulate a mouse. Fetal liver HSCs can also be isolated using signaling lymphocytic activation

molecule (SLAM) markers (CD150⁺CD48⁻CD244⁻)⁹². The c-Kit receptor and Sca-1 are commonly used markers for HSPC positive selection, along with negative selection with several hematopoietic lineage (Lin) markers that are expressed by various types of differentiated blood cells; this combination is commonly known as c-Kit⁺ Sca-1⁺ Lin⁻, or KSL (fetal liver HSPCs are Lin^{lo/-}, while adult bone marrow HSPCs are Lin⁻)^{93,94}. The bone marrow KSL population is composed of stem and progenitor cells, but HSCs can be more stringently sorted with the addition of CD34 and FLT3 for negative selection, or with two SLAM markers (CD150⁺CD48⁻)^{95,96}. Mac-1, CD144, and AA4.1 (CD93) are epitopes expressed by fetal liver HSPCs and their expression is eventually turned off as they switch to an adult phenotype^{86,97-100}. AA4.1⁺Sca-1⁺Lin^{lo/-} (ASL) is a combination of markers used to isolate fetal liver HSPCs which incorporates both the Sca-1 and lineage markers commonly used in sorting adult HSPCs, as well as the fetal liver AA4.1 cell surface marker¹⁰⁰.

Purifying HSCs requires a substantial number of cells from hematopoietic tissue, as they are relatively rare. Murine fetal liver has been reported to contain 0.1-0.2 percent HSCs, whereas adult bone marrow contains less than 0.01 percent HSCs⁹⁹. Whereas 40 percent of fetal liver HSCs (Thy1.1^{lo}Lin^{-/lo}Sca-1⁺) are actively cycling, only approximately 20 to 30 percent of adult HSCs (Thy1.1^{lo}Lin^{-/lo}Sca-1⁺ or CD34⁻CD48⁻CD150^{hi} KSL cells, respectively) are in cycle under homeostatic conditions^{101,102}. Similarly, other transplantation studies have shown that cycling adult HSCs can confer short-term engraftment, whereas those that

are slow-cycling or quiescent are capable of long-term engraftment^{101,103,104}. Most adult HSCs are quiescent, and while this protects them from replicative stress, it also comes at the cost of allowing genomic damage to accumulate, as the cell is not undergoing the DNA damage checkpoints that occur during mitosis; this damage greatly inhibits their capacity to respond to stressors such as infection or injury when they are forced back into cycle¹⁰⁵. Differentiation of HSCs can also be induced by DNA damage¹⁰⁶.

The cell cycle status of HSC is reflected in their tightly regulated metabolic state. Long-term quiescent stem cells occupy a hypoxic microenvironment and fittingly, rely much more on glycolysis than for oxidative phosphorylation to meet their energy requirements and, even carrying fewer mitochondria than their more differentiated progeny¹⁰⁷. This pathway protects them from DNA-damaging ROS, produced during the oxygen-intensive metabolic reactions in the electron transport chain. However, when HSCs divide to produce one HSC and one more differentiated progenitor cell (termed an asymmetric division due to the dissimilarity in the two resulting daughter cells), they switch to fatty acid oxidation¹⁰⁸. Overall, HSC metabolism is a field that is relatively new and the metabolic characteristics of fetal liver HSCs are yet to be explored.

Mechanisms of Programming

Metabolism plays an important role in DOHaD, and though various processes are just starting to be elucidated, several studies implicate epigenetics as a major

mechanism¹⁰⁹⁻¹¹⁴. Epigenetics refers to modifications made to the transcriptional state of DNA that do not change its sequence. These changes are mediated by chemical modifications on proteins that package DNA, called histones, and direct methylation of DNA¹¹⁵. Histones can be chemically tagged by acetylation, methylation, ubiquitination, phosphorylation, and sumoylation, which can recruit factors and enzymes or alter histone charge and its interaction with the negatively charged DNA backbone¹¹⁶. Methylation of DNA, which causes gene silencing, typically occurs at cytosine-guanine bases (CpG). The function of non-CpG methylation has been elucidated in plants, though there is more to be learned of its role in mammalian cells; it been detected in embryonic stem cells and in early embryonic development in mice¹¹⁶⁻¹¹⁸. In utero DNA methylation^{110,111} and histone modification¹¹⁹ have both been identified as mechanisms involved in DOHaD.

Both epigenetics and changes to the DNA sequence may be factors in DNA damage as a possible factor in developmental programming. Schuler *et al.* demonstrated that chromatin modifications can accumulate after DNA damage goes unrepaired or is misrepaired in mouse hair follicle stem cells¹²⁰. Chromatin modification after DNA damage is hypothesized to induce epigenetic changes¹²¹.

Damage to mitochondria, which have their own DNA, has also been implicated in both HFD and obesity-driven developmental programming. HFD murine oocytes have proportionally more morphologically abnormal mitochondria, more mtDNA

copy numbers and significantly lower levels of citrate, but normal ATP levels; altogether, this suggests that the mitochondria are stressed and compensating by increasing their numbers¹²². Likewise, diet-induced obesity (DIO) increased mitochondrial potential, mtDNA content, and biogenesis of mitochondria in oocytes and zygotes, and raised reactive oxygen species while depleting glutathione, a key endogenous antioxidant. An example of mitochondrial phenotype compromising HSPC function was recently provided by Mantel *et al.*, who demonstrated that deletion of *Stat3*, which, among other things, increases oxidative phosphorylation in mitochondria, leading to an accelerated aging phenotype¹²³.

Much work on developmental programming has been on the maternal metabolic status and *in utero* effects, but embryonic defects also result from paternal DIO. Binder *et al.* took epididymal sperm from DIO or control diet-fed male mice and, using *in vitro* fertilization, generated embryos for study with time-lapse microscopy and transplantation into pseudopregnant female mice, each of which received embryos from both cohorts into separate uterine horns. They found that embryos from obese fathers had reduced mitochondrial membrane potential, reductions in the allocation of cells to the inner cell mass and trophoectoderm (outer cell layer) of the blastocyst, and reduced outgrowth on fibronectin. For the *in vivo* studies, fetuses from DIO fathers had delayed development, and reduced fetal and placental length and weight¹²⁴.

Altogether, mechanisms behind DOHaD are likely to center on sustained modification of gene expression, via epigenetic or mutational mechanisms.

General Hypothesis and Specific Aims

Existing studies on the developmental origins of disease tend to be epidemiologically driven and focus on overt disease outcomes, whereas the mechanisms by which organs are susceptible remain to be clarified. Developmental hematopoiesis in mice closely resembles that in humans and offers a unique opportunity to track and experimentally test both mechanisms and outcomes longitudinally. HSPC govern homeostatic function and organ specific injury response. Intriguingly, the main HSPC niche in the midgestational fetus, the liver, is a predominantly hematopoietic organ previously proposed as a target organ of developmental malprogramming⁴. In this dissertation, I will investigate the effect of developmental programming on the fetal liver in two mouse models of disease: Fanconi anemia and overnutrition by HFD.

Specific Aims:

- 1. Determine whether hematopoietic failure is developmentally programmed in Fanconi anemia.**

The state of HSPCs in FA prenatal development has been largely unexplored, even as the loss of Fanconi anemia genes has been demonstrated to result in congenital defects in limbs and in several organ systems. The conventional model of FA-associated bone marrow failure,

based mainly on work from patient samples, has focused on HSPC loss in the bone marrow and has attributed the pathology to postnatal attrition. However, evidence from cord blood samples and recent differentiation assays with ESCs suggest a potential role for prenatal development in FA-associated bone marrow failure.

2. Identify the effect of metabolic programming by HFD on fetal liver HSPCs.

Recent evidence implicates HFD in malprogramming of the fetal liver. Maternal HFD increased apoptosis, oxidative stress, and triglycerides, and altered expression of genes involved in metabolism and induced tissue resembling nonalcoholic fatty liver disease^{14,119,125}. Since the liver has a key role in expansion and maturation of the hematopoietic system in fetal development, HFD may impact this process. I will determine whether HFD alters the quantity and function of fetal liver HSPCs in mouse models of prenatal HFD and maternal obesity.

3. Determine whether HFD exacerbates the prenatal hematopoietic development in FA.

Through a double-knockout mouse model, aldehydes from ethanol metabolism have been implicated in fetal defects and lethality, bone marrow failure, and leukemia, but the role of lipid metabolism-derived aldehydes and ROS have not been investigated in fetal hematopoietic development. The dramatic increase in fat

consumption with the Western diet has greatly increased dietary lipids in a large proportion of the population. I will use the *Fancc* mouse model to assay the effect of HFD on DNA damage and the quantity and function of the HSPC compartment in the *Fancc*^{-/-} mouse model.

CHAPTER 2: FETAL ORIGINS OF HEMATOPOIETIC FAILURE IN A MURINE MODEL OF FANCONI ANEMIA

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Abstract

Hematopoietic failure is the predominant clinical manifestation of Fanconi anemia (FA), a rare, recessively inherited disorder. Mutations in one of 15 genes that coordinately function in a complex pathway to maintain DNA integrity also predispose patients to constitutional defects in growth and development. The hematologic manifestations have long been considered to reflect the progressive loss of stem cells from the postnatal bone marrow microenvironment. Ethical concerns preclude the study of human hematopoiesis *in utero*. Here we report significant late gestational lethality and profound quantitative and qualitative deficiencies in the murine *Fancc*^{-/-} fetal liver hematopoietic stem and progenitor cell (HSPC) pool. *Fancc*^{-/-} fetal liver HSPC revealed a significant loss of quiescence and decline in serial repopulating capacity, but no substantial difference in apoptosis or levels of reactive oxygen species. Taken together, our studies suggest that compromised hematopoiesis in *Fancc*^{-/-} animals is developmentally programmed and does not arise *de novo* in the bone marrow compartment.

Introduction

Bone marrow (BM) failure is the most common cause of morbidity and mortality from Fanconi anemia (FA), a recessively inherited disorder resulting from biallelic mutations in one of 15 known genes that cooperate in a DNA repair pathway.^{29,35} The presumptive postnatal loss of hematopoietic stem and progenitor cells (HSPC) from the marrow is thought to account for patient

symptom onset during early school age and is generally consistent with experimental evidence.²⁴ However, cytopenias often precede clinical symptoms in FA patients or affected siblings.¹²⁶ While systematic studies of *in utero* development of FA patients have not been conducted, reports of reduced cord blood progenitor frequency, evidence in embryonic stem cell lines, and studies of rare fetal tissues all support the notion of early compromise in HSPC function.^{73,75,76,127-129} Here, we studied fetal liver (FL) hematopoiesis in a murine model of FA complementation group C (*Fancc*^{-/-}) to determine if hematopoietic deficits were limited to HSPC losses from the BM compartment, or whether they may have origins earlier in development.¹³⁰ Our study for the first time reveals broad defects of hematopoietic development in *Fancc*^{-/-} animals, which include a compromised fetal liver HSPC pool as well as late gestational lethality.

Results and Discussion

A wide range of FA-associated constitutional defects arising *in utero* are diagnosed at birth. Hematopoietic manifestations of FA in infants, by comparison, are rare.¹²⁶ *Fancc*^{-/-} mice provide a validated, if imperfect, model of FA hematopoiesis. Here, we focused on FL hematopoiesis (14.5 dpc) as a key stage during hematopoietic development.⁸³ Using heterozygous breeder animals, the genotype distribution across 28 litters yielded 26% *Fancc*^{-/-} fetuses (n=59^{-/-}, 81^{+/-}, 87^{+/+}), at near-Mendelian frequency. This exceeds the frequency at weaning of 18.9% (n=659 total; *P*=0.004), also reported by others, and for the first time reveals late gestational lethality in FA mice (Fig. 2.1A).^{131,132}

Beyond occasional gross morphological abnormalities (microphthalmia, anophthalmia, anencephaly), *Fancc*^{-/-} fetuses weighed on average 8% less than WT littermates, with a concomitant 34% reduction in whole FL cellularity and a 15% decrease in placental mass (Fig. 2.1B-H). *Fancc*^{-/-} FL cells exhibited characteristic mitomycin-c (MM-C) induced radial formation (Fig. 2.1I) and chromosomal breaks (not shown).^{130,133} Clonogenic hematopoietic progenitor growth in methylcellulose (CFU-C) was reduced by 20% in *Fancc*^{-/-} FL compared with WT (Fig. 2.1J), implying an aggregate 47% decline in progenitor frequency per fetus. While interleukin-1, tumor necrosis factor- α (TNF- α) and interferon- γ can suppress postnatal hematopoiesis in *Fancc*^{-/-} animals, we found no differences in cytokine secretion by unfractionated FL cells to account for the reduction in fetal *Fancc*^{-/-} progenitor cells (Fig 2.1K).¹³⁴ Our data reveal other phenotypic differences between fetal FA HSPC and those present in the postnatal bone marrow compartment. Unlike adult FA HSPC, characterized by exaggerated apoptosis and elevated levels of reactive oxygen species (ROS), we found no difference in the frequency of apoptotic events (Annexin V and expression of pro-apoptotic genes *Puma* and *Noxa*) or ROS levels (by carboxy-H₂DCDFA stain) between *Fancc*^{-/-} and WT Sca-1⁺ AA4.1⁺ (fetal HSPC marker¹⁰⁰) FL cells (Fig. 2.2A-C).^{135,136} Cell cycle analysis revealed fewer *Fancc*^{-/-} than WT c-Kit⁺ Sca-1⁺ (KS) Ki-67^{neg} (G₀) HSPC (Fig. 2.2D), echoing the reported loss in quiescence in murine FA BM KSL cells, and suggested potential G₁ arrest and senescence.^{133,137,138} This is further consistent with qRT-PCR studies of sorted FL HSPCs demonstrating *Fancc*^{-/-} upregulation of p21

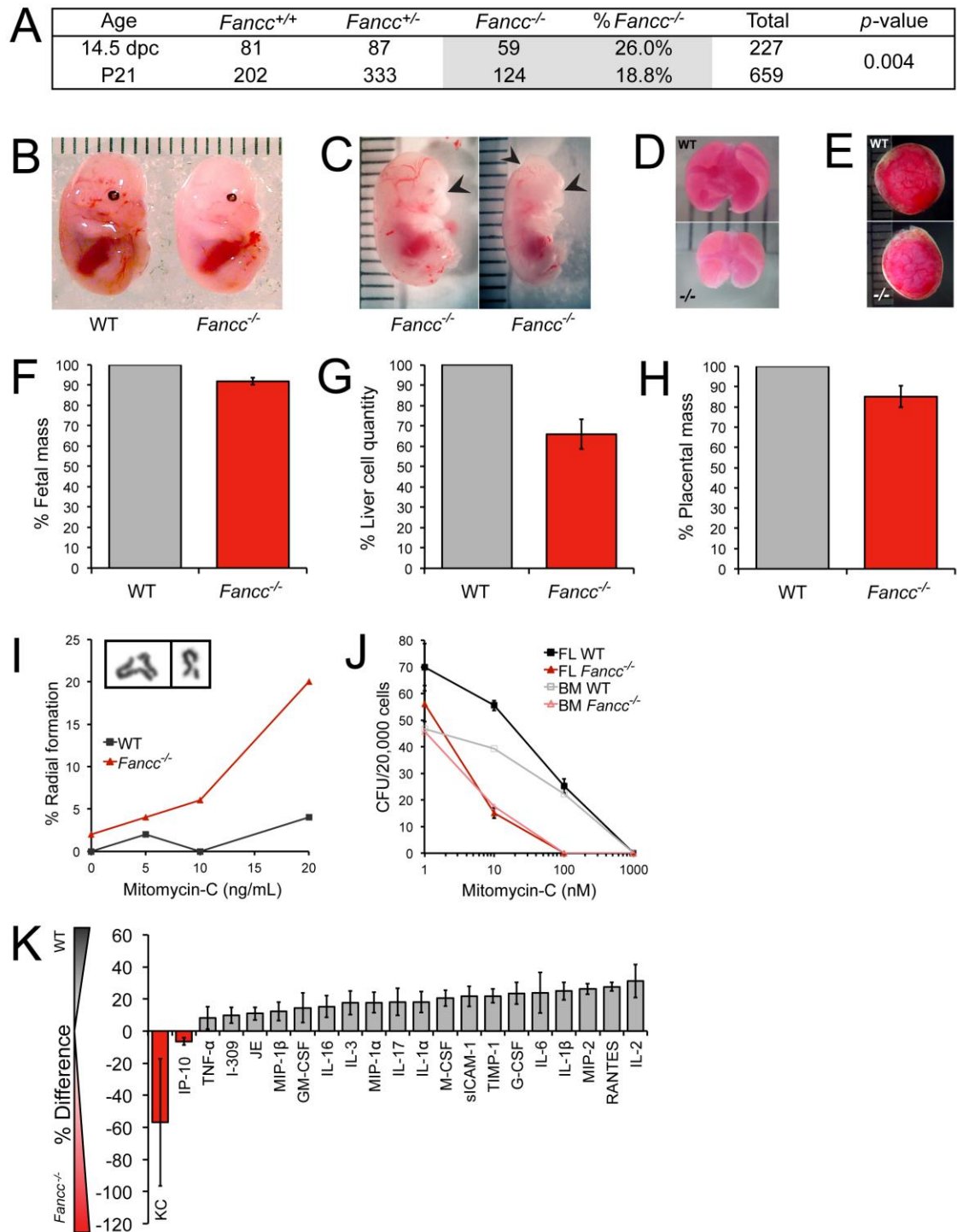


Figure 2.1. Prenatal manifestation of several FA-associated defects. (A) *Fancc*^{+/+} crosses yielded an approximately Mendelian frequency of *Fancc*^{-/-} fetal mice, whereas frequencies of *Fancc*^{-/-} weanlings were sub-Mendelian. χ^2 test comparing fetuses to weanlings yielded a p-value of 0.004. (B) Representative photo of WT and *Fancc*^{-/-} day 14.5 fetal mice. Units are mm. (C) Malformations observed in some *Fancc*^{-/-} fetuses: microphthalmia (left), anophthalmia and anencephaly (right). (D) Representative photos of 14.5 dpc WT and *Fancc*^{-/-} fetal livers, and (E) placentas. (F) Fetal mass

normalized to WT mean within each litter ($n_{\text{litters}} = 5$, $n_{\text{WT}} = 19$, $n_{\text{Fancc}^{-/-}} = 14$). (G) Cell counts from single cell suspensions of fetal livers normalized to WT mean ($n_{\text{litters}} = 6$, $n_{\text{WT}} = 16$, $n_{\text{Fancc}^{-/-}} = 13$). (H) Placenta weights ($n_{\text{WT}} = 25$, $n_{\text{Fancc}^{-/-}} = 14$), $P = 0.009$. (I) Chromosome radial formation (%) in WT and *Fancc*^{-/-} fetal liver cells cultured in MM-C ($n = 50/\text{condition}$). Inset image of representative radial (left) and break (right). (J) FL and adult BM hematopoietic progenitor colony formation in methylcellulose (CFU-C) ($n_{\text{FL}} = 3/\text{genotype}$, $n_{\text{BM}} = 1/\text{genotype}$). Unfractionated cells were plated in the presence or absence of MM-C at 2×10^4 per 35 mm dish and colonies of >50 cells were counted on day 12. (K) Mean percent difference in the secretion of select cytokines from *Fancc*^{-/-} versus WT fetal liver-conditioned media assayed by cytokine arrays in 3 independent experiments; the cytokines shown are those that yielded consistent results across all 3, whereas those that did not show differential expression are not shown. Error bars = SEM; BM, bone marrow; FL, fetal liver; MM-C, mitomycin-c; WT, wild type.

and p18, cell cycle regulators involved in hematopoietic failure in FA (Fig. 2.2E).^{76,128}

We previously showed that the frequency of immunophenotypically defined HSPC (c-Kit⁺ Sca-1⁺ Lin⁻; KSL) in *Fancc*^{-/-} BM is not substantially different from WT animals.¹³⁹ Similarly, we found a minimal decrease in *Fancc*^{-/-} FL AA4.1⁺ Sca-1⁺ Lin^{low/-} (ASL) HSPCs (Fig. 2.2G). Deficient repopulation is widely considered a hallmark of compromised FA HSPC function.^{127,138} We therefore tested the serial repopulating ability by transplanting 2×10^6 CD45.2 *Fancc*^{-/-} or WT unfractionated FL cells into sublethally irradiated, congenic CD45.1 mice. Peripheral blood donor chimerism five months after transplantation showed an average genotype difference of 24% ($P = 0.14$) (Fig. 2.2F, H). However, during serial repopulation, the average donor chimerism between 1^o and 2^o recipients decreased from 87% to 66% in WT, compared with 66% to 20% for *Fancc*^{-/-} donor cells (21% versus 46% decline, respectively) (Fig. 2.2I). Thus, the average difference in chimerism between genotypes grew from 24% in 1^o recipients to

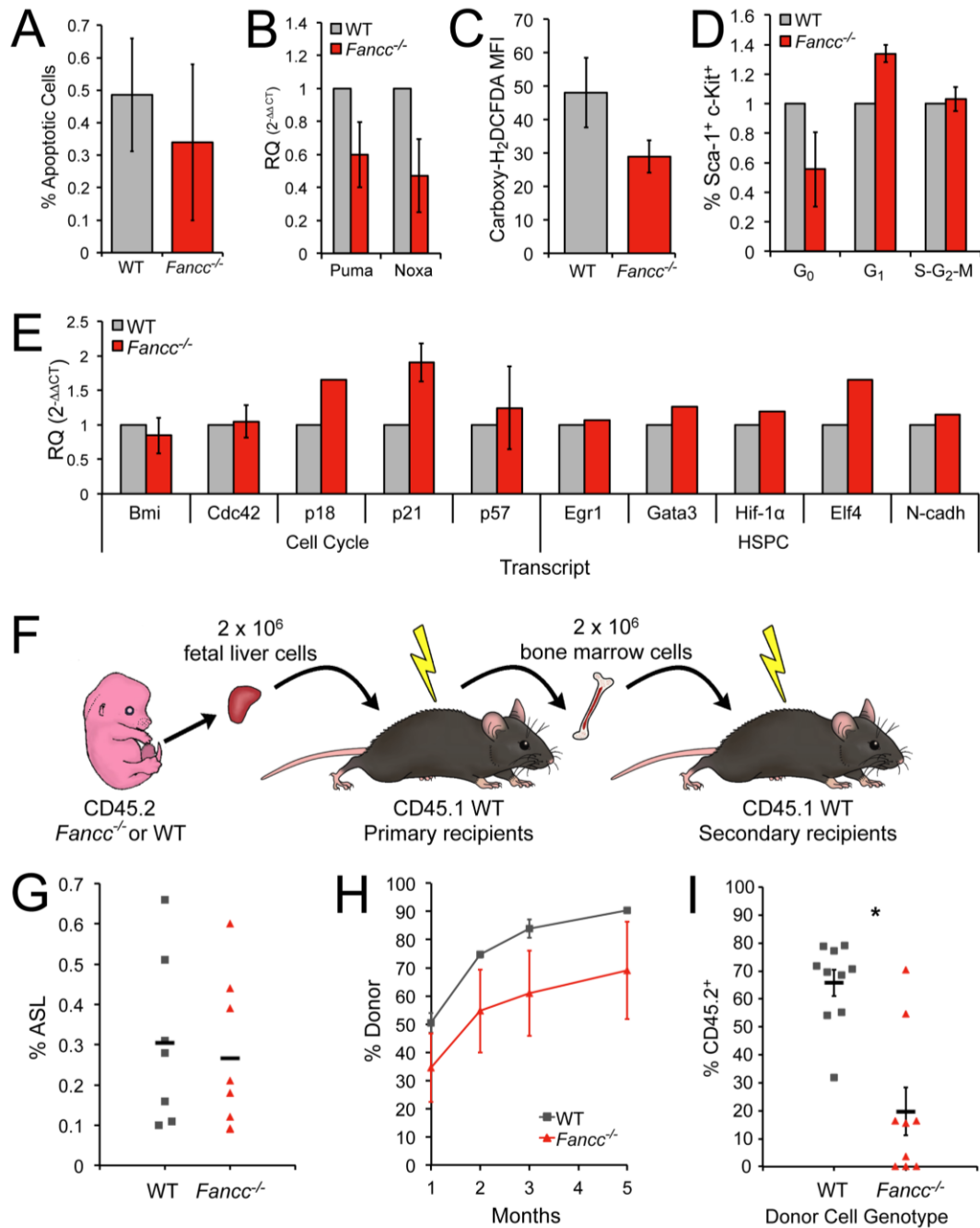


Figure 2.2. Serial repopulating defects and cell cycle abnormalities in *Fancc*^{-/-} fetal liver HSPCs. (A) Annexin V flow cytometry analysis for AA4.1⁺ Sca-1⁺ cells, $P=0.65$. (B) QRT-PCR gene expression analysis of *Puma* (*p53*-upregulated modulator of apoptosis) and *Noxa* (*Phorbol-12-myristate-13-acetate-induced protein-1*), pro-apoptotic, p53 target genes in *Fancc*^{-/-} and WT FL sorted ASL cells ($n = 3/\text{genotype}$), $P_{\text{Puma}} = 0.17$, $P_{\text{Noxa}} = 0.13$. (C) Reactive oxygen species flow cytometry analysis for AA4.1⁺ Sca-1⁺ cells, shown as median fluorescence intensity, $P = 0.17$. (D) Cell cycle analysis for c-Kit⁺ Sca-1⁺ cells. *Fancc*^{-/-} average 45% decrease in G₀ cells, 34% increase in G₁, and 3% increase in S-G₂-M cells. Results normalized to WT littermates. (E) QRT-PCR gene expression analysis of transcripts from sorted ASL or LSK cells

from *Fancc*^{-/-} and WT FL. *Bmi1* (BMI1 polycomb ring finger oncogene), *Cdc42* (small GTPase cell division control protein42), *p57* (cyclin-dependent kinase inhibitor 1C), *EGR1* (early growth response 1), *Gata3* (GATA binding protein 3), *Hif-1α* (hypoxia inducible factor-1α), *Elf4* (E74-like factor 4), *N-cadh* (N-cadherin). (F) Serial transplantation scheme: 2×10^6 unfractionated CD45.2 FL cells were transplanted into conditioned CD45.1 recipients. Five months later, 2×10^6 whole BM cells from 1° recipients were transplanted into CD45.1 hosts. (G) Percent ASL cells in WT and *Fancc*^{-/-} FL, $P = 0.36$. (H) Donor chimerism (% CD45.2⁺) of peripheral blood in 1° transplant ($n_{WT \text{ FL donors}} = 4$, $n_{Fancc^{-/-} \text{ FL donors}} = 3$; $n_{WT \text{ recipients}} = 7$, $n_{Fancc^{-/-} \text{ recipients}} = 5$), $P = 0.13$ for 1 month, 0.12 for 2 months, 0.10 for 3 months and 0.14 for 5 months. (I) CD45.2⁺ chimerism (% FL donor-derived) for 2° transplantation. Two 1° recipients served as donors from each cohort ($n_{WT \text{ recipients}} = 10$, $n_{Fancc^{-/-} \text{ recipients}} = 10$), $P = 0.0002$. Error bars= SEM; BM, bone marrow; FL, fetal liver; WT, wild type.

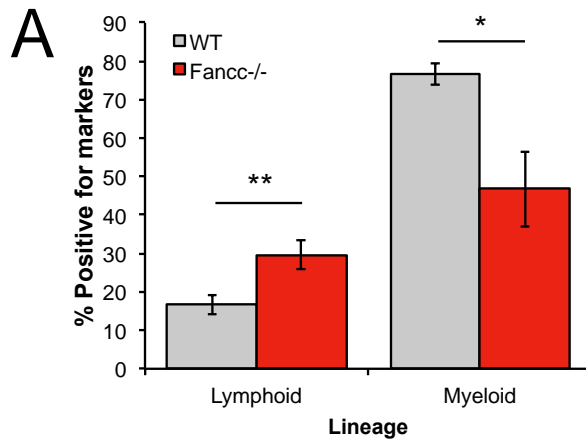


Figure 2.3. Lineage skewing in secondary transplantation of *Fancc*^{-/-} fetal liver-derived bone marrow cells. (A) Bone marrow was harvested from 2° recipients and analyzed for lymphoid (CD3⁺/B220⁺) and myeloid (Mac-1⁺/Gr-1⁺) lineage marking. T-tests were 2-tailed and asterisks indicate $P \leq 0.05$ for *, $P \leq 0.01$ for **.

70% in 2° recipients ($P = 0.0002$ for 2° transplant). Furthermore, the *Fancc*^{-/-} donor-derived (CD45.2) bone marrow lymphoid (CD3⁺/B220⁺) cells were significantly increased, compared to WT controls, and the myeloid (Mac-1⁺/Gr-1⁺) population was significantly decreased, indicating that a lineage bias accompanied secondary engraftment (Fig. 2.3A). Altogether, these results demonstrate a substantial loss of serial repopulating potency in *Fancc*^{-/-} fetal liver-derived cells.

Fancc^{-/-} mice do not demonstrate the slowly progressive cytopenias seen in patients, but they have provided valuable insight into FA HSPC biology. Our data reveal that the hematopoietic defect in *Fancc*^{-/-} mice has origins in development without compartmental or temporal restriction to the bone marrow. These observations provide the cohesive developmental context for prior reports that suggested prenatal HSPC loss and have potential implications for ongoing efforts to harness induced pluripotency for FA.^{73,75,76} It will be important to delineate how the FA phenotype impacts HSPC pool expansion and seeding of the fetal BM niche during development. Finally, compromised prenatal hematopoiesis may determine the timing of diagnostic procedures, a reassessment of its mechanistic origin, and prompt strategies to preempt hematopoietic failure in FA patients.

Materials and Methods

Mice

Mice were handled in accordance with the OHSU IACUC. FL from 14.5 days post coitum (dpc) timed pregnancies (vaginal plug method) from C57BL/6 *Fancc*^{+/-} (CD45.2) dams were dissected to prepare single-cell suspensions. Fetal tails were genotyped using the Phire[®] PCR Kit (Thermo Scientific). BM cells from adult animals were harvested as previously described.¹³⁹

Cellular Assays

Cytogenetic analyses were conducted on FL cells by the OHSU Cytogenetics Core Laboratory. Methylcellulose progenitor assays (M3434, StemCell

Technologies) were performed according to manufacturer's instructions.

Cytokine arrays

Unfractionated FL cells were cultured in StemSpan SFEM (StemCell Technologies) at 1.6×10^7 cells/mL. Conditioned media was applied to Mouse Cytokine Antibody Array, Panel A (R&D Systems) following manufacturer's instructions and imaged on a FujiFilm LAS2000. Pixel density was analyzed using Multi Gauge software (Fujifilm).

Transplantation

Unfractionated FL cells (2×10^6) were injected via tail vein into irradiated (530 cGy) congenic CD45.1 recipients. Serial chimerism was analyzed as described.¹³⁹ For 2^o transplant, 2×10^6 whole BM cells from 1^o recipients (n=2/genotype) were transplanted (each) into 5 irradiated (775 cGy) CD45.1 recipients.

Flow cytometry

For c-Kit⁺ Sca-1⁺ Lin⁻ (KSL) and AA4.1⁺ Sca-1⁺ Lin^{low/-} (ASL) HSPC analyses, cells were stained using antibodies; lineage antibodies were against B220, Gr-1, CD3, CD4, CD5, and Ter119 (excluding Mac-1⁸⁶). For cell cycle analysis, we used anti-Ki-67 antibody and Hoechst 33342.¹³⁷ Apoptosis assays used Annexin V (BD) and ROS levels were assayed by carboxy-H₂DCFDA staining (Invitrogen). Data were collected on FACSCalibur and BD Influx (BD

Biosciences) and analyzed using FlowJo software (TreeStar).

Quantitative real-time PCR

KSL or ASL FL cells were sorted by FACS on a BD Influx. RNA was purified using RNeasy Mini Kit (Qiagen), and then converted to cDNA using SuperScript III First Strand (Invitrogen). The cDNA was analyzed by real-time PCR (StepOne Plus) using Power SYBR Green Master Mix (both Applied Biosystems). *Fancc*^{-/-} and WT samples were normalized to *Gapdh*, and *Fancc*^{-/-} gene expression was normalized to WT. Relevant transcripts were previously described.^{128,140}

Statistical analysis

Numerical results are expressed as mean (+/- standard error of the mean as indicated) and compared using an independent Student's t-test. Genotype distributions were compared by chi-square test.

**CHAPTER 3: VALIDATION OF FETAL HEMATOPOIETIC
DEFECTS IN FANCONI ANEMIA AND INVOLVEMENT OF
PRENATAL DNA DAMAGE**

Abstract

Fanconi anemia is a disease of heterogeneous symptoms and severity, in part depending on the specific mutation and the FA gene affected. Our previous studies in the C57BL/6 *Fancc*^{-/-} mouse model revealed a prenatal onset of defects in the hematopoietic system, involving an increased proportion of hematopoietic stem and progenitor (HSPC)-enriched cells in G₁ phase of cell cycle and compromised serial repopulating ability, likely predisposing hematopoietic stem cells to failure later in life. Here, we investigated a different Fanconi anemia complementation group, *Fancd2*, and the 129Sv *Fancc*^{-/-} mouse, demonstrating that although the fetal hematopoietic phenotypes vary in severity, both models validate our previous hypothesis that the FA HSPC compartment is developmentally constrained. We find evidence of endogenous DNA-damage in *Fancc*^{-/-} and *Fancd2*^{-/-} fetal liver cells enriched for HSPCs and transcriptional activation of the non-homologous end-joining and homologous recombination pathways. Altogether, these results corroborate our hypothesis that hematopoietic defects are present prenatally in FA, and implicate endogenous DNA damage in FA hematopoietic development.

Introduction

The complex array and severity of symptoms seen in Fanconi anemia patients are at least partially manifestations of the different types of genetic lesions and the FA genes involved¹⁴¹. While the majority of patients harbor mutations in FA core complex genes, others lose function of the FANCD2-FANCI complex or

downstream factors. Severity of the FA phenotype can also be affected by whether the mutation is hypomorphic or null, the status and expression level of interacting factors. The genomic instability that results from the disease may cause chromosomal rearrangements and additional mutations. In murine models as in patients, complementation group and strain-specific differences have been reported^{142,143}. Indeed, most murine models do not replicate the spontaneous postnatal bone marrow failure phenotype seen in patients. Considering these factors, and given that our previous work was done in an FA core complex mutant model (*Fancc*) we were prompted to validate our previous findings in a different FA mutant mouse to determine whether there is a core complex-independent phenotype, as well as in another mouse strain.

Although FA knockout mice do not develop spontaneous bone marrow failure and must be induced with agents such as lipopolysaccharide, they do exhibit several hematological symptoms similar to patients. *Fancc*^{-/-} fetal liver and adult bone marrow have defects in short- and long-term hematopoietic repopulation^{71,133,134,138,144-147}. The *Fancd2*^{-/-} mouse is also deficient in repopulating ability and has fewer bone marrow HSPCs¹²⁹. Using C57BL/6 *Fancd2*^{-/-} 14.5 dpc mice, we found that the hematopoietic defects observed in C57BL/6 *Fancc*^{-/-} mice (carrying a disruption in exon 8) are recapitulated, and that this model exhibits an even more severe developmental defect in hematopoiesis. Likewise, the 129Sv (C57BL mixed background) *Fancc*^{-/-} mouse (in which exon 9 is replaced by a transgene) showed prenatal hematopoietic

defects, though somewhat subtler than those seen in the C57BL/6, exon 8 *Fancc*^{-/-} mouse.

FANCC and FANCD2 work in the FA pathway to help coordinate three DNA repair pathways—homologous recombination, mutation translesion synthesis, and nucleotide excision repair³⁵—to repair interstrand crosslinks and double-strand breaks, and to guard replication forks from degraded when they stall at a lesion during S-phase¹⁴⁸. Although FA gene deficiency can also increase apoptosis, both of which can contribute to stem cell attrition, we have not found evidence of this in the 14.5 dpc fetus. Thus, we tested for evidence of increased DNA damage and activation of DNA repair pathways. Our results suggest a role for *in utero* DNA damage in the absence of induction by chemicals or radiation.

Results

C57BL/6 Fancd2^{-/-} 14.5 dpc fetal mice exhibit a more severe hematopoietic phenotype than C57BL/6 *Fancc*^{-/-}

To validate our findings in a different Fanconi anemia complementation group, we examined C57BL/6 *Fancd2* 14.5 dpc fetal mice¹³¹. *Fancd2*^{-/-} fetuses occurred at about 13 percent at day 14.5, which is the same as at postnatal day 1, however by day 7, this number dropped to 2.5 percent (Qingshuo Zhang, personal communication), indicating an earlier and more severe gestational lethality than we observed in *Fancc*^{-/-}¹⁴⁷, as well as early postnatal demise (Fig. 3.1A). Occasional *Fancd2*^{-/-} fetuses exhibited microphthalmia and anophthalmia

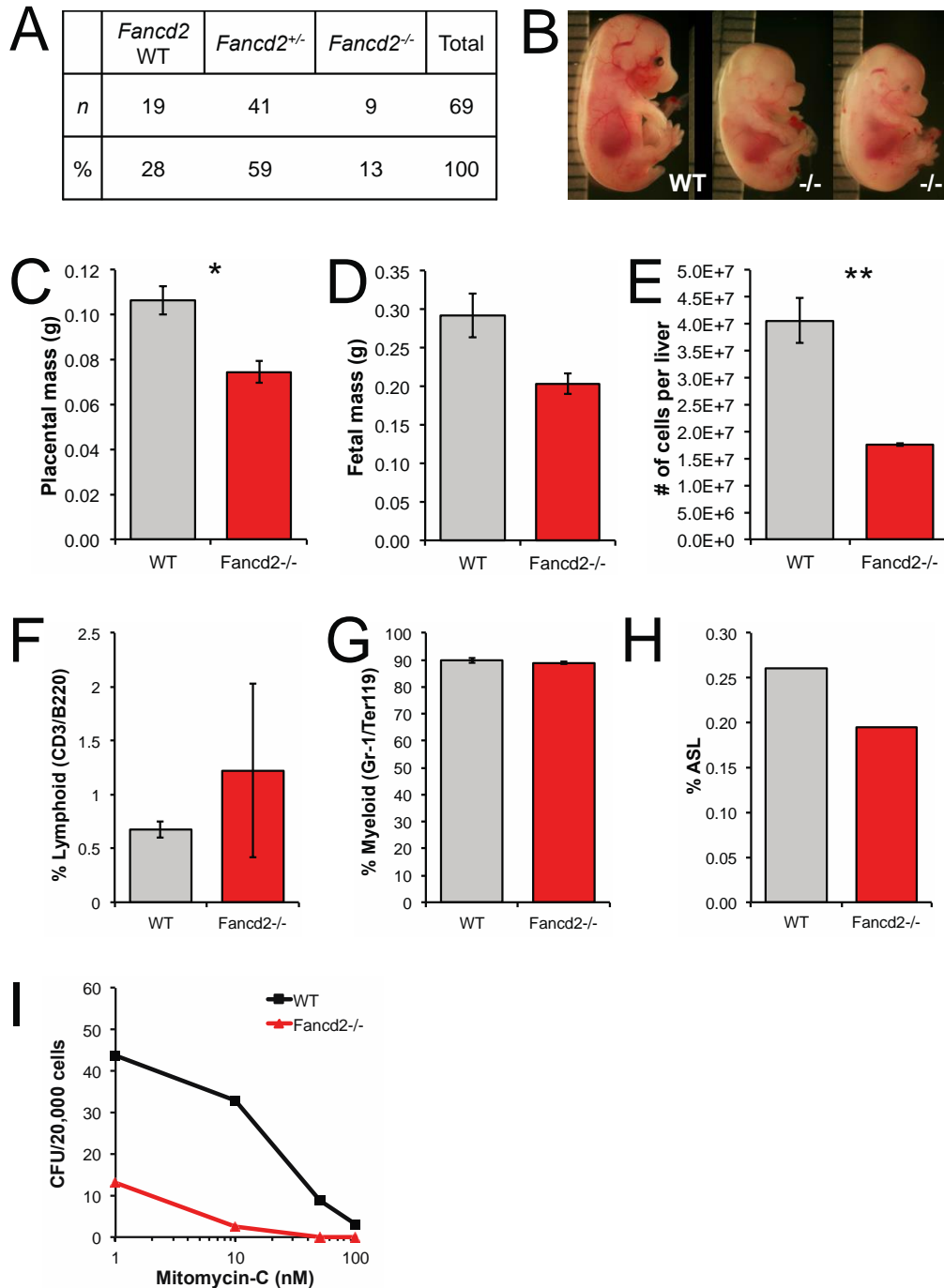


Figure 3.1. *Fancd2*^{-/-} 14.5 dpc fetal mice exhibit a more severe hematopoietic phenotype than *Fancc*^{-/-}. (A) Genotype yields of *Fancd2*^{+/-} x *Fancd2*^{+/-} timed pregnancies, harvested at 14.5 dpc. (B) Photos of WT fetuses and two *Fancd2*^{-/-} littermates exhibiting anophthalmia (center) and microphthalmia (right) (marks = mm). (C) Average masses of *Fancd2*^{-/-} and WT placentas and (D) fetuses (*n* = 5/genotype). (E) Mean cells per fetal liver (*n* = 3/genotype). (F) Percent of lymphoid and (G) myeloid (*n*_{WT} = 5, *n*_{*Fancd2*^{-/-}} = 3), as well as (H) ASL cells in WT vs *Fancd2*^{-/-} fetal livers (*n* = 2/genotype). (I) Frequency of fetal liver hematopoietic progenitor cells and response to mitomycin-c. Error bars reflect SEM. T-tests were 2-tailed; *P* ≤ 0.05 for *, *P* ≤ 0.01 for **.

(Fig. 3.1B), as others have reported in this mouse model postnatally¹³¹. The average mass of *Fancd2*^{-/-} placentas was 30 percent lower than in WT (Fig. 3.1C). Fetal masses trended downward for *Fancd2*^{-/-} mice, compared to WT, although the difference was nonsignificant (Fig. 3.1D), while *Fancd2*^{-/-} fetal liver cellularity was 57 percent lower than that of WT (Fig. 3.1E). Lymphoid and myeloid populations in the fetal liver were not significantly different between *Fancd2*^{-/-} and WT (Fig. 3.1F, G). The HSPC-enriched, ASL population was reduced by 25 percent in *Fancd2*^{-/-} fetal livers, compared to WT (Fig. 3.1H); integrating this data with total liver cell counts indicates that *Fancd2*^{-/-} fetuses have 68 percent fewer ASL cells than WT animals. Hematopoietic progenitor frequency of *Fancd2*^{-/-} livers, tested by clonogenic methylcellulose assay, was lower as well and showed a characteristic sensitivity to a DNA crosslinking agent (Fig. 3.1I). Altogether, *Fancd2*^{-/-} mice recapitulate our previous findings in *Fancc*^{-/-} and exhibit severe prenatal defects in the fetal liver HSPC compartment.

Hematopoietic defects in Fancd2^{-/-} adult blood and bone marrow

Next, we assessed whether the hematopoietic state of *Fancd2*^{-/-} mice changes with age. Like 14.5 dpc mice, *Fancd2*^{-/-} adults had an increased incidence of microphthalmia and anophthalmia (Fig. 3.2A) Complete blood counts (CBC) revealed lymphocytosis and thrombocytopenia in an adult *Fancd2*^{-/-} animal (Fig. 3.2B). While bone marrow lymphoid (CD3⁺/B220⁺) populations showed a trend toward being increased in *Fancd2*^{-/-} mice, myeloid (Gr-1⁺/Mac-1⁺) populations were the same as WT (Fig. 3.2C, D). Both *Fancd2*^{-/-} hematopoietic progenitor and KSL

frequency were somewhat lower than in WT, and progenitor cells showed a typical sensitivity to mitomycin-c (MM-C) (Fig. 3.2E, F). Transplantation of bone marrow into irradiated WT recipients revealed significantly reduced repopulation capacity for *Fancd2*^{-/-} donor cells at both short- (4 weeks) and long-term (16 weeks) time points (Fig. 3.3A-C, two separate transplants shown). Donor peripheral blood lymphoid cells were significantly reduced in *Fancd2*^{-/-}-transplanted animals, while myeloid cells were significantly increased at 4 weeks; by 16 weeks, these populations had normalized (Fig. 3.3D). In sum, the results show substantial repopulation defects in the bone marrow of *Fancd2*^{-/-} mice, and while the deficits in hematopoietic progenitor and immunophenotypic HSPCs were not as severe as in the fetuses, this may be due to a selection process associated with survival to adulthood.

Defects in FA model mice are not strain-specific

We also wanted to ensure that the results in fetal livers of *Fancc* and *Fancd2* mouse models were not C57BL/6 strain-specific. Using 14.5 dpc 129Sv *Fancc* mice, we found evidence of perinatal lethality (Fig. 3.4A), as seen in C57BL/6 *Fancc*^{-/-} and *Fancd2*^{-/-} mice¹⁴⁷. The average placental mass of 129Sv *Fancc*^{-/-} fetal mice was approximately equal to that of WT, while fetal masses trended downward (Fig. 3.4B-C) at the current sample size ($n_{\text{Fancc}^{-/-}} = 5$, $n_{\text{WT}} = 7$). *Fancc*^{-/-} liver cellularity and hematopoietic progenitor frequency also showed a downward trend compared to WT (Fig. 3.4D-E). The lymphoid population was somewhat

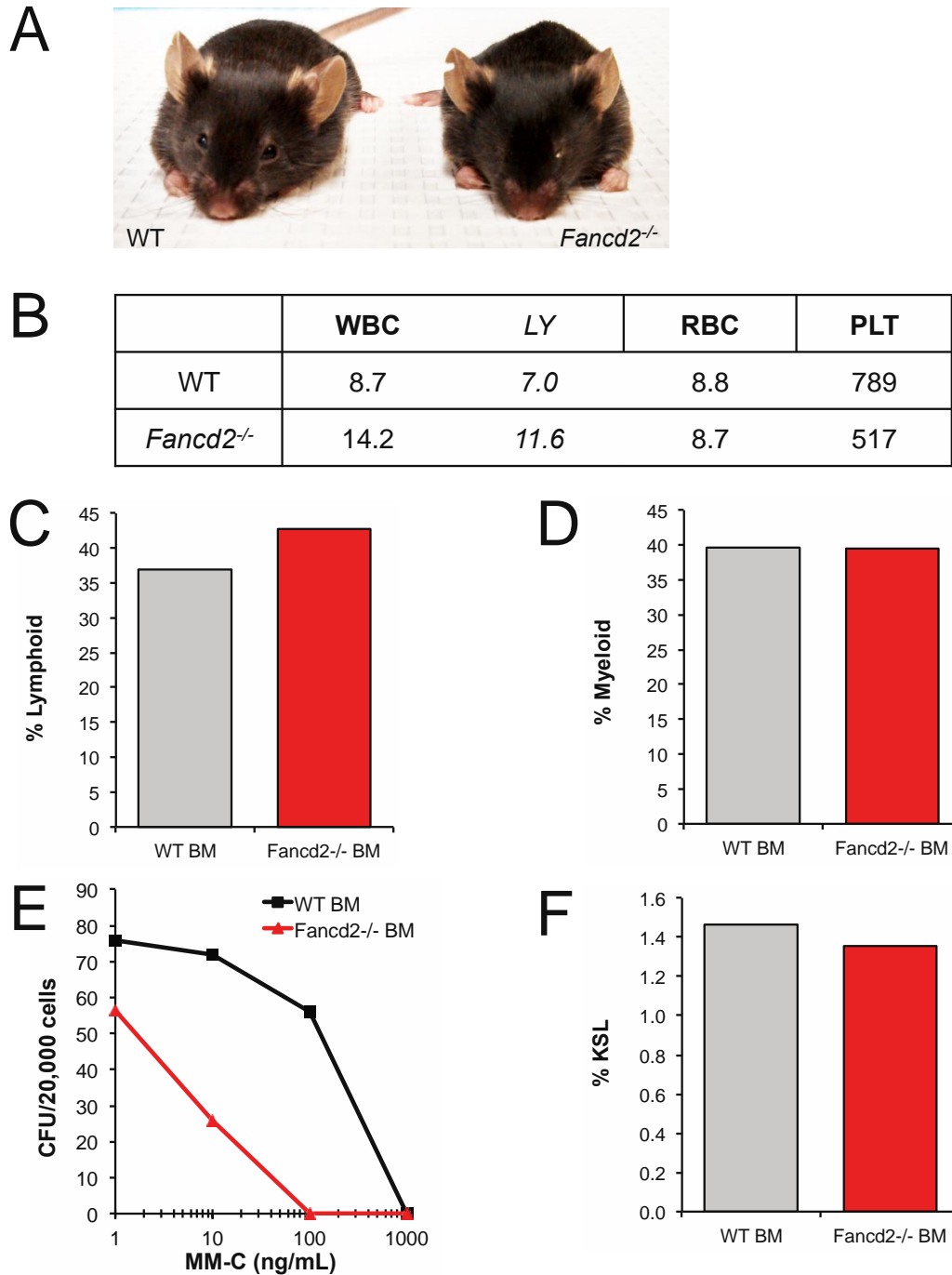


Figure 3.2. Hematopoietic defects in *Fancd2^{-/-}* adult mice. (A) Photo of adult WT mouse and a *Fancd2^{-/-}* animal with anophthalmia. (B) Peripheral blood CBC from adult mice (n= 1/genotype). (C) Lymphoid (CD3⁺/B220⁺) and (D) myeloid (Gr-1⁺/Mac-1⁺) proportions in bone marrow. (E) Bone marrow hematopoietic progenitor frequency and characteristic *Fancd2^{-/-}* response to MM-C. (F) KSL frequency in bone marrow. For C-F, graphs reflect mean of 2 per genotype.

increased in 129Sv *Fancc*^{-/-} livers, but myeloid cells were present at the same proportion as in WT (Fig. 3.5A-C). Neither the ASL or KSL HSPC population of 129Sv *Fancc*^{-/-} fetal livers were very different from WT for the current sample size (Fig. 3.5D-F). Together, these data further validate our findings in C57BL/6 *Fancc*^{-/-} and *Fancd2*^{-/-} fetal mice.

DNA damage response in Fancc^{-/-} and *Fancd2*^{-/-} HSPC-enriched fetal liver cells

Because we have found fewer liver HSPCs in *Fancc*^{-/-} and *Fancd2*^{-/-} fetal mice in the absence of increased apoptosis, we hypothesized that endogenous DNA damage in the fetus would hinder stem cell self-renewal and thus constrain the quantity of HSPCs able to populate the fetal liver. FA cells are characterized by an increased susceptibility to DNA damage, which can be indirectly assayed via detection of proteins such as γ H2AX that localize to DNA lesions and form foci. Immunostaining fetal liver HSPC-enriched (Sca-1⁺) cells, we found that a slightly higher proportion of *Fancc*^{-/-} cells were positive for γ H2AX foci (Fig. 3.6A-B). Adult bone marrow *Fancc*^{-/-} Sca-1⁺ cells appeared to have a lower incidence of γ H2AX foci (Fig. 3.6C). Next, to test for transcriptional activation of DNA repair pathways, we enriched fetal liver HSPCs by Sca-1 magnetic enrichment or used FACS to sort a more highly enriched population, AA4.1⁺ Sca-1⁺ Lin^{low/-} (ASL), and assayed the expression of several DNA repair genes. *Prkdc*, *Xrcc5*, and *Xrcc6*, which encode DNA-PK, Ku80, and Ku70, respectively, and function together in NHEJ, were all upregulated in Sca-1⁺ *Fancc*^{-/-} and *Fancd2*^{-/-} fetal liver cells, compared to WT (Fig. 3.7A,B). Expression of the homologous recombination

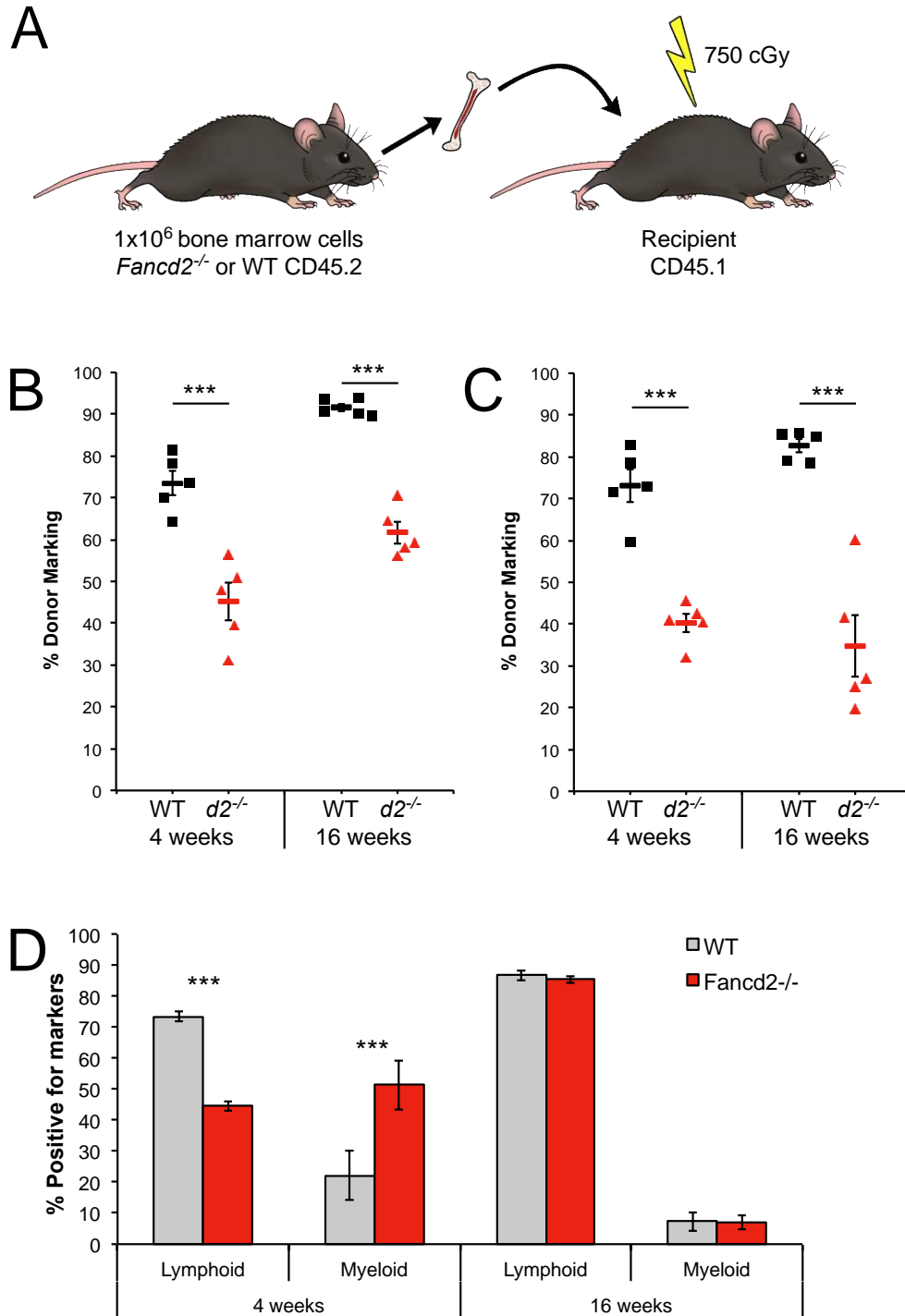


Figure 3.3. Short- and long-term repopulation defects in *Fancd2*^{-/-} adult bone marrow. (A) Schematic for CD45.2 *Fancd2*^{-/-} and WT bone marrow transplantation into irradiated CD45.1 mice. (B) Percent donor chimerism from first transplant and (C) second transplant (each transplant had 1 donor per genotype and 5 recipients per donor). (D) Lymphoid (CD3⁺/B220⁺) and myeloid (Gr-1⁺/Mac-1⁺) marking in donor cells from peripheral blood of recipients at 4 and 16 weeks post-transplantation (1 transplant experiment, n= 5 per donor genotype). Error bars reflect standard error of the mean. T-tests were 2-tailed and asterisks indicate $P \leq 0.001$ for ***.

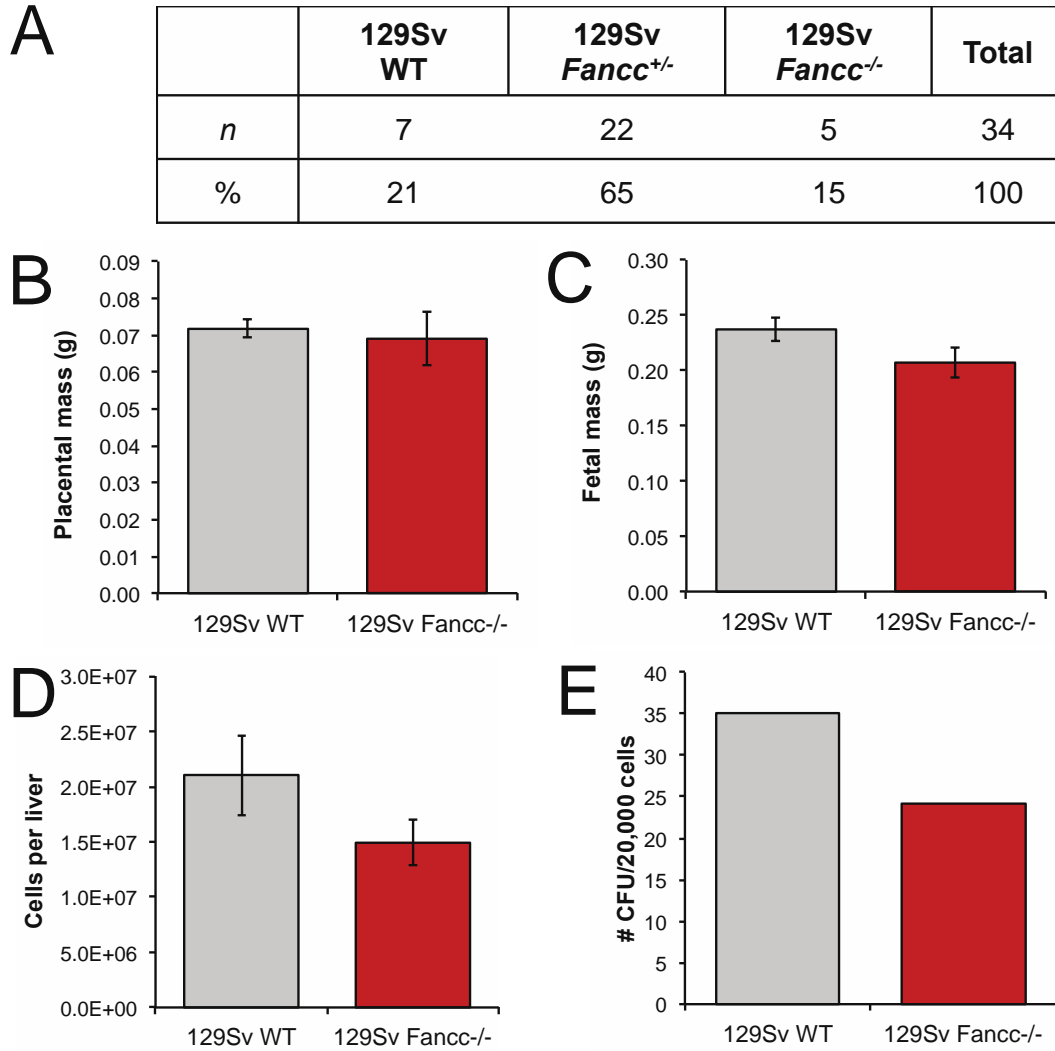


Figure 3.4. Defects in FA model mice are not strain-specific: defects in 129Sv *Fancc*^{-/-} fetal mice. (A) Genotype yields of 129Sv *Fancc*^{+/-} x 129Sv *Fancc*^{+/-} timed pregnancies, harvested at 14.5 dpc. (B) Average masses of 129Sv *Fancc*^{-/-} and WT placentas and (C) fetuses ($n_{WT}=7$, $n_{Fancc^{-/-}}=5$). (D) Mean cells per fetal liver, as counted by hemacytometer ($n_{WT}=5$, $n_{Fancc^{-/-}}=5$). All error bars reflect SEM. (E) Frequency of fetal liver hematopoietic progenitor cells ($n_{WT}=2$, $n_{Fancc^{-/-}}=2$).

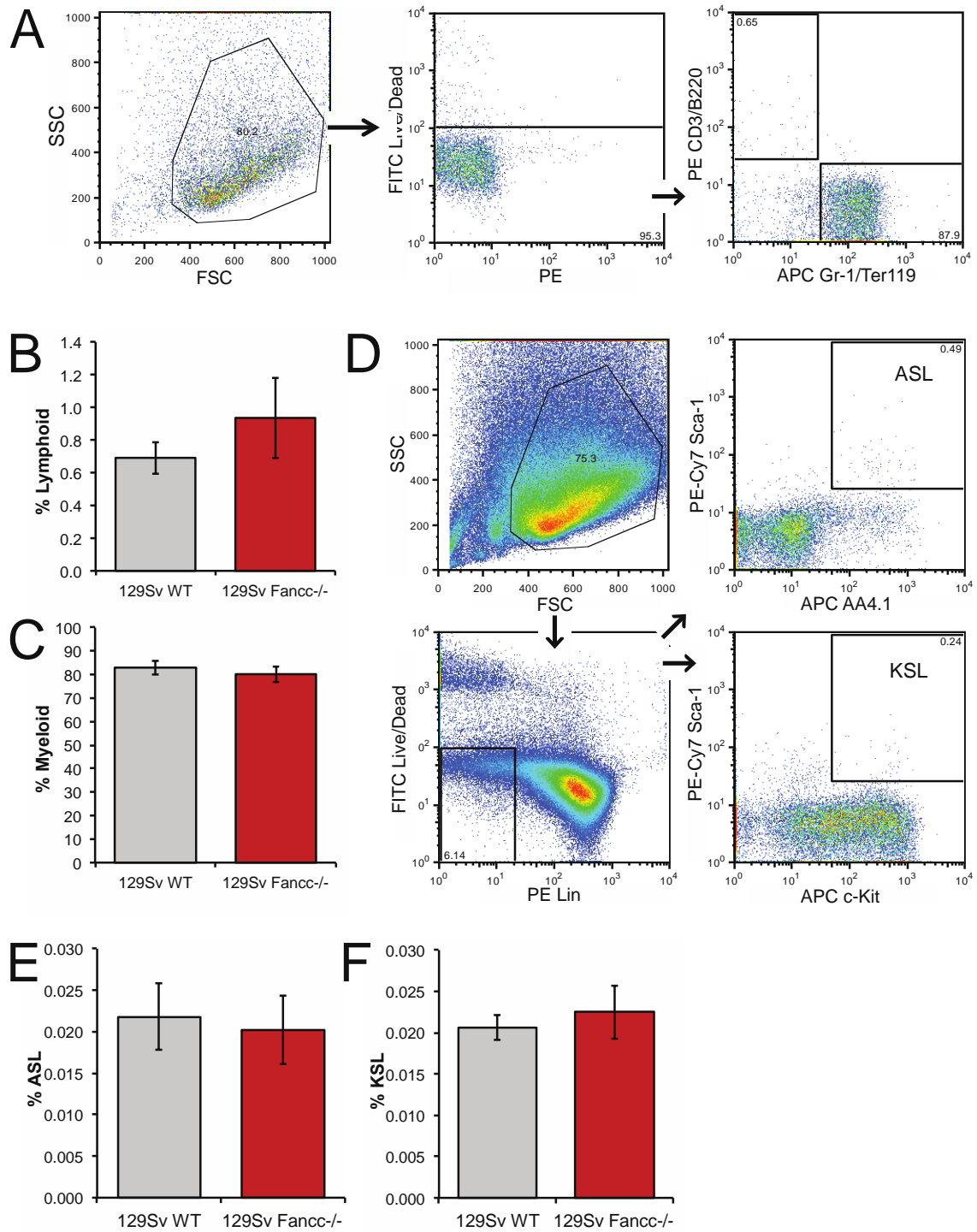


Figure 3.5: Immunophenotyping of 129Sv *Fancc*^{-/-} and WT fetal liver hematopoietic cells. (A) Representative flow cytometry plots in analysis of fetal lymphoid versus myeloid fetal liver cells. (B) Frequency of lymphoid (CD3⁺/B220⁺) and (C) myeloid (Gr-1⁺/Ter119⁺) cells ($n_{WT}=5$, $n_{Fancc^{-/-}}=3$). (D) Representative flow cytometry gating in analysis of ASL and KSL HSPC-enriched cell populations. (E) Proportion of ASL ($n_{WT}=5$, $n_{Fancc^{-/-}}=5$) and (F) KSL cells in 129Sv WT vs *Fancc*^{-/-} fetal livers ($n_{WT}=5$, $n_{Fancc^{-/-}}=4$). Graphs reflect means and error bars are SEM.

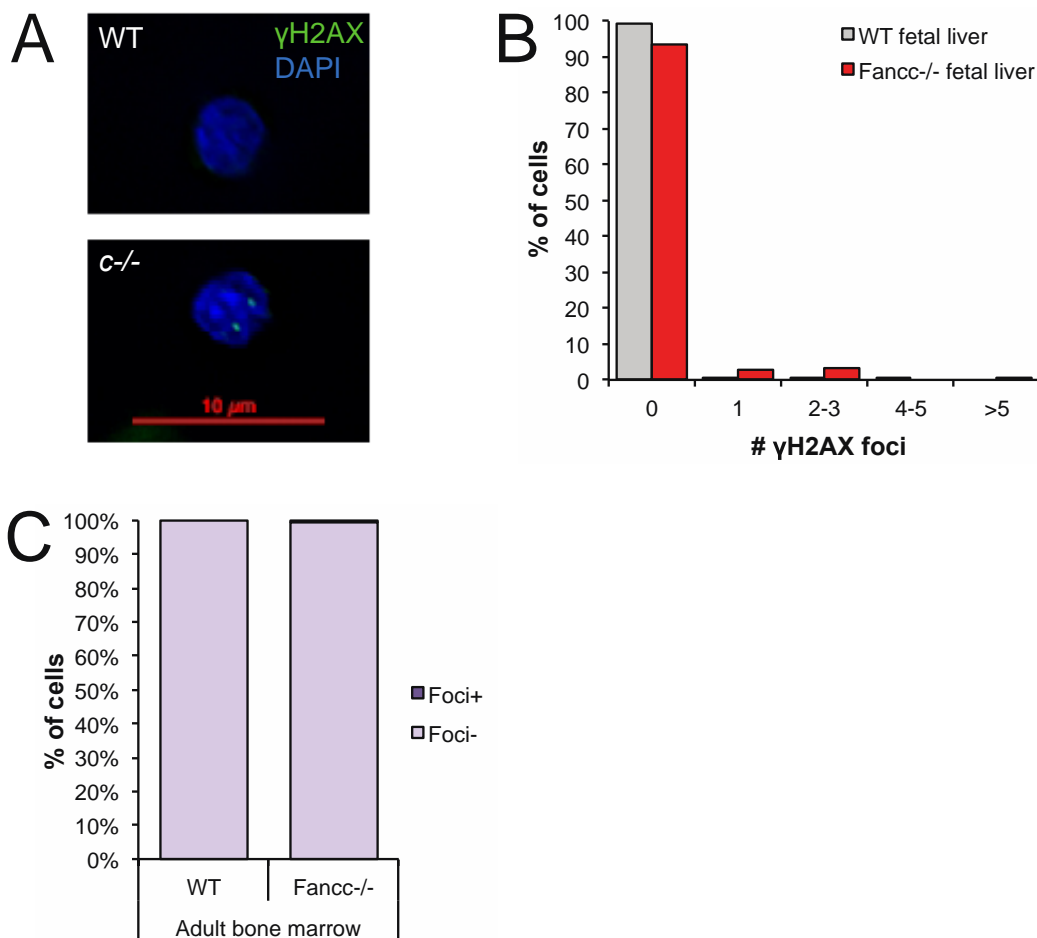


Figure 3.6. Increased γ H2AX foci in Sca-1⁺ immunomagnetically enriched *Fancc*^{-/-} fetal liver and adult bone marrow cells. (A) Immunofluorescence microscopy of Sca-1 enriched C57BL/6 WT and *Fancc*^{-/-} fetal liver cells cytopspun onto slides and stained for γ H2AX (AF488) and DAPI nuclear stain. (B) Quantification of γ H2AX foci in *Fancc*^{-/-} (n= 6 mice) and WT (n= 2 mice) fetal liver cells. (C) Proportions of γ H2AX foci in adult bone marrow Sca-1 enriched cells (n_{WT}= 1 mouse, 68 cells; n_{*Fancc*^{-/-}}= 1 mouse, 48 cells).

(HR) gene *Rad51*, but not *Xrcc2*, was also increased in *Fancc*^{-/-} Sca-1⁺ fetal liver cells (Fig. 3.7A). Using more stringent selection, ASL-sorted cells were assayed for expression of *Xrcc2* and *Hmga2*, the latter of which is a non-histone chromatin remodeling factor that regulates self-renewal and inhibits NHEJ; both were upregulated in *Fancc*^{-/-} ASL cells (Fig. 3.7C). Overall, these results suggest DNA repair induction in fetal liver HSPCs in the absence of an intact FA pathway.

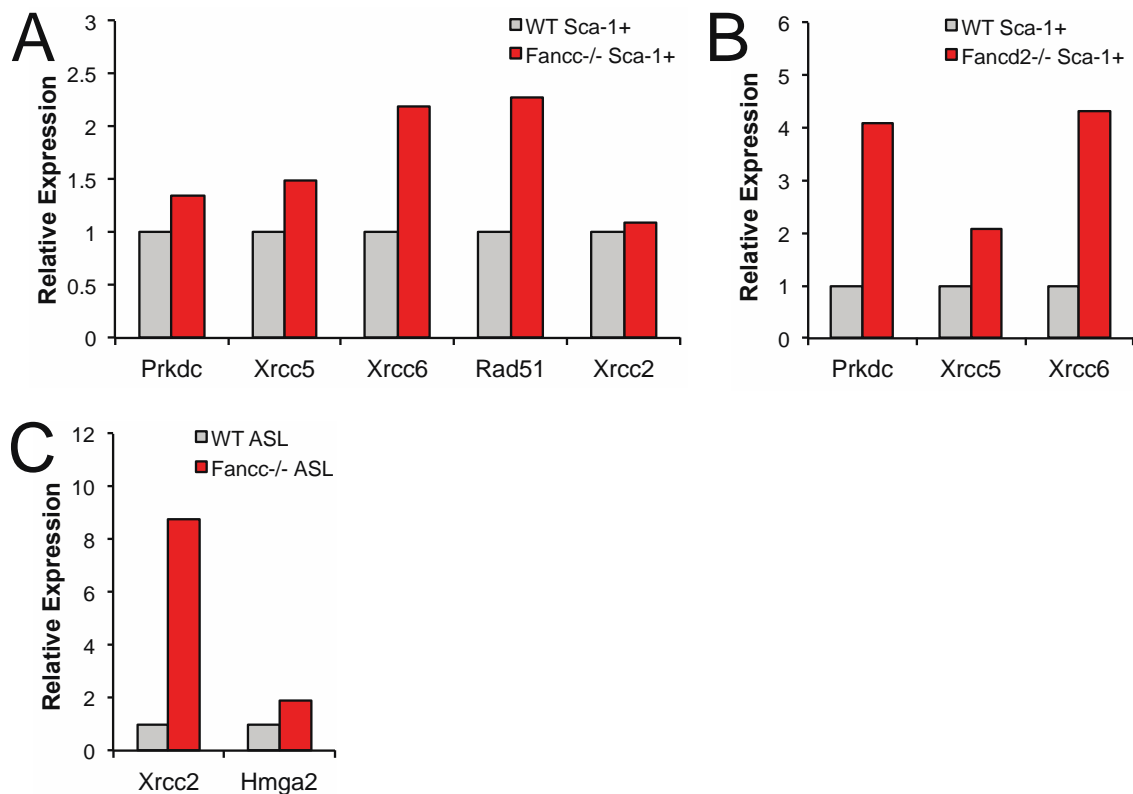


Figure 3.7. Induction of DNA damage response in HSPC-enriched *Fancc*^{-/-} and *Fancd2*^{-/-} fetal liver cells. (A) qRT-PCR analysis of Sca-1 enriched cells from *Fancc*^{-/-} and WT fetal livers (n= 1/genotype) and (B) from *Fancd2*^{-/-} and WT fetal livers (n= 2/genotype). (C) qRT-PCR analysis of *Xrcc2* (n= 1/genotype) and *Hmga2* (n= 2/genotype) in *Fancc*^{-/-} and WT ASL sorted fetal liver cells.

Discussion

Studies in FA patients and mouse models have demonstrated differences in symptoms and severity between different complementation groups and mouse strains¹⁴³. For this reason, we wanted to evaluate a non-core complex FA complementation group and another mouse strain for hematopoietic defects during development. Here, we demonstrate defects in the HSPC compartment in C57BL/6 *Fancd2*^{-/-} and 129Sv (C57BL mixed background) *Fancc*^{-/-} mice, recapitulating the defects we observed in the C57BL/6 *Fancc*^{-/-} model¹⁴⁷.

Our findings in *Fancd2*^{-/-} complement previous reports in postnatal animals^{129,131}. *Fancd2*^{-/-} 14.5 dpc fetal mice exhibited the eye defects and perinatal lethality first observed by Houghtaling *et al.*¹³¹. Interestingly, the sub-Mendelian ratios of *Fancd2*^{-/-} fetuses at 14.5 dpc closely matched those of newborn mice, but the reduced frequency at 1 week of age suggests that more than half succumb to postnatal demise. Furthermore, those that reach adulthood have a significantly diminished repopulation capacity, consistent with published reports by Parmar *et al.*, using the C57BL/6 model, and Zhang *et al.* in the 129Sv *Fancd2*^{-/-} mouse^{129,137}. In the mutant adult animals, though the proportion of HSPC is reduced in the bone marrow and repopulating capacity is significantly impaired, the quantitative defects are less profound than those tested in the fetus, which may be due to selection, in part mediated by survival to adulthood. We investigated *Fancd2*^{-/-} hematopoietic function at an earlier timepoint than has previously been examined, and found evidence of severely stunted growth of the fetal liver and fewer HSPCs in this niche.

Transplantation of the *Fancd2*^{-/-} adult bone marrow revealed a substantial decrease in the proportion donor-derived peripheral blood lymphoid cells and an increase in myeloid cells in primary recipients at 4 weeks post-transplantation, compared to WT-transplanted animals, and these differences normalized by 16 weeks. Many lymphocytes at the 4-week timepoint were passively transferred, and since there was no significant difference by 16 weeks, this suggests that

there may be a microenvironmental defect in the *Fancd2*^{-/-} mouse, which has been largely unexplored in the FA field. In future studies, we will compare the adult data to the repopulating capacity of *Fancd2*^{-/-} fetal liver HSPCs to ascertain whether the defect becomes more or less severe postnatally.

Both the *Fancc*^{-/-} and *Fancd2*^{-/-} mice that we have assayed have been in the C57BL/6 strain, but some heterogeneity across inbred mouse strains has been observed in FA mouse models^{132,143}. In the C57BL/6 strain, the number of hematopoietic stem cells increases with age, though repopulation potency is limited compared to younger animals^{142,149}. To ensure that our observations were not unique to C57BL/6 mice, we examined the fetal livers of 129Sv (C57BL mixed background) *Fancc*^{-/-} animals. Our findings indicate that FA developmental defects in hematopoiesis are not C57BL/6 strain-specific.

For lifelong hematopoiesis, the survival and maintenance of HSCs is dependent upon DNA repair. Zhang *et al.* reported congenital bone marrow failure in a DNA-dependent protein kinase catalytic subunit (DNA-PKcs) mutant mouse; this enzyme is critical for repair of double strand breaks (DSB) by NHEJ, as well as V(D)J recombination in lymphocytes¹⁵⁰. Both the FA and HR pathways were affected, resulting in a severe impairment in DSB repair. Mutations in other genes involved in DNA repair, including NHEJ, nucleotide excision repair, interstrand crosslink repair, and DNA damage response have been demonstrated to negatively impact the HSPC compartment as well¹⁵¹⁻¹⁵³. Although the increase

in γ H2AX foci in *Fancc*^{-/-} HSPC-enriched fetal liver cells was modest, evidence of activation in NHEJ and HR from gene expression analysis supports our hypothesis that endogenous DNA damage is increased in *Fancc*^{-/-} and *Fancd2*^{-/-} HSPC-enriched fetal liver cells (limitations in sample size will be addressed in future work). Further studies will ascertain to what extent other DNA repair pathways, including translesion synthesis and nucleotide excision repair, both of which are involved in FA pathway-directed repair, are activated in FA gene-deficient fetal HSPCs. Furthermore, changes in expression must be assayed at the protein level for several genes to rule out posttranscriptional regulation.

Lastly, our gene expression analysis in *Fancc*^{-/-} ASL cells detected increased expression of *Hmga2*, a chromatin-associated protein with DNA-binding domains that participates in transcriptional regulation. *Hmga2* is involved in the high self-renewal activity of fetal HSPCs and is expressed at relatively high levels in these cells, but is translationally downregulated during the transition to a more quiescent, adult phenotype¹⁵⁴. Its increased expression in *Fancc*^{-/-} ASL cells may be reflective of increased self-renewal activity^{154,155}, which could compensate for a reduced quantity of HSPCs in the liver, however, further investigation into their replicative activity is warranted. Additionally, studies in cell lines have demonstrated that HMGA2 pauses clearance of γ H2AX after induction of double-strand breaks and interferes with NHEJ by delaying the release of the DNA-PKcs complex from double-strand breaks, facilitating an accumulation of DNA damage^{156,157}. The absence of an intact FA pathway to coordinate proper double-

strand break repair via HR, with concomitant inhibition of NHEJ by *Hmga2*, may compound the DNA repair defect in these cells. Thus, further research is needed to elucidate whether the increase in *Hmga2* expression in *Fancc*^{-/-} fetal liver HSPCs contributes to genomic instability.

In summary, we have demonstrated that fetal liver hematopoietic defects are not mouse strain- or complementation group-specific, and provide evidence for endogenous DNA damage and increased stimulation of NHEJ and HR pathways in fetal HSPCs. Although much evidence points to DNA damage as the main cause of hematopoietic failure in FA, more research is warranted to definitively demonstrate this and to pinpoint the mechanisms that interfere with HSPC self-renewal in the absence of a functional FA pathway. Further studies to define the mechanisms used to respond to endogenous DNA damage will provide insight into strategies for therapeutic intervention in self-renewing stem cells, which are scarcer in postnatal hematopoietic tissue.

Materials and Methods

Mice

Mice were handled in accordance with the OHSU IACUC. C57BL/6 *Fancc*^{+/-} and 129Sv (C57BL mixed background) *Fancc*^{+/-} mice were kindly provided by M. Grompe^{131,133}. Heterozygotes were mated for timed pregnancy and 14.5 dpc fetuses were harvested and processed as described previously¹⁴⁷. For *Fancc* adult bone marrow assays, 8-9 week-old mice were anesthetized with isoflurane

and peripheral blood was drawn from the retroorbital sinus. Mice were sacrificed and bone marrow was harvested for use in subsequent assays.

Cellular Assays

Methylcellulose progenitor assays were performed as described previously¹⁴⁷.

Flow cytometry

Fetal liver cells were stained as described previously¹⁴⁷. Peripheral blood from transplant recipients was stained with CD45.1-FITC, CD45.2-PE-Cy7, CD3-PE, B220-PE, Gr-1-APC, and Mac-1-APC (BD Biosciences) for chimerism and lymphoid versus myeloid analysis. Events were acquired on a FACSCalibur and LSR II (BD Biosciences) and analyzed using FlowJo software (TreeStar).

Transplantation

Unfractionated *Fancd2*^{-/-} and WT bone marrow cells (1×10^6) were injected via tail vein into irradiated (750 cGy) congenic CD45.1/.2 recipients. Blood draws were performed at 4 and 16 weeks.

Complete blood counts

Complete blood counts were performed on a HemaVet 950FS (Drew Scientific, Inc.).

Immunofluorescence

Fetal liver or adult bone marrow cells were immunomagnetically enriched with Sca-1-PE antibody (BD Biosciences) and the PE Positive Selection Kit (StemCell Technologies). Cells were fixed in 2% PFA and then cytopun (Shandon Cytospin, Thermo Scientific). Cytospins were stained with anti- γ H2AX antibody (Upstate/Millipore), followed by AF488 donkey anti-mouse secondary antibody (Molecular Probes) and DAPI and imaged on an ApoTome with ZenBlue software (Zeiss).

Quantitative real-time PCR

Sca-1⁺ cells were enriched (as above). Fetal liver cells were stained with lineage antibodies (CD3, CD4, CD5, B220, Gr-1, Ter119), Sca-1, and AA4.1 (BD) for ASL sort, and propidium iodide, and sorted into Qiazol (Qiagen) by FACS on a BD Influx. RNA was purified using the RNeasy Micro Kit (Qiagen), and then converted to cDNA using SuperScript III First Strand (Invitrogen). The cDNA was analyzed by quantitative real-time PCR (StepOne Plus, Applied Biosystems) using Power SYBR Green Master Mix (Applied Biosystems). *Fancc*^{-/-} and WT samples were normalized to β -actin, and *Fancc*^{-/-} gene expression was normalized to WT. Relevant transcripts were previously described⁵².

Statistical analysis

Numerical results are expressed as mean (\pm standard error of the mean as indicated) and compared using an independent Student's t-test.

**CHAPTER 4: NUTRITIONAL PROGRAMMING OF THE
HEMATOPOIETIC STEM AND PROGENITOR CELL POOL BY
HIGH-FAT DIET AND MATERNAL OBESITY**

Abstract

The developing fetus is highly susceptible to metabolic cues, and evidence for *in utero* programming of adult disease has been found in several organ systems. Here, we show that maternal obesity, especially due to gestational high-fat diet, impairs development of the murine fetal hematopoietic stem and progenitor (HSPC) cell pool, leading to a decrease in immunophenotypic HSPCs, a reduction in short-term repopulation after transplantation, and an expansion of the lymphoid compartment. A high-fat diet in the absence of obesity significantly elevated fetal lymphoid and myeloid cells. These outcomes were only partly ameliorated by diet adjustments during breeding and gestation for obese dams. Mechanistically, our studies of fetal hematopoietic tissue revealed perturbations in expression of several critical genes involved in DNA damage response, including *Ogg1*, which functions in base excision repair, along with *Hmga2*, a non-histone architectural factor involved in double-strand break signaling, hematopoietic stem cell self-renewal, obesity, and type 2 diabetes. Our data identify the fetal hematopoietic stem cell pool as a previously unrecognized target of *in utero* metabolic injury that elicits a DNA repair response. Genomic integrity is critical to HSPC expansion and the data suggest that pregnancy constitutes a period of previously unrecognized susceptibility to metabolic injury in the fetal HSPC pool.

Introduction

The rise in obesity rates over the past several decades coincides with an increase in the prevalence of a range of diseases, not only in obese individuals, but progressively more in their offspring.¹⁵⁸⁻¹⁶² Little is known about the mechanisms underlying the fetal programming of postnatal disease, but an association with maternal obesity as well as overnutrition has been noted.¹⁶³ Even though unhealthful maternal dietary manipulation generally results in insidious disease onset without gross organ compromise during infancy, compelling evidence indicates the long-term (*in utero*) metabolic programming of postnatal neurological, cardiovascular and endocrine complications^{12,13,164,165}. These and other studies reveal prenatal development as a period of global vulnerability for metabolic injury and organ dysfunction, pointing to a crucial role for fetal programming by maternal high-fat diet (HFD)^{119,166}. Recent evidence also demonstrates fetal programming of the immune system, with increased disease susceptibility later in life (as reviewed in^{17,167,168}). Obesity and excess dietary fat induce alterations in immune cell numbers, impair immune responses, and promote inflammation^{109,169,170}. Mice receiving HFD have altered leukocyte subpopulations, thymic enlargement, and show changes in chemokine signaling^{171,172}. This is consistent with maturation of the immune system during development, when adaptive immunity and central and peripheral tolerance first arise *in utero*, and may point to the origin of these permanent adaptations.¹⁷³

Hematopoietic stem and progenitor cells (HSPC) give rise to the complete spectrum of blood and immune cells. Their self-renewal and differentiation are responsive to metabolic signaling cues, as they heavily rely on fatty acid oxidation and glycolysis^{107,108,174,175}. HSPC maintenance and injury response are critically dependent on intact DNA repair^{52,105}. Indeed human cells are known to lose competitive repopulation capacity as a result of accruing DNA damage¹⁷⁶. Much less is known about the HSPC injury response during development, when the fetal liver serves almost exclusively as a hematopoietic organ for the more than 40-fold net expansion of the HSPC pool^{83,177}.

We recently showed that maternal overnutrition severely impacts fetal body composition and disproportionately stunts neonatal development¹⁷⁷. Here, we hypothesized that fetal metabolic programming via HFD would compromise HSPC pool development. Using a validated model of maternal dietary manipulation, we report that maternal obesity, with and without *in utero* exposure to HFD, compromises fetal HSPC number and function, revealing lymphoid skewing of fetal hematopoiesis and deficits in short-term repopulation after competitive transplantation. Additionally, we demonstrate alterations in transcriptional activity among candidate genes involved in DNA repair, which is critical to preserving HSPC function. Our observations of diet- and obesity-induced compromise in the fetal HSPC pool provide compelling evidence for metabolic programming of the hematopoietic system.

Results

We used three dietary strategies to test the effect of a HFD, a HFD with maternal obesity, and obesity without HFD on fetal hematopoietic development at 14.5 dpc (days post coitum). We monitored weight gain from 0.5 to 14.5 days of gestation in dams for all three sets. A representative image of nonpregnant control and chronic HFD female mice is shown in Fig. 4.1A. Weights were significantly elevated in chronic HFD dams, compared to controls, for both 0.5 and 14.5 dpc (Fig. 4.1B). The percent weight gain of dams during gestation was also calculated in order to compare different treatments; acute HFD dams gained significantly more than obese dams, as they began gestation with a lower body mass (Fig. 4.1C).

Acute HFD leads to expansion of the lymphoid compartment

To investigate the effect of HFD without preexisting obesity on fetal hematopoietic programming, we fed HFD or control diet to a cohort of female mice for 2 weeks and during subsequent mating and gestation, harvesting fetuses at 14.5 dpc. Two weeks was chosen in order to allow the animals to adjust to the new diet, and is a short enough timepoint in order to prevent them from becoming obese. Acute HFD litter sizes were larger than controls (Fig. 4.2A, $P = 0.02$). While HFD fetuses had approximately equivalent placenta size, body mass and liver cellularity were significantly increased, compared to controls (Fig. 4.2B-D). Despite having a higher liver cell content, the hematopoietic progenitor cell (HPC) frequency in acute HFD livers was somewhat lower than in controls

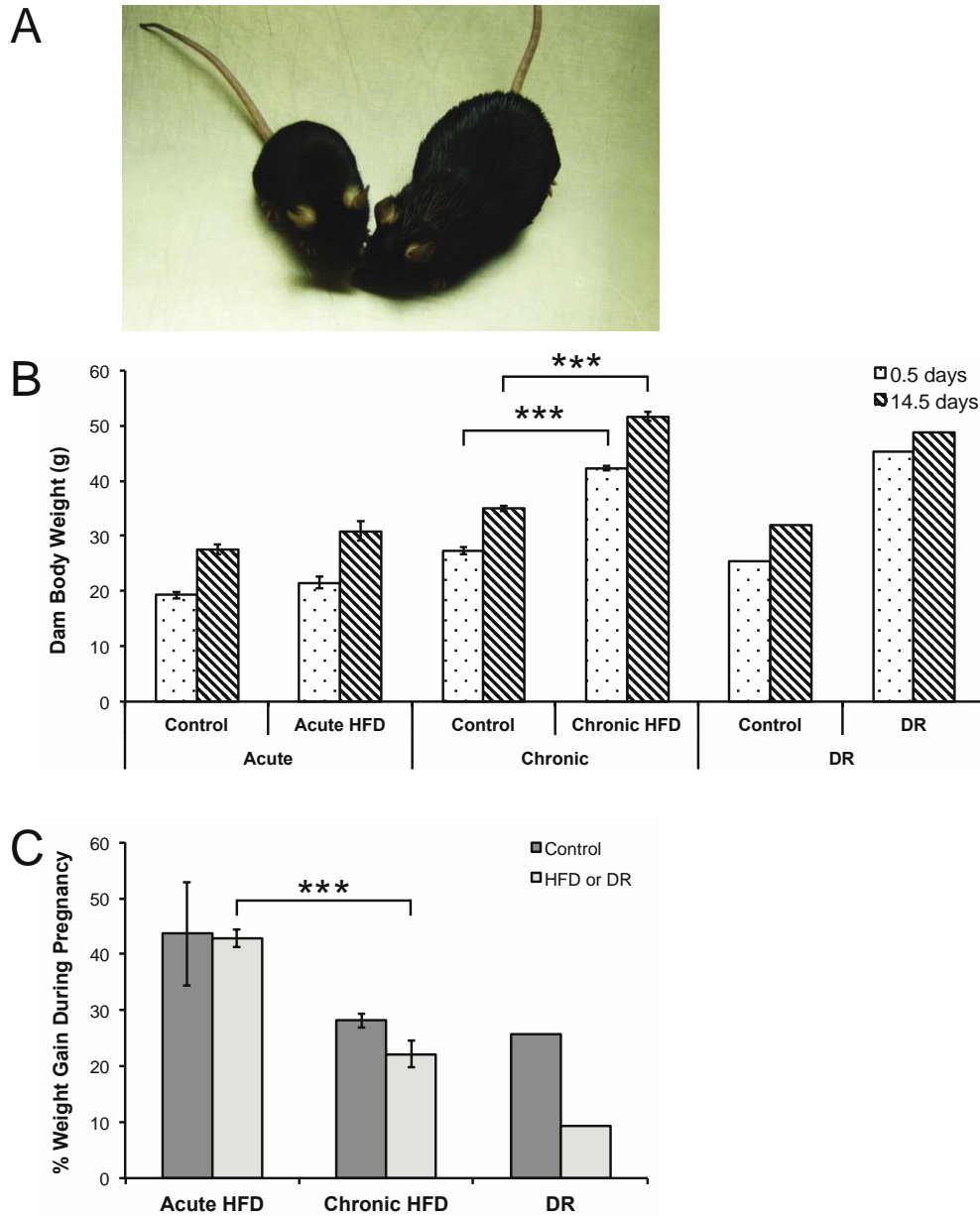


Figure 4.1: Maternal weight gain during pregnancy. (A) Representative photo of a non-pregnant control diet-fed (left) versus a chronic HFD-fed (right) female mouse. (B) Weights for dams on day 0.5 and 14.5 of gestation (acute HFD $n_{\text{Control}} = 3$, $n_{\text{HFD}} = 5$; chronic HFD $n_{\text{Control}} = 4$, $n_{\text{HFD}} = 5$; diet reversal $n_{\text{Control}} = 2$, $n_{\text{DR}} = 2$). 2-tailed Student's T-test: 0.5 dpc acute HFD to control $P = 0.17$, for 14.5 dpc $P = 0.22$; 0.5 dpc chronic HFD to control $P < 0.001$, for 14.5 dpc $P < 0.001$. (C) Percent weight gain during pregnancy (expressed as percent of weight by 14.5 dpc to starting weight on gestational day 0.5) was calculated in order to compare dam weight gain across experiments, as the three experimental setups each contained dams at different ages (acute HFD $n_{\text{Control}} = 3$, $n_{\text{HFD}} = 5$, $P = 0.92$; chronic HFD $n_{\text{Control}} = 4$, $n_{\text{HFD}} = 5$, $P = 0.08$; DR $n_{\text{Control}} = 2$, $n_{\text{DR}} = 2$). Comparing across experiments, for acute control vs. chronic control, $P = 0.11$, for acute HFD vs chronic HFD, $P < 0.001$. Error bars are standard error of the mean. T-tests were 2-tailed and asterisks indicate $P \leq 0.05$ for *, $P \leq 0.01$ for **, and $P \leq 0.001$ for *** (T-test was not used for DR group because $n < 3$).

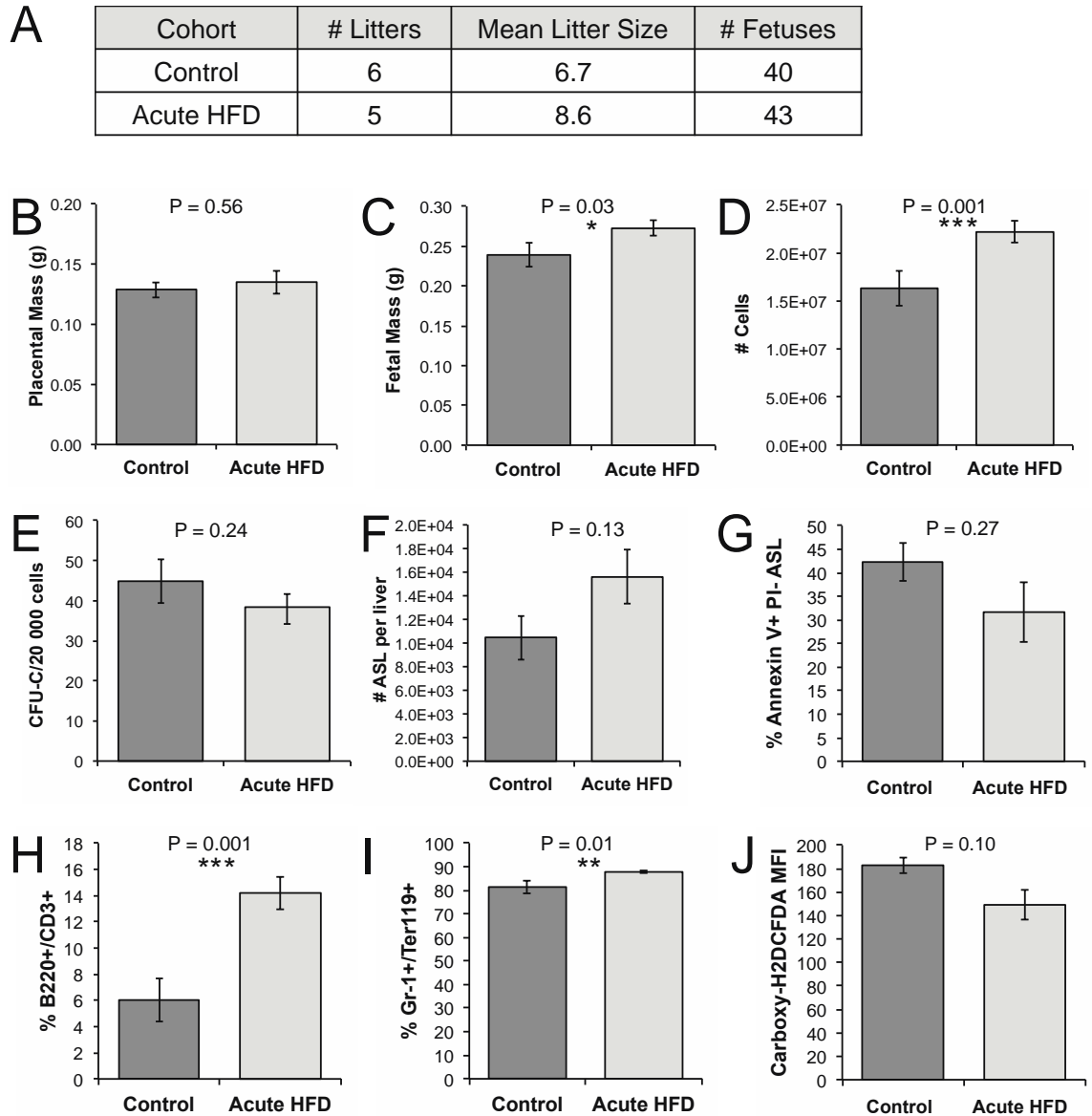


Figure 4.2: Acute HFD induces a significant increase in fetal liver cellularity, including lymphoid and myeloid cells. (A) Litter data. (B) Placental weights. (C) Fetal weights. (D) Cells per liver. For B-D, $n_{\text{Control}} = 40$, $n_{\text{HFD}} = 43$. (E) Hematopoietic progenitor cell frequency as colony forming units per 20,000 cells, $n_{\text{Control}} = 10$, $n_{\text{HFD}} = 8$. (F) The number of ASL HSPCs per liver was calculated from flow cytometry and liver cell counts (ASL per liver: $n_{\text{Control}} = 6$, $n_{\text{HFD}} = 9$). (G) Apoptotic ASL fetal liver cells. (H) Percent lymphoid (B220⁺ or CD3⁺) cells in fetal liver. (I) Percent myeloid (Gr-1⁺ or Ter119⁺) cells in fetal liver. (J) Median fluorescence intensity of the reactive oxygen species detector carboxy-H₂DCFDA in ASL fetal liver cells. Error bars reflect standard error of the mean. T-tests were 2-tailed and asterisks indicate $P \leq 0.05$ for *, $P \leq 0.01$ for **, and $P \leq 0.001$ for ***.

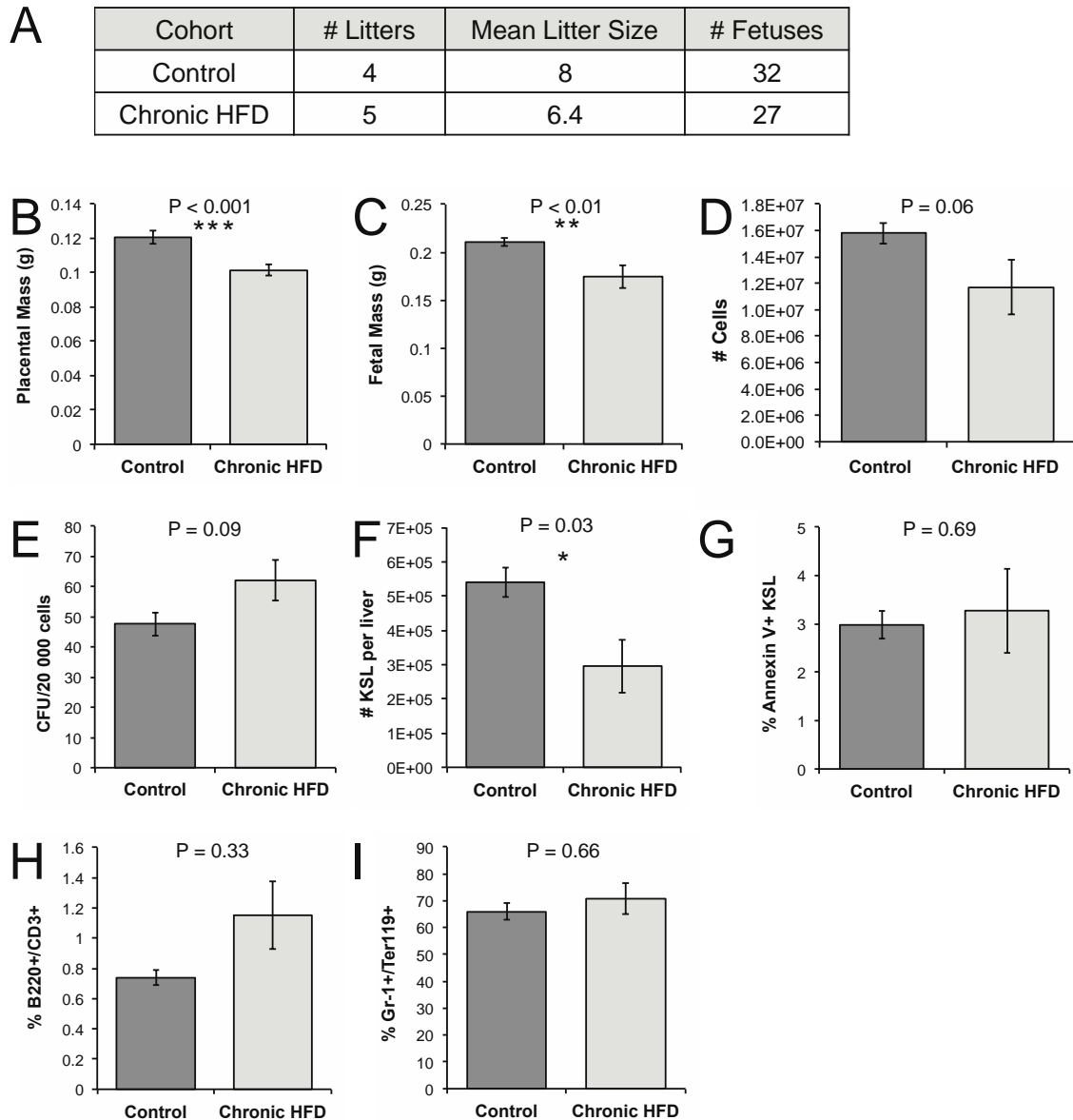


Figure 4.3: Maternal obesity with HFD causes a significant decrease in fetal liver KSL cells. (A) Litter data. (B) Placental weights ($n_{\text{Control}} = 32$, $n_{\text{HFD}} = 27$). (C) Fetal weights ($n_{\text{Control}} = 32$, $n_{\text{HFD}} = 27$). (D) Cells per liver ($n_{\text{Control}} = 30$, $n_{\text{HFD}} = 27$). (E) Hematopoietic progenitor cell frequency as colony forming units per 20,000 cells. (F) Number of c-Kit⁺ Sca-1⁺ Lin^{low/-} cells in HFD vs control-diet fetal livers ($n_{\text{Control}} = 9$, $n_{\text{HFD}} = 15$). (G) Annexin V⁺ KSL fetal liver cells ($n = 3$ per cohort). (H) Percent lymphoid (B220⁺ or CD3⁺) cells in fetal liver. (I) Percent myeloid (Gr-1⁺ or Ter119⁺) cells in fetal liver. Error bars reflect standard error of the mean and T-tests were 2-tailed and asterisks indicate $P \leq 0.05$ for *, $P \leq 0.01$ for **, and $P \leq 0.001$ for ***.

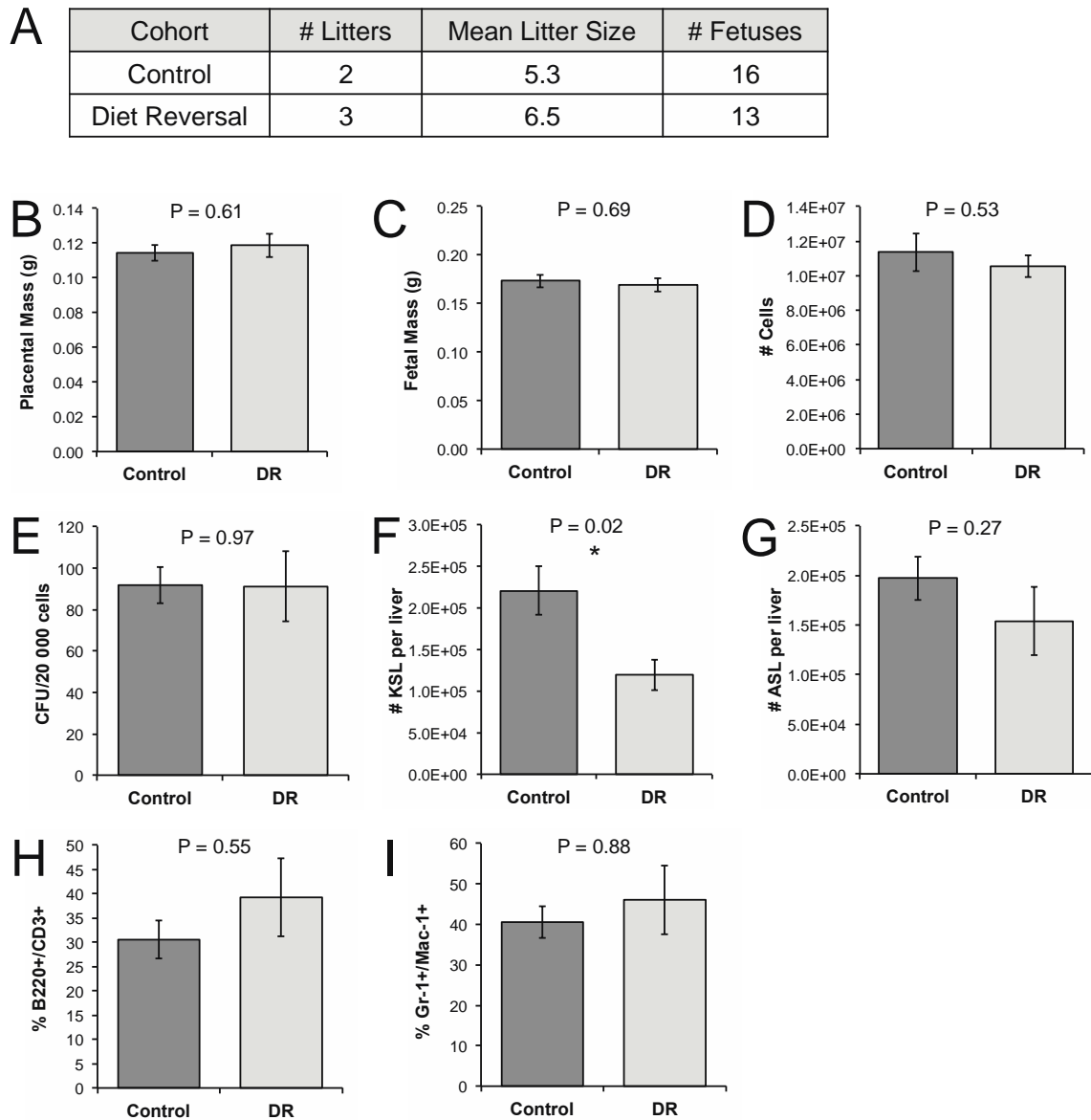


Figure 4.4: Maternal diet reversal ameliorates some fetal defects, but not the diminished KSL content. (A) Litter data. (B) Placental weights. (C) Fetal weights. (D) Cells per liver. For B-D, $n_{\text{Control}} = 16$, $n_{\text{DR}} = 13$. (E) Hematopoietic progenitor frequency, $n_{\text{Control}} = 3$, $n_{\text{DR}} = 3$. (F) Percent KSL and (G) ASL cells in fetal liver ($n_{\text{Control}} = 13$, $n_{\text{DR}} = 9$). (H) Percent lymphoid cells and (I) myeloid cells in fetal liver and asterisk indicates $P \leq 0.05$. DR = diet reversal.

(Fig. 4.2E), though the number of AA4.1⁺ Sca-1⁺ Lin^{low/-} (ASL) fetal HSPCs was increased (Fig. 4.2F). However, when the total liver cell counts were not considered, the proportion of ASL cells in acute HFD livers was lowered by 71 percent ($P = 0.001$) (data not shown). Annexin V staining suggested that apoptosis did not account for the relative decrease of acute HFD fetal liver ASL cells at 14.5 dpc (Fig. 4.2G). In comparison to the control cohort, acute HFD fetal livers had a 58% increase in lymphoid cells ($P = 0.002$) but no significant increase in myeloid cells ($P = 0.01$) (Fig. 4.2H-I). Lastly, since increased fat peroxidation has been shown to increase levels of reactive oxygen species (ROS), we used flow cytometry to measure relative ROS in fetal liver cells with the fluorescent detection dye, carboxy-H₂DCFDA, but did not detect a significant difference between acute HFD and control livers (Fig. 4.2J). In sum, acute HFD fetuses exhibit increased body mass and liver cellularity, a stunted HSPC pool, and a larger proportion of lymphoid and myeloid cells in the fetal liver.

Chronic HFD reduces overall fetal liver cellularity and constrains the HSPC pool

Next, we wanted to examine the long-term effect of a HFD on hematopoietic development. In dams fed a HFD for ≥ 6 months, the average litter size at day 14.5 of gestation was not significantly different from litters of dams fed a control diet ($P = 0.1$, Fig. 4.3A). Chronic HFD 14.5 dpc placentas were an average of 16% smaller than those of controls (Fig. 4.3B), while fetuses were 17% smaller (Fig. 4.3C). The cellularity of chronic HFD livers trended downward, compared to controls (Fig. 4.3D), and the frequency of liver HPCs was not significantly

increased (Fig. 4.3E). Chronic HFD livers contained significantly fewer c-Kit⁺ Sca-1⁺ Lin^{low/-} (KSL) HSPCs than controls, totaling a 45% decrease (Fig. 4.3F). To investigate whether some of the KSL cell loss is attributed to apoptosis at this particular time of development, we stained them with Annexin V and analyzed them by flow cytometry, but did not find a difference between the two cohorts (Fig. 4.3G). The B220⁺/CD3⁺ lymphoid populations were moderately increased in chronic HFD fetal livers, but the Gr-1⁺/Ter119⁺ myeloid populations were similar to controls (Fig. 4.3H-I). Overall, offspring of chronic HFD-fed, obese dams were significantly smaller in size, with a disproportionate decrease in liver cellularity and KSL cells.

Diet reversal during pregnancy ameliorates liver cellularity but not HSPC population

We next tested the effect of obesity without HFD by feeding former chronic HFD-fed, obese dams a control diet through mating and gestation, and then assaying fetal mice at 14.5 dpc. We harvested 3 diet reversal (DR) litters and 2 control litters (Fig. 4.4A). In contrast to the chronic and acute HFD cohorts, diet reversal (DR) offspring showed no significant difference in their placental or fetal masses, compared to controls (Fig. 4.4B-C), nor did they exhibit significant differences in fetal liver cellularity and HPC frequency (Fig. 4.4D-E). Calculation of HSPCs per liver revealed a 46% loss in KSL cells and a downward trend in ASL cell content in DR offspring (Fig. 4.4F-G). There were no significant increases in lymphoid and myeloid populations in DR livers (Fig. 4.4H-I). Overall, DR ameliorated the

effects of chronic maternal HFD on placental and fetal mass as well as whole fetal liver cellularity, despite persistence of maternal obesity, but did not improve KSL numbers.

Reduction in repopulating capacity of fetal liver HSPC after chronic maternal HFD exposure

Due to HSPC deficits in chronic HFD fetal livers, we wanted to definitively test the function of this population by transplantation. Unfractionated chronic HFD and control fetal liver cells were mixed with an equivalent number of competing, wild-type adult bone marrow cells and injected into CD45.1 adult mice conditioned with 750 cGy (Fig. 4.5A). At 4 weeks post-transplant, peripheral blood was drawn; donor chimerism from chronic HFD fetal liver-derived cells showed a downward trend compared to that of control fetal liver-derived cells. By 28 weeks post-transplant, donor chimerism in HFD-transplanted animals was not significantly higher than that of the control group (Fig. 4.5B).

To determine whether a similar trend is present in offspring from obese dams switched to control diet, we transplanted DR and control fetal liver cells using the same method as above, and measured peripheral blood chimerism at 4 and 18 weeks. Mice transplanted with DR fetal liver cells showed improved short-term donor chimerism relative to the chronic HFD transplant, but were still somewhat lower than controls, whereas repopulation at 18 weeks trended lower for DR donor cells than controls (Fig. 4.5C). Together, the data illustrate the broad

impact of HFD on immunophenotypically defined stem and progenitor cell population with compromised repopulating capacity that is followed by rebound gains in chimerism that coincide with expansion of the lymphoid compartment.

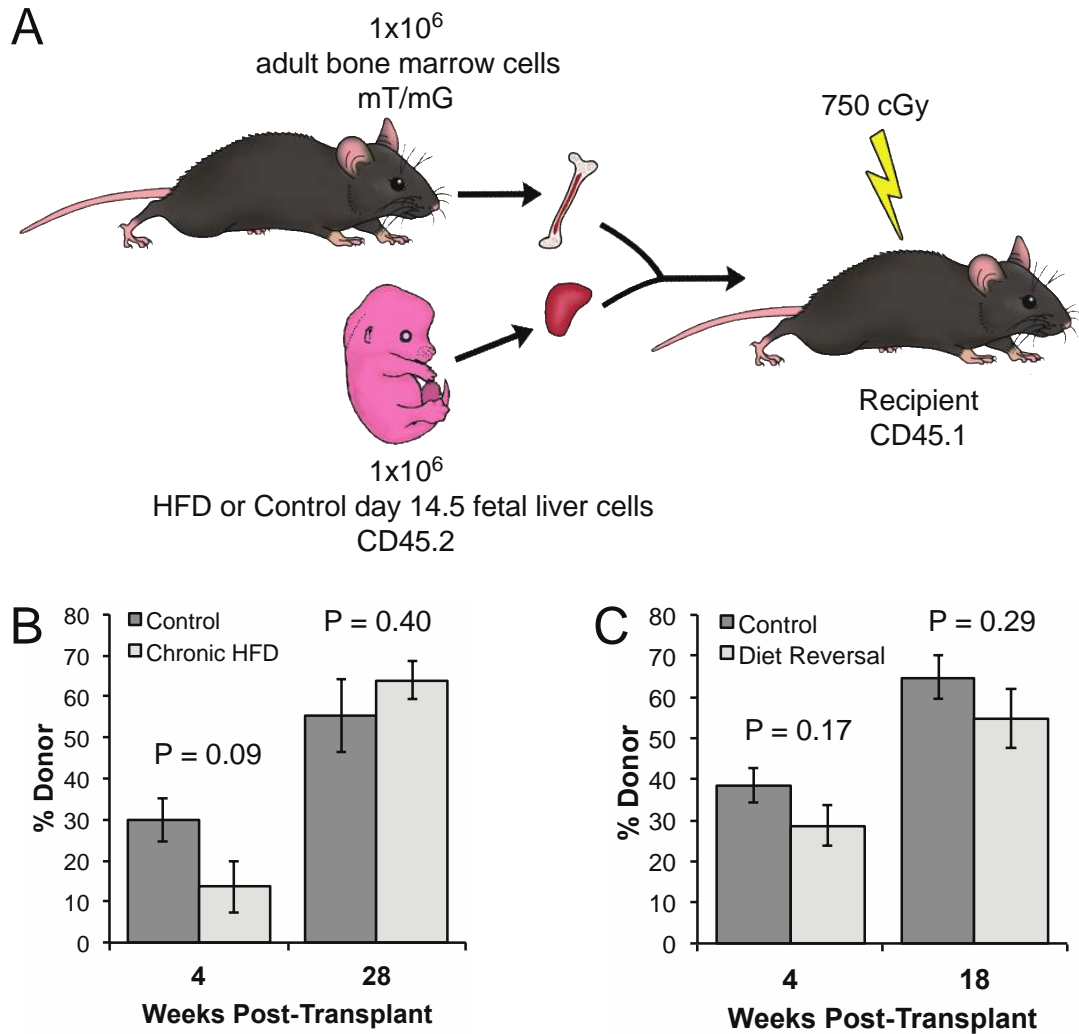


Figure 4.5: Defects in short-term engraftment of HFD and DR HSPCs. (A) Schematic for competitive transplantation. B. Chronic HFD at 4 and 28 weeks ($n_{\text{Control}} = 5$, $n_{\text{HFD}} = 6$). (B) Diet-reversal at 4 and 18 weeks ($n_{\text{Control}} = 5$, $n_{\text{DR}} = 5$).

In utero exposure to maternal HFD elicits a broad DNA damage response in fetal liver

Results from the hematopoietic assays led us to hypothesize that HFD programming and maternal obesity stressed highly replicative fetal liver HSPCs. Others have shown that HFD increases oxidative stress and oxidative DNA damage, to which HSCs are especially vulnerable^{176,178-180}. Thus, we assayed fetal livers for expression of candidate genes critically involved in DNA damage response and repair. *Trp53bp1* encodes 53BP1, a DNA damage binding protein. *Xrcc5* (Ku80) is required for non-homologous end-joining (NHEJ) DNA repair and V(D)J recombination. Both genes were significantly upregulated in acute HFD livers, along with the base excision repair (BER) glycosylase, *Ogg1* (Fig. 4.6A). *Atm* is involved in initiation of the cell cycle checkpoint for DNA damage, and *Ercc1* mostly functions in nucleotide excision repair (NER); neither of these were differentially expressed between acute HFD and control livers. Similar to the acute group, *Trp53bp1* was also upregulated in chronic HFD livers, though *Ogg1* was slightly downregulated (Fig. 4.6B). Interestingly, *Hmga2*, a gene that functions in DNA repair^{156,157,181,182}, regulation of adipocyte proliferation¹⁸³, and neural and hematopoietic stem cell self-renewal^{154,155}, was upregulated more than two-fold in chronic HFD livers. Diet reversal was the only group in which *Atm* expression was increased, though *Ogg1* was downregulated as in the chronic HFD livers (Fig. 4.6C). In postnatal day 1 mice from dams fed HFD for approximately 25 weeks, expression of *Trp53bp1* was significantly upregulated, by almost two-fold in the liver (Fig. 4.6D). Interestingly, there was a larger

difference in HFD versus control female pup livers than in their male counterparts. Altogether, HFD induced upregulation of *Trp53bp1*, while obesity without HFD did not. For BER-involved genes, *Ogg1* expression increased with non-obese HFD programming and decreased with maternal obesity, but only maternal obesity accompanied by HFD increased fetal liver *Hmga2* expression. The data in aggregate demonstrate a broad response to metabolic injury that is only partially ameliorated by diet reversal during pregnancy.

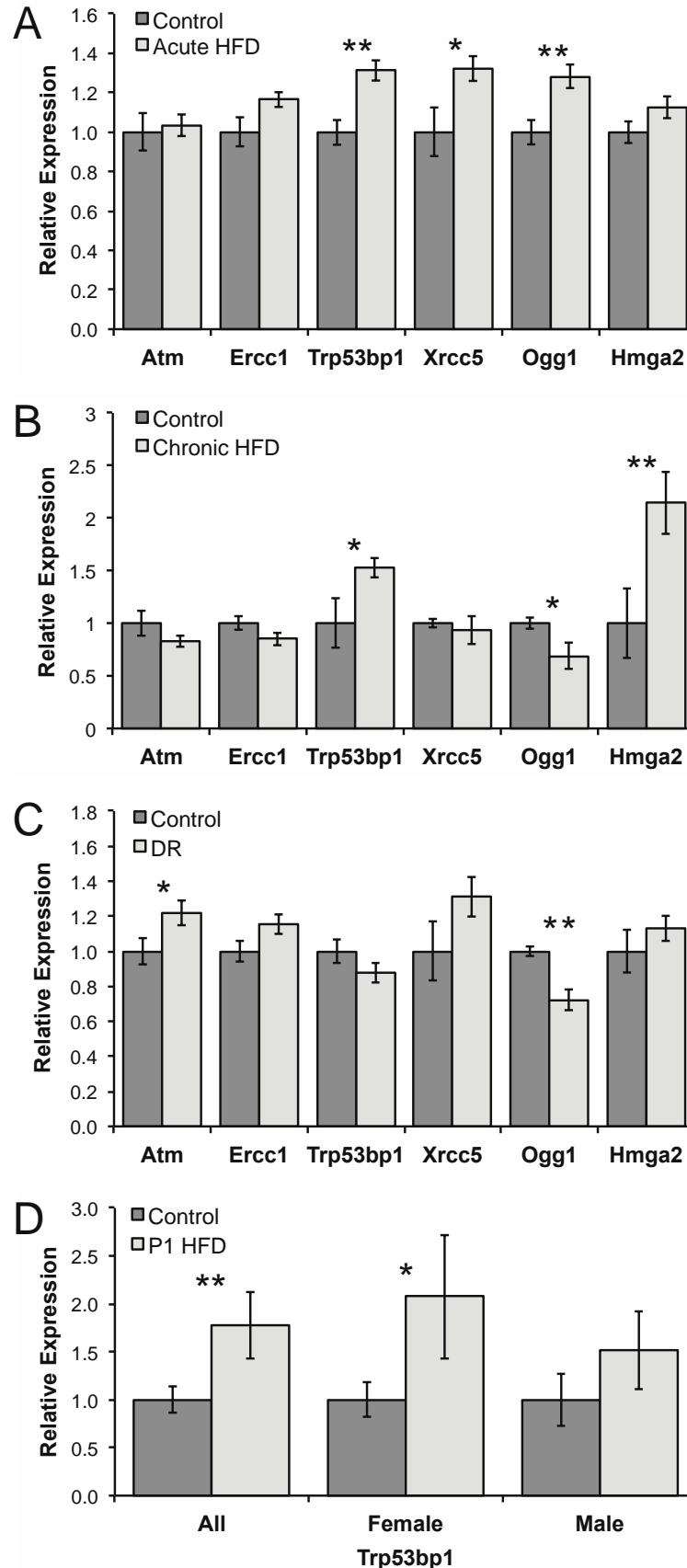


Figure 4.6: Fetal liver induction of DNA repair genes by HFD and obese intrauterine environment

(A) Acute HFD fetal livers, $P_{ATM} = 0.74$, $P_{Ercc1} = 0.08$, $P_{Trp53bp1} < 0.01$, $P_{Xrcc5} = 0.02$, $P_{Ogg1} = 0.01$, $P_{Hmga2} = 0.16$ ($n_{Control} = 5$, $n_{HFD} = 5$). (B) Chronic HFD fetal livers, $P_{ATM} = 0.22$, $P_{Ercc1} = 0.18$, $P_{Trp53bp1} = 0.02$, $P_{Xrcc5} = 0.73$, $P_{Ogg1} = 0.04$, $P_{Hmga2} = 0.01$ ($n_{Control} = 3$, $n_{HFD} = 5$, $n_{HFD} = 4$ for *Ogg1* only). (C) Diet reversal fetal livers, $P_{ATM} = 0.03$, $P_{Ercc1} = 0.09$, $P_{Trp53bp1} = 0.19$, $P_{Xrcc5} = 0.13$, $P_{Ogg1} < 0.01$, $P_{Hmga2} = 0.28$ ($n_{Control} = 6$, $n_{DR} = 9$). (D) Expression of *Trp53bp1* in postnatal day 1 livers from the 3rd litters of dams fed HFD for 25 weeks. $n=8$ all together per condition, $n=4$ per condition and sex, $P_{All} < 0.01$, $P_{Female} = 0.03$, $P_{Male} = 0.14$. T-test was performed on ΔC_T values and asterisks indicate $P \leq 0.05$ for *, and $P \leq 0.01$ for **.

Discussion

Several lines of evidence implicate metabolic injury by maternal overnutrition in fetal programming of chronic diseases and long-term consequences have been documented in multiple organ systems. Murine models of maternal HFD and obesity demonstrate a pervasive effect on offspring, including reproductive defects, fetal growth restriction, defects in brain development, cardiac abnormalities, and endocrine dysfunction^{12,165,184-186}. In humans, disease onset is frequently delayed, but the late effects of fetal programming are apparent in epidemiological studies of maternal obesity and offspring. The hematopoietic stem cell pool has not been studied as a target of developmental programming. In a series of dietary manipulations, we systematically evaluated the impact of acute and chronic maternal HFD on fetal hematopoietic development, as well as the potential rescue by gestational diet reversal in the context of established maternal obesity. We show that metabolic injury from maternal obesity, with or without a gestational HFD, significantly constrains HSPC pool size and elicits a transcriptional DNA repair response at a critical time for hematopoietic development *in utero*⁸³.

During development, the fetal liver provides little metabolic function relative to its postnatal activity, but is a critical site for expansion of the HSPC pool. Accordingly, the major long-term impact of metabolic damage to this organ during gestation is anticipated to be hematopoietic. This is borne out by the gross differences in liver cellularity we observed during midgestation (14.5 dpc) in

chronic HFD-programmed fetal mice, when 90% of the fetal liver mass is composed of hematopoietic cells. These initial observations are consistent with previous reports in neonatal mice and fetal macaques that were developmentally programmed under HFD and showed a disproportionate stunting of liver growth relative to body weight, although hematopoietic function was not tested in those studies^{125,177}.

Indeed, immunophenotypic analysis of the fetal HSPC compartment showed not only that programming via acute HFD significantly compromised the stem and progenitor cells (KSL/ASL) but was associated with significant increases in lymphoid and myeloid populations, indicating the production of more differentiated blood cells. Considering that acute HFD produced a significant expansion of the lymphoid cell population without an increase in apoptosis, we conclude that maternal HFD exerts pressure on the fetal hematopoietic system to prioritize lymphocyte development. This corresponds to a study in which mice fed HFD starting at 6-7 weeks of age were shown to have an elevated proportion of bone marrow lymphocytes and larger thymuses after 3-6 months of HFD¹⁷¹. The data illustrate the profound vulnerability of fetal liver HSPCs that matches prior reports of accumulation of toxic lipid metabolites and changes in hepatic innervation all occurring secondary to the initiation of maternal HFD^{14,125}. Our findings suggest that HFD metabolic injury may developmentally program immune dysfunction via a direct influence on HSPC differentiation toward lymphopoiesis. Recent studies demonstrate that (adult) HSPCs are susceptible

to changes in fatty acid oxidization via PPAR δ by altering the divisional symmetry of self-renewal¹⁰⁸. Here, we found that both chronic HFD and DR groups had significantly diminished KSL populations. Along with the gain in differentiated progeny and lack of apoptosis, this strongly suggests a lack of canonical symmetric self-renewing divisions, and the resulting deficits during the critical phase of net expansion in this population.

Moreover, HSPC function, tested by transplantation, was diminished in short-term repopulation capacity for chronic HFD fetal liver, with donor chimerism averaging less than half that of the control, although these results did not reach statistical significance. Intriguingly, at 28 weeks post-transplant, chronic HFD donor cell chimerism was slightly higher than controls, suggesting that the stem cell compartment was able to rebound long-term repopulation capacity after experimental transfer from a suboptimal *in utero* environment at 14.5 dpc. This is supported by our observations that DR fetal liver donor cells had a more modest repopulation defect (26% lower repopulation at 4 weeks) that was not significantly different than controls and twice as high as chronic HFD cell engraftment. At 18 weeks post-transplant, mean DR donor engraftment remained lower than controls, but not at levels that achieved statistical significance. Taken together, this suggests that an obese gestational environment and excessive lipid intake may reduce short-term fetal liver hematopoietic engraftment capacity via HSPC quantity and function, but that switching to a lower fat diet during pregnancy may rescue this defect.

Injury response by adult HSPCs is constrained by DNA damage, and the successive accrual of double-strand breaks during serial repopulation of HSPC correlates with a loss of xenograft repopulating ability^{105,176}. HFD increases oxidative DNA lesions^{179,180}; thus, we hypothesized that increased lipid metabolism would induce a transcriptional DNA damage response in the fetus¹⁸⁷. Among genes critical to DNA repair, our investigation revealed that a base excision repair (BER) enzyme, *Ogg1*, was transcriptionally downregulated in obese conditions but upregulated in acute HFD fetal livers. Double-strand lesions are the most deleterious to cellular integrity, and DNA damage response via NHEJ or homology-directed repair is critical to cellular function. The non-histone DNA-binding protein, *Hmga2*, not only interferes with NHEJ, but is also uniquely induced by HFD in adult animals^{156,183}. Our studies show for the first time that *Hmga2* is also upregulated in the chronic HFD fetal livers. This suggests that the BER pathway and DNA double-strand DNA repair are perturbed by maternal obesity when paired with HFD. Upregulation of *Trp53bp1* in HFD-fed livers but not DR livers indicates that diet was critical to induction of this DNA double-strand break signal mediator¹⁸⁸. The data highlight a need for further investigation into the effect of obesity and HFD on the genomic integrity of fetal liver cells.

Besides its role in NHEJ interference, increased *HMGA2* expression in white adipose tissue has recently been linked to obesity and type 2 diabetes, and this

gene functions in the induction of cellular senescence and adipocyte precursor cell proliferation^{183,189}. It has also been implicated in the self-renewal of neural stem cells and in fetal HSCs^{154,155}. Our data indicates *Hmga2* upregulation may participate in the obesity- and HFD-driven hematopoietic defects in the fetal liver.

Current data indicate that nearly half of women of childbearing age in the U.S. are either overweight or obese¹⁹⁰, demonstrating that there is a rapidly emerging need to evaluate the impact of maternal overnutrition and obesity on health and disease risk in offspring¹⁶⁶. Mounting experimental evidence now indicates that maternal metabolic status (e.g. obesity, diabetes) and an obesogenic diet and are important determinants of vulnerability for a number of childhood diseases involving components of the hematopoietic system, including atopy¹⁹¹⁻¹⁹³, autoimmune diabetes^{18,194}, and the inflammatory complications of early-onset obesity¹⁷. This broad spectrum of childhood disease linked to maternal health supports the concept that fetal HSPCs are a target for developmental programming of disease. In light of recent evidence that maternal obesity aggravates late cardiovascular risk for premature death in offspring, we would predict that the constraints placed on developing HSPCs by HFD and maternal obesity may not manifest until later life¹⁶⁴. Our data show for the first time that maternal diet and metabolic status adversely program hematopoietic stem cells during development, suggesting that long-term disease susceptibility in postnatal life has its origins during fetal HSPC expansion.

Materials and Methods

Mice

Animals were handled in accordance with OHSU IACUC. For HFD studies, C57BL/6 (CD45.2) female mice were fed a 60% kcal% fat diet (D12492, Research Diets, New Brunswick, NJ) or a 13.5% kcal% fat diet (Laboratory Rodent Diet 5001, Lab Diet, St. Louis, MO) *ad libitum*. Chronic HFD female mice were fed the diet starting at 5 weeks of age, and were sacrificed for fetal harvests at 33-37 weeks of age. Acute mice were fed HFD for 2 weeks starting at 9-11 weeks of age; both groups were kept on diet through breeder pairing and pregnancy. For diet reversal experiments, mice from the chronic HFD cohort were switched to the control diet at 42 weeks of age, bred, kept on control diet through gestation, and sacrificed at 45-49 weeks of age for fetal harvests. Postnatal day 1 mice were harvested from dams fed HFD for approximately 25 weeks and which had 2 prior litters. Male breeders were only fed HFD when paired with females for breeding. Pregnancies were timed using the vaginal plug method; fetal mice were harvested at day 14.5 of gestation and livers were dissected and prepared in single cell suspensions by pipetting. Placental and fetal masses were collected as wet weights.

Cell Culture

Unfractionated fetal liver cells were plated in mouse methylcellulose complete media (R&D Systems, Minneapolis, MN) at 20,000 cells per mL and performed according to manufacturer's instructions.

Flow Cytometry

Cells were prepared from fetal liver and adult bone marrow. The following antibodies were used for analysis: CD3, CD4, CD5, B220, Gr-1, Ter119, c-Kit/CD117 CD45.2 (BD, Franklin Lakes, NJ), CD45.1, Sca-1, AA4.1/CD93 (eBioscience, San Diego, CA). Staining reagents were also used for analysis: LIVE/DEAD Fixable Dead Cell Stain (Invitrogen, Carlsbad, CA), propidium iodide (Sigma-Aldrich, St. Louis, MO), Annexin V, and carboxy-H₂DCFDA (Molecular Probes, Eugene, OR). Cells were analyzed on a BD LSR II and a BD FACS Calibur.

Molecular

For qRT-PCR, RNA was extracted with a Qiagen RNeasy Mini Kit (Valencia, CA), cDNA synthesis was performed with TaqMan Reverse Transcription reagents (Invitrogen), and reactions were run on ABI 7300 (software v1.4) (Invitrogen). The primer sequences employed in qRT-PCR were: ATM (Mm01177457_m1), Ercc1 (Mm00468337_m1), Trp53bp1 (Mm01271860_m1), Xrcc5 (Mm00550142_m1), Ogg1¹⁸⁷, and Hmga2¹⁴⁰. Two-sided Student's t-test was performed on the Δ Ct of each gene.

Competitive Transplantation

Recipient and rescue cell donor mice were fed a standard chow diet (Laboratory Rodent Diet 5001, Lab Diet, St. Louis, MO), *ad libitum*. Recipient mice (CD45.1

or CD45.1 GFP) were irradiated with 750 cGy in a J.L. Shepherd Cesium Irradiator approximately 24 hours prior to transplantation. 1×10^6 unfractionated CD45.2 HFD or control fetal liver cells were mixed with an equal number of unfractionated, hemolyzed mT/mG (Tomato) adult bone marrow cells, and injected via tail vein. Retroorbital blood draws were performed at serial timepoints and blood was hemolyzed and analyzed for chimerism by CD45.1 and CD45.2 immunophenotype as described¹³⁹, as well as GFP and Tomato expression.

Statistical Analysis

Data is presented as mean +/- standard error of the mean and compared using two-tailed, unpaired Student's t-test; a *P* value of 0.05 or less is considered significant.

CHAPTER 5: EFFECT OF HIGH-FAT DIET ON HEMATOPOIESIS IN *FANCC*^{-/-} MICE

Abstract

A high-fat diet has been demonstrated to induce DNA damage, to which Fanconi anemia (FA) hematopoietic stem cells are particularly sensitive, and the accumulation of which has been implicated as a factor leading to HSC loss. DNA damage in FA hematopoietic stem cells eventually leads to hematopoietic failure. We previously demonstrated a fetal origin of hematopoietic failure in Fancc-deficient mice. In this mouse model, postnatal hematopoiesis is not grossly abnormal without induction with agents such as mitomycin-c or lipopolysaccharide (LPS)^{71,144,146}. The high-fat, Western-style diet is thought to increase DNA damage via oxidative stress. We wanted to test the hypothesis that excessive lipid intake worsens the hematopoietic status of the Fancc-deficient murine fetal liver, which we previously demonstrated to be stunted. Here, we observed that a high-fat diet during pregnancy can exacerbate existing hematopoietic deficiencies in the fetal liver and increase DNA damage in cells that form the foundation of the hematopoietic and immune system. Our evidence suggests that a lipid-rich diet increases DNA damage in FA hematopoietic stem and progenitor cells (HSPC) and that the prenatal period presents an opportunity for nutritional therapy in preventing disease development.

Introduction

Fanconi anemia is a multisystem syndrome involving defects in the repair of interstrand crosslinks and double-stranded DNA breaks. Evidence has implicated both aldehydes and reactive oxygen species (ROS) as contributing to DNA

damage accumulation in FA, both of which are involved in lipid peroxidation^{60,67,135}.

ROS are free radicals and molecules containing oxygen. While they can be produced endogenously by processes such as normal oxygen metabolism or by immune effector cells to combat microbes, they can also be generated via ionizing radiation and pollutants^{67,195,196}. ROS can directly oxidize DNA to form adducts such as 8-oxoguanine (8-oxoG) and single-strand breaks, which may be converted to double-strand breaks⁶⁷. In addition, ROS can react with lipids in a chain reaction to form genotoxic products such as malondialdehyde and 4-hydroxynonenal (4-HNE), both of which contain aldehyde groups^{68,197}.

Aldehydes are mutagenic and can react with DNA and proteins. Endogenous sources include lipid peroxidation or metabolic reactions such as ethanol catabolism. Impaired ethanol metabolism in FA mice and patients has been demonstrated to increase the incidence of congenital defects, hematopoietic failure, and leukemia^{59,60,64}. However, a major source of endogenously produced aldehydes, especially in non-drinkers, is from the peroxidation of lipids by ROS, generating yet more ROS as end products^{197,198}.

Despite the well-documented sensitivity of FA cells to both ROS and aldehydes, little is known about the impact of excess lipid consumption in FA, despite the widespread adoption of the high-fat, Western-style diet. Studies in non-FA animal

models have shown evidence of increased oxidative stress and DNA damage with both high-fat diet and obesity. Oocytes from diet-induced obese (DIO) female mice have significantly increased rates of aneuploidy, as well as chromosome alignment and spindle defects¹⁶⁵. Another DIO study found increased mtDNA damage and markers of oxidative stress in liver and muscle tissue¹⁹⁹. DNA damage, as measured by 5-hydroxymethyl-2'-deoxyuridine, a type of oxidative lesion, correlated with increasing fat intake in nucleated blood and mammary gland cells of rats²⁰⁰. Taken together, this suggests that excessive lipids drive genotoxic reactions.

DNA damage and oxidative stress are particularly harmful to HSC, which are the lifelong source of the continually regenerating population of blood cells. Repair of genotoxic damage is essential to their maintenance and survival^{52,76,105,106,144,176,201}. These cells reside in a hypoxic niche within the bone marrow²⁰², which protects them from oxidative stress, to which FA cells are hypersensitive^{69,71,146,203}. As a principal hematopoietic niche during development, the fetal liver is a target of damage under prenatal high-fat diet (HFD)^{125,204}. This lipid-rich diet provides more substrate for lipid peroxidation near hematopoietic cells.

We hypothesized that a maternal HFD would exacerbate the defective hematopoietic phenotype of 14.5 dpc Fancc-deficient fetal livers by increasing DNA damage via lipid peroxidation. Building on our previous work that delineated

the developmental programming of hematopoietic defects in FA (Chapter 2 herein) and with HFD (Chapter 4 herein), we found that a prenatal HFD increased the difference between *Fancc*^{-/-} and WT in liver cellularity and HSPC content of fetal livers. Reactive oxygen species were mildly elevated in HFD-programmed *Fancc*^{-/-} unfractionated fetal liver cells. In 5-week-old HFD-programmed *Fancc*^{-/-} mice that remained on HFD postnatally, there was a downward trend in hematopoietic progenitor frequency. Overall, these results suggest that fetal liver HSPC are more sensitive to HFD than their adult bone marrow counterparts.

Results

*Acute maternal HFD alters the weights and liver cell counts of *Fancc*^{-/-} fetal mice*

To test whether a maternal HFD would exacerbate defects in the fetal liver hematopoietic compartment, we fed *Fancc*^{+/-} females a HFD for 4 weeks and then began breeding them for timed pregnancies. This amount of time on the diet allowed the animals to adjust to the diet without developing obesity. Day 14.5 *Fancc*^{-/-} offspring programmed under a HFD had placentas that were 21 percent lighter than their HFD WT counterparts, but with the current sample size, were not significantly different from control diet-programmed *Fancc*^{-/-} placentas (Fig. 5.1A). HFD *Fancc*^{-/-} fetuses were 18 percent smaller than HFD WT, while those from the control diet cohort were 17 percent smaller than their WT counterparts; however, there were no significant differences between the HFD cohorts and their corresponding controls (Fig. 5.1B). Control diet *Fancc*^{-/-} fetal livers

displayed a downward trend in cellularity compared to WT, but HFD expanded the difference between *Fancc*^{-/-} and WT, producing a significant difference even with a small sample size (Fig. 5.1C). These data suggest that *Fancc*^{-/-} placenta and fetal liver are unable to increase growth under HFD.

Effect of HFD on Fancc^{-/-} fetal liver hematopoietic stem and progenitor cells

Next, we wanted to assess the hematopoietic status of the HFD-programmed *Fancc*^{-/-} fetal liver. There was no significant difference between lymphoid (CD3⁺/B220⁺) and myeloid (Gr-1⁺/Ter119⁺) populations within cohorts, but HFD significantly lowered the lymphoid population in WT livers, compared to their corresponding controls (Fig. 5.2A, B). The ASL population was somewhat reduced in control diet *Fancc*^{-/-} livers, juxtaposed to control diet WT livers, but HFD widened the disparity between *Fancc*^{-/-} and WT, inducing expansion of the WT but not the mutant ASL pool (Fig. 5.2C). A similar trend was observed in the c-Kit⁺ Sca-1⁺ Lin^{low/-} (KSL) HSPC pool (Fig. 5.2D). Likewise, the hematopoietic progenitor frequency in fetal liver from HFD *Fancc*^{-/-} fetuses was 32 percent lower than HFD WT, whereas there was no significant difference in control diet *Fancc*^{-/-} colony formation compared to control WT, or between HFD and control groups at the current sample size (Fig. 5.2E). Together, these data indicate that the hematopoietic compartment is altered by HFD programming.

Slight elevation of ROS in Fancc^{-/-} fetal livers

To test for elevated ROS as a possible consequence of excessive dietary lipids, fetal liver cells were stained with the ROS indicator, carboxy-H₂DCFDA. Results

suggest a slight increase in ROS in *Fancc*^{-/-} cells in both control and HFD cohorts, compared to respective WT cells (Fig. 5.3A, B).

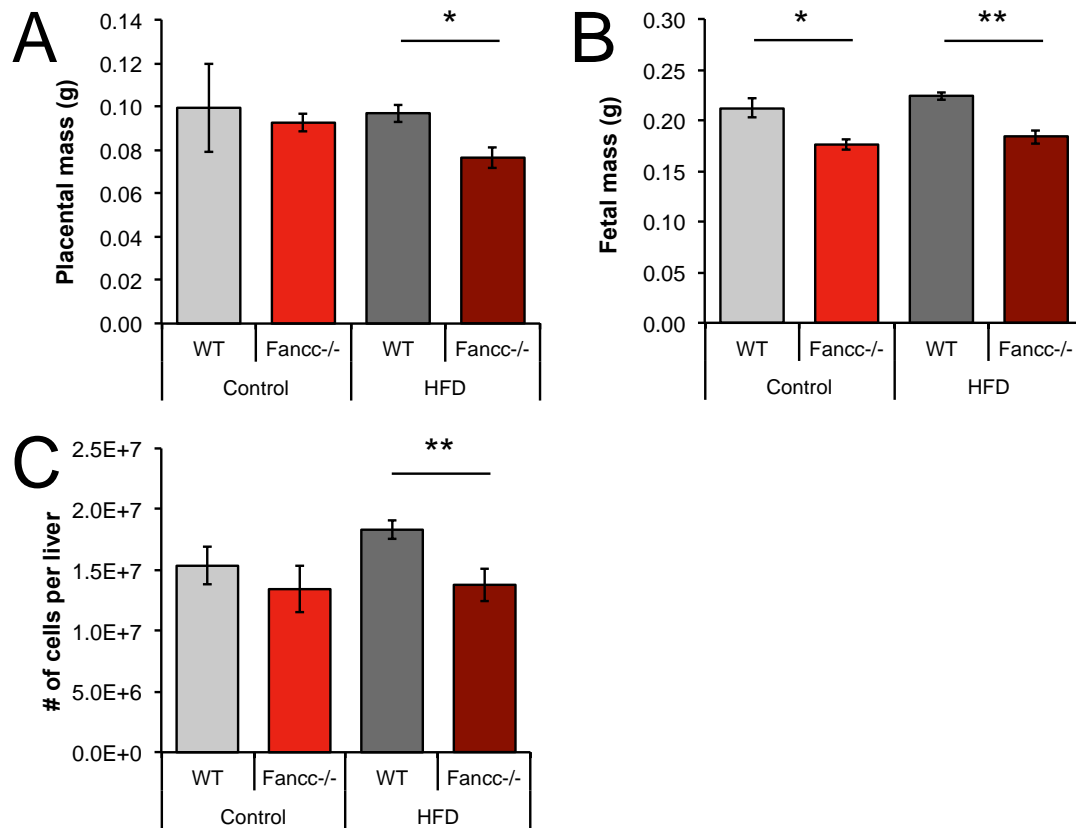


Figure 5.1: Significant decrease in mass and cellularity of fetal tissues for 14.5 dpc HFD *Fancc*^{-/-} mice. (A) Placental mass and (B) fetal mass ($n_{\text{ControlWT}} = 4$, $n_{\text{ControlKO}} = 4$, $n_{\text{HFDWT}} = 9$, $n_{\text{HFDKO}} = 10$). (C) Number of cells per liver ($n_{\text{ControlWT}} = 9$, $n_{\text{ControlKO}} = 9$, $n_{\text{HFDWT}} = 4$, $n_{\text{HFDKO}} = 3$). T-tests were 2-tailed and asterisks indicate $P \leq 0.05$ for *, $P \leq 0.01$ for **, and $P \leq 0.001$ for ***

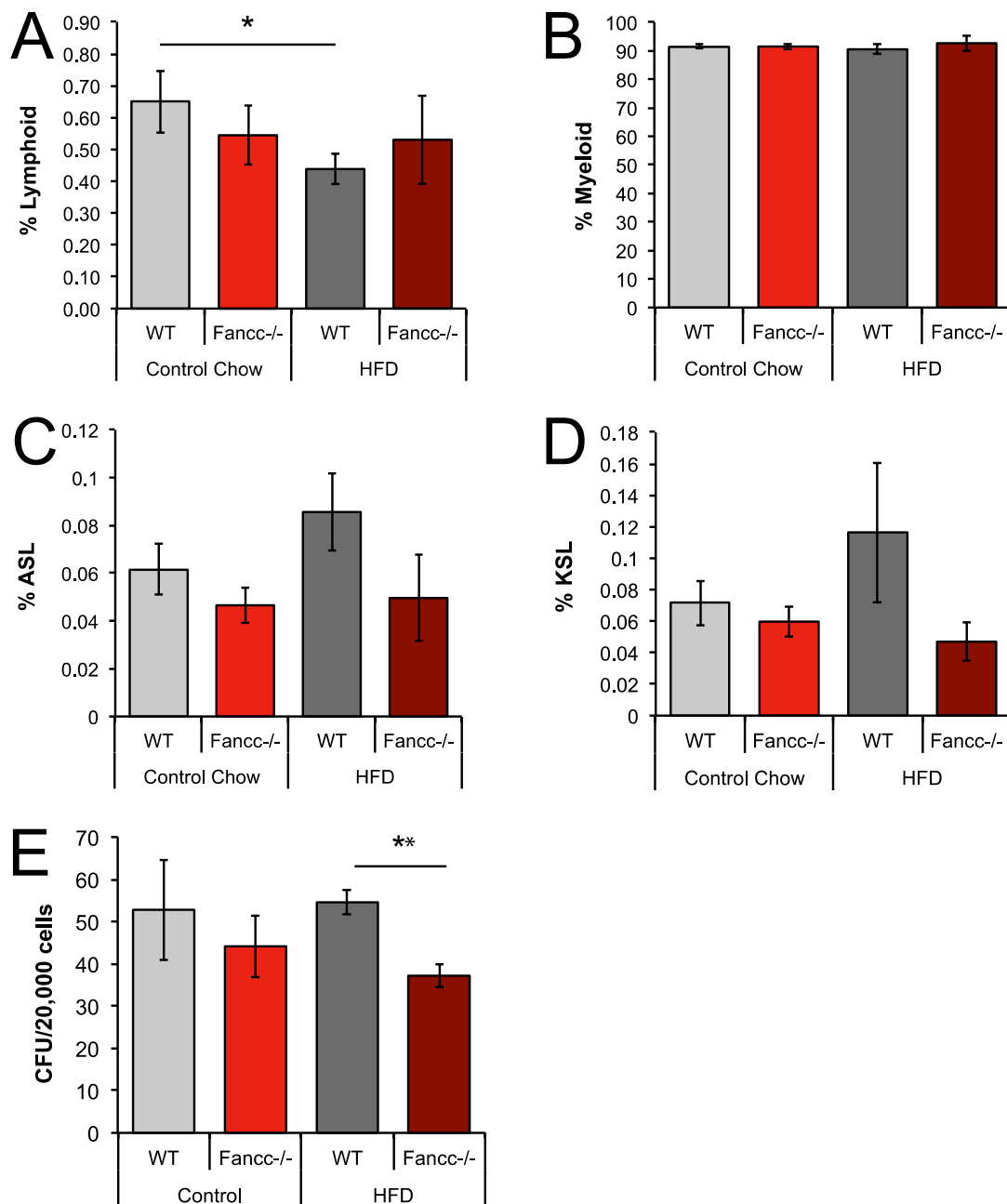


Figure 5.2: Analysis of HSPCs in HFD- and control diet-programmed 14.5 dpc *Fancc*^{-/-} and WT fetal livers. (A) Fetal liver lymphoid, (B) myeloid frequency and (C) ASL, and (D) KSL HSPC-enriched populations ($n_{\text{ControlWT}} = 6$, $n_{\text{ControlKO}} = 7$, $n_{\text{HFDWT}} = 13$, $n_{\text{HFDKO}} = 5$). (E) Hematopoietic progenitor frequency ($n_{\text{Control}} = 5$, $n_{\text{HFD}} = 6$). T-tests were 2-tailed and asterisk indicates $P \leq 0.05$ for *.

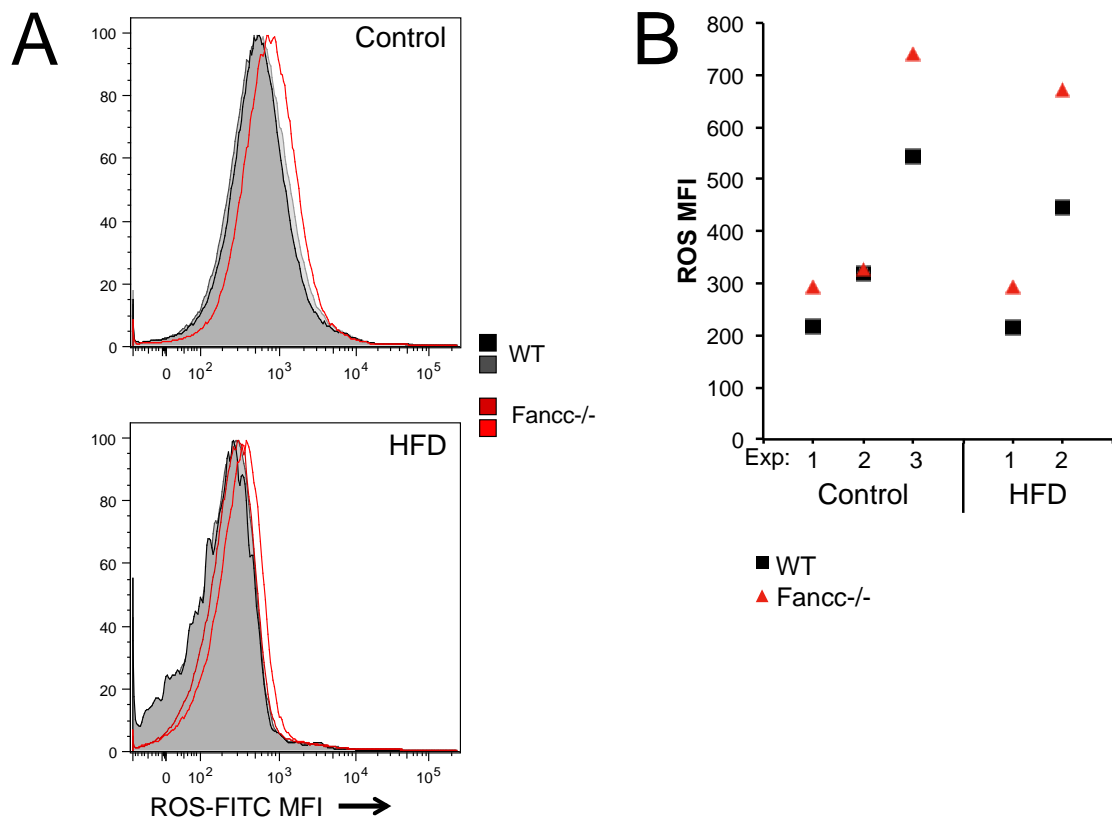


Figure 5.3: ROS in *Fancc*^{-/-} fetal liver cells. (A) ROS median fluorescence intensity (MFI) in unfractionated control diet- and HFD-programmed fetal liver cells. (B) Graph of ROS median fluorescence intensity (MFI) in HFD versus control diet *Fancc*^{-/-} and WT livers. Exp= experiment

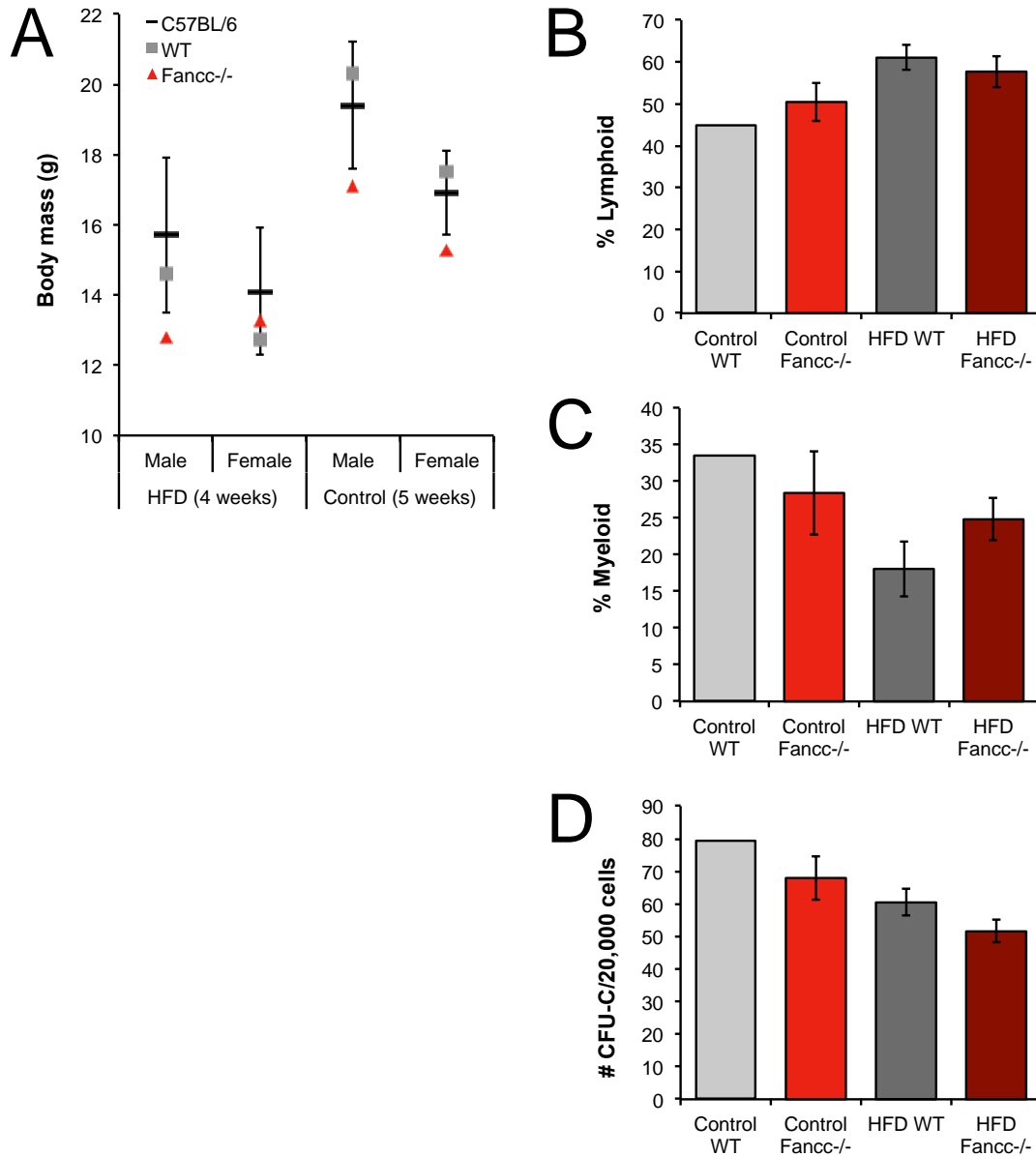


Figure 5.4: Effect of pre- and post-natal HFD on hematopoiesis in *Fancc*^{-/-} 5-week-old mice. *Fancc*^{-/-} and WT mice were prenatally programmed on a HFD ($n_{WT}=3$; $n_{Fancc^{-/-}}=3$) or control diet ($n_{WT}=2$; $n_{Fancc^{-/-}}=3$) and nursed and weaned onto the same diet, then harvested at 5 weeks of age. (A) Mean body masses of HFD and control WT and *Fancc*^{-/-} juvenile mice, compared to standard mean weights of C57BL/6 mice from Jackson Labs²⁰⁵ (error bars reflect one standard deviation from Jackson Labs). (B) Peripheral blood CBC (WBC, white blood cells; RBC, red blood cells; PLT, platelets). (C) Bone marrow immunophenotyping by flow cytometry for lymphoid (CD3⁺ or B220⁺) and (D) myeloid (Gr-1⁺ or Mac-1⁺) subsets. (E) Bone marrow hematopoietic progenitor frequency by methylcellulose culture assay. (F) Flow cytometry analysis for bone marrow KSL HSPC-enriched cells.

Prenatal and postnatal HFD decreases hematopoietic progenitor cell frequency, but not KSL cells in the bone marrow of juvenile $Fancc^{-/-}$ mice

To determine whether fetal programming under HFD would predispose $Fancc^{-/-}$ animals to have a more severe hematopoietic phenotype later in life, we analyzed peripheral blood and bone marrow of 5-week-old $Fancc^{-/-}$ and WT mice that were developmentally programmed under HFD or control chow, nursed under, and weaned onto the same diet. Though the sample size ($n_{\text{HFD}} = 3$ per genotype; $n_{\text{ControlWT}} = 2$, $n_{\text{ControlFancc}} = 3$) to date constrains the calculation of statistical significance, body weights were compared to age-matched standard weights for C57BL/6 mice on a similar diet²⁰⁵. The body weights of male HFD $Fancc^{-/-}$ juvenile mice at 4 weeks of age fell more than 1 standard deviation below the average weight for age-matched C57BL/6 mice²⁰⁵, while WT males and females of both genotypes were within one standard deviation of the mean (Fig. 5.4A). Control mice were weighed at 5 weeks and $Fancc^{-/-}$ mice of both sexes fell below one standard deviation of the standard for C57BL/6. Both the HFD and control litter were comprised of 8 pups and were the first litters born to the respective dams. Although there were no large differences in the proportions of peripheral blood lymphoid ($CD3^{+}/B220^{+}$) cells in WT versus $Fancc^{-/-}$ mice within each dietary cohort, there appeared to be more lymphoid cells in both HFD groups when compared to control diet, though the sample size is currently too small to detect significance (Fig. 5.4B). There was also a trend toward a decreased myeloid ($Gr-1^{+}/Mac-1^{+}$) population in HFD cohorts, compared to respective control diet genotypes (Fig. 5.4C). Colony formation of hematopoietic progenitors

trended downward in HFD *Fancc*^{-/-} bone marrow, compared to HFD WT, and both HFD cohorts appeared to have fewer progenitors than their respective controls (Fig. 5.4D). Together with our findings in HFD-programmed *Fancc*^{-/-} fetal liver, these data suggest the hematopoietic tissue is susceptible to HFD programming.

Discussion

Recent research indicates the fetal liver is susceptible to damage by HFD^{125,177,204}. Building on prior work that identified deficits in the prenatal FA fetal liver HSPC compartment, we predicted that the FA fetal liver would be more susceptible to HFD-induced damage. HFD is thought to increase ROS and lipid peroxidation, which in turn elevate aldehyde and ROS levels. FA cells are sensitive to both of these by-products, which react with nucleic acids and proteins, potentially causing DNA-DNA and DNA-protein crosslinks and DNA strand breakage. The toxicity of aldehydes and ROS have been well-documented in FA, particularly for HSPCs^{59,60,70,71,135}. While further investigation is needed to determine the exact mechanism(s) by which HFD perturbs HSPCs, our data suggests that it negatively impacts the fetal liver and HSPC compartment.

The trends in our data suggest HFD increases growth in WT fetuses, whereas this process is stunted in *Fancc*^{-/-} fetuses. FA patients exhibit short stature, and a study found approximately half to be growth hormone-deficient, which may at least partially account for fetal microsomia^{126,206}. HFD widened the gap between *Fancc*^{-/-} fetuses and WT littermates in most assays, compared to the gap

between control diet *Fancc*^{-/-} and WT, which may have been due to growth restriction in *Fancc*^{-/-}. Interestingly, placental mass and frequency of KSL cells and hematopoietic progenitor cells in the *Fancc*^{-/-} fetal liver somewhat decreased with HFD, relative to their control diet counterparts. However, HFD did not lower *Fancc*^{-/-} ASL frequency compared to control diet. Based on our HFD studies in WT mice (Chapter 4 herein), it is possible that prolonged maternal HFD exposure would induce a more drastic defect in growth and HSPC numbers in the *Fancc*^{-/-} fetal liver. Studies in HFD programming have not shown an increase in a key β -oxidation transcription factor in newborn mouse livers which, unlike non-human primates, do not accumulate triglycerides^{14,177}. Since this is likely the case for the fetal liver, which is primarily an organ for hematopoiesis rather than metabolism, any potential lipid peroxidation is unlikely to be due to accumulation of substrate (e.g. lipids). However, it could be that small molecules such as aldehydes and ROS may pass through the placenta, through the umbilical vein, and directly into the liver to initiate lipid peroxidation. HFD-induced inflammation and hyperinsulinemia in the *Fancc*^{+/-} dam are unlikely, unless loss of the residual functional *Fancc* allele removes this protection^{177,207}. However, mild hyperglycemia is inducible by HFD in female C57BL/6 mice, and glucose transport across the placenta is robust²⁰⁸.

Culture of human endothelial cells in high glucose media increases the expression of several antioxidant enzymes²⁰⁹. In vivo, hyperglycemia, induced by intravenous infusion of glucose, has been reported to induce oxidative stress in

normal, non-diabetic subjects^{209,210}. This raises the possibility that dietary triglycerides are utilized for maternal gluconeogenesis, increasing maternal blood glucose and the amount transported through the placenta, and subsequently inducing oxidative stress in the fetal liver from an overabundance of this compound²¹¹.

Though it is unknown whether lipids, their sugar catabolites, or other compounds or signaling mediate the growth restriction and HSPC defects we have observed in HFD-programmed *Fancc*^{-/-} fetuses, other clues from patients may need to be considered. Metabolism itself may play a role: FA patients are prone to metabolic disorders, and have a higher risk of developing type 2 diabetes, which could be aggravated by a diet heavy in lipids²⁰⁶. They are also susceptible to liver tumors, which has been hypothesized to be due to a defect in neutralizing ROS resulting from lipid peroxidation^{212,213}.

Altogether, this study suggests a role for maternal nutrition in the developmental onset and severity of the FA phenotype *in utero*, as well as the establishment of the FA hematopoietic system prior to birth. Future studies will be instructive in elucidating what nutrients or metabolites may be increased or decreased in transport across the placenta, what mechanisms are responsible for the dietary effect on *Fancc*^{-/-} mice, and in determining whether a high-fat or high-glucose diet induces DNA damage in the fetus.

Materials and Methods

Mice

C57BL/6 *Fancc*^{+/-} nulliparous female mice 6 to 17 weeks of age were fed a 60% kcal% fat diet (D12492, Research Diets, New Brunswick, NJ) or standard rodent chow (Laboratory Rodent Diet 5001, Lab Diet, St. Louis, MO) containing 13.5% kcal% fat, *ad libitum*, for 4 weeks, and were then bred to *Fancc*^{+/-} males for timed pregnancies (vaginal plug method); mice were maintained on HFD or control chow throughout breeding and gestation and were weighed weekly. Fetal mice were harvested at day 14.5 of gestation and were processed as previously described¹⁴⁷. For studies of juvenile mice, dams were kept on the same diet throughout nursing, and pups continued this diet after weaning; peripheral blood and bone marrow were harvested at 5 weeks of age.

Colony forming assays

Whole fetal liver cells were cultured as previously described¹⁴⁷.

Complete blood counts

Peripheral blood cells were analyzed on a HemaVet 950FS (Drew Scientific, Inc.).

Flow cytometry

Cells were stained as previously described¹⁴⁷ and analyzed on a BD FACSCalibur and a BD LSR II.

Statistics

Bar graphs reflect means and error bars are the standard error of the mean. For body mass of juvenile mice, the error bars reflect the standard deviation of the weights for typical C57BL/6 mice (Jackson Labs). Significance was determined by independent, 2-tailed Student's t-test.

CHAPTER 6: FUTURE DIRECTIONS AND CONCLUSIONS

Future Directions

About 25 years of research in DOHaD have uncovered prenatal contributions for some of the most common chronic illnesses, including cardiovascular disease, type 2 diabetes, and obesity. We now demonstrate developmental programming of hematopoietic stem and progenitor cells in multiple mouse models of Fanconi anemia and in wild-type animals under prenatal HFD and maternal obesity, with evidence for the mechanistic involvement of DNA repair.

Genetic Programming of Hematopoietic Development in Fanconi Anemia

The inadvertent discovery of compromised cord blood colony formation from an infant subsequently found to have FA in 1990 first suggested that the HSC pool in this disease could be constrained during development and there may be a prenatal hematopoietic defect⁷³. Nearly a decade later, *Fanca* was demonstrated to be richly expressed in the murine fetal liver at 13.5 dpc, with lower expression in newborn mice⁷⁴. Twenty years after the cord blood study was published, knockdown of FANCA and FANCD2 in human embryonic stem cells was demonstrated to reduce hematopoietic differentiation⁷⁵. Another study in human tissue, using 14-18 week fetal livers, found significant upregulation of p21 (*CDKN1A*), suggesting cell cycle arrest and concurring with our results showing increased p21 expression and a greater proportion of HSPC-enriched cells in G₁⁷⁶. Fetal tissues were also evaluated in *Fancd2*^{-/-} *Aldh2*^{-/-} mice; many of these perished in mid-gestation and *in utero* ethanol exposure caused abnormalities in head and eye development⁶⁰. No studies to date have evaluated the role of the

FA pathway during hematopoietic development in a physiological context. Our research in C57BL/6 *Fancc*^{-/-} and *Fancd2*^{-/-}, as well as 129Sv *Fancc*^{-/-} (mixed background) mice now provides unambiguous *in vivo* evidence for a prenatal onset of hematopoietic dysfunction in FA (Fig. 6.1A) and we present evidence of genetic programming of postnatal hematopoietic failure.

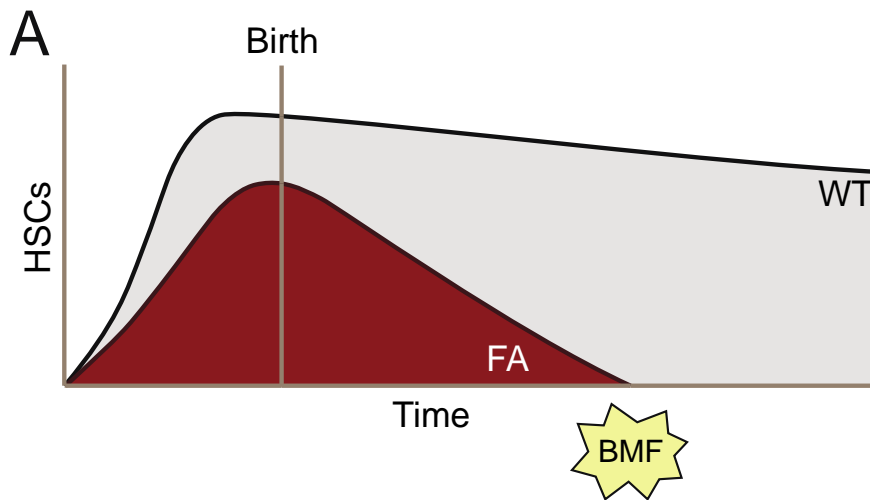


Figure 6.1: Revised model of bone marrow failure in Fanconi anemia. (A) Investigation of the status of FA fetal liver hematopoietic stem cells has revealed prenatal deficits in the blood system, likely predisposing it to undergo bone marrow failure in postnatal life.

The molecular activities of FA genes during embryonic and fetal development have been largely unexamined, although the hematopoietic deficiencies and skeletal, skin, cardiac, renal, reproductive, and gastrointestinal congenital defects that may accompany the disease suggest an important prenatal role for the pathway³⁵. It is currently unknown whether FA proteins function in developmental pathways outside of their coordination of DNA repair, or if they are involved in the repair of endogenous damage in specific embryonic or fetal tissues in which

deformities otherwise arise, perhaps from damage at critical windows of organogenesis or skeletal development. One way of addressing this would be with a conditional knockout model, in which FA genes are disrupted either globally or in certain tissues at specific timepoints in prenatal development. For the hematopoietic lineage in particular, knocking out an FA gene such as *Fancd2* during the emergence of primitive (E7.5) versus definitive HSCs (starting at E8.25), or examining FA mice at earlier timepoints than 14.5 dpc would be helpful in ascertaining when the defect arises, and in what prenatal hematopoietic niche²¹⁴. Data from *in vitro* embryonic stem cell studies suggest it may even arise at the earliest stages⁷⁵.

It may also be informative to assess FA gene loss in the various phases of hematopoietic differentiation, as this has not yet been systematically dissected. Although it is generally assumed that bone marrow failure is a stem cell-intrinsic consequence of FA gene deficiency, this has not been examined by conditionally knocking out FA genes in the long-term HSC, intermediate-term HSC, short-term HSC, common lymphoid progenitor, and common myeloid progenitor. While patients often present with pancytopenia, which has conventionally been assumed to herald a defect in the LT-HSC population, progenitor cells must divide many times to produce a sufficient number of mature blood cells. Interestingly, we observed a significant increase in *Fancc*^{-/-} fetal liver donor lymphoid cells, accompanied by a significant decrease in donor myeloid cells in the bone marrow of secondary recipients (Chapter 2). We also saw a significant

decrease in *Fancd2*^{-/-} bone marrow donor lymphoid cells and an increase in myeloid cells 4 weeks after primary transplantation, and this difference was completely normalized by 16 weeks. These data suggest potential bias in the HSC compartment²¹⁵ or selection of distinct progenitor subsets. Distinct effects on progenitor cells have been demonstrated in a leukemia microenvironment model, in which HSCs retained repopulation capacity while HPCs increased their cycling kinetics and became exhausted, halting the production of functional blood cells²¹⁶. A hierarchical analysis of hematopoietic cells would more specifically identify the defective cell(s) in FA that are the root of hematopoietic failure.

HSCs proliferate relatively rapidly, nearly doubling each day in the fetal liver⁸⁶. The observations underlying this thesis point to the unique capacity of our fetal mouse model for study of hematopoietic stem cell self-renewal and replicative stress under physiological conditions. In contrast, bone marrow transplant models typically involve conditioning, damaging the microenvironment via radiation or chemotherapeutic agents and triggering the bystander effect; this raises levels of ROS and inflammatory cytokines such as tumor necrosis factor- α , to which FA cells are hypersensitive, creating a suboptimal environment for engraftment²¹⁷⁻²²⁰. The latter would be especially true for the study of FA stem cells with known susceptibility to inflammatory cytokines, including tumor necrosis factor- α ^{134,218}. Results from bone marrow transplantation may also be confounded by the existence of potential homing defects in FA HSCs^{128,221}. Furthermore, unlike postnatal hematopoietic cells, fetal liver HSCs reside in an

immune privileged, sterile, and generally protected environment, in which they do not have to mount a response to infection (except for situations such as HIV infection) or bleeding²²². All things considered, the fetal liver may be an ideal system in which to investigate HSC self-renewal, whilst avoiding the introduction of multiple confounding variables to the analysis.

Fetal development is also a time at which the role of FA proteins may be assayed on a molecular level, through protein co-immunoprecipitation (Co-IP), to investigate potential interactions with other proteins, particularly genes that are only expressed prenatally and have thus gone undetected by previous biochemical studies in FA. For example, developmentally timed expression of Lin28b enables it to block Let-7 miRNAs from downregulating *Hmga2* in fetal HSCs (K. Lawson, personal communication) and thus allow robust self-renewal activity; their expression levels are reversed in the transition to an adult phenotype, when cells adopt a primarily quiescent state, illustrating a cell-intrinsic developmental switch¹⁵⁴. Discovery of novel FA protein interactions may bring exclusive developmental functions of the FA pathway to light or provide novel therapeutic targets. Altogether, much has yet to be investigated in the *in utero* functions of FA genes.

Metabolism and Oxidative Stress

Likewise, fetal HSPCs have yet to be studied for metabolism, and differences in prenatal energy demand and principal energy source utilization remain to be

determined; research on the identification of metabolic pathways used by HSCs has been exclusively conducted in adult cells and is still in its early stages. Unlike adult HSCs, which primarily reside in a hypoxic microenvironment within the bone marrow and usually are in a quiescent state, HSCs in the fetal liver divide relatively rapidly and receive a portion of the freshly oxygenated blood directly traveling back to the fetus from the placenta^{86,223-225}. It is unknown whether they rely on anaerobic metabolism via glycolysis, as adult HSC do, or utilize more aerobic oxidative phosphorylation, which generates more ROS; furthermore, whether fetal HSCs must use fatty acid oxidation in asymmetric division, as mature cells do, remains to be discovered^{107,108}. However, the high delivery rate of glucose across the placenta to the fetus²⁰⁸, along with gene expression analysis of fetal liver HSCs showing high transcript levels of the glucose importer Slc2a1, as well as several glycolysis enzymes, including hexokinase 1 and 2 (Hk1, Hk2), and pyruvate dehydrogenase kinase isoenzyme 1 and 3 (Pdk1, Pdk3), as well as hypoxia-inducible factor (HIF)1 α ²²⁶ suggest that glycolysis may be a preferred method of ATP production in these cells. Future studies will need to define energy utilization pathways in fetal liver HSCs in order to determine how a HFD or maternal hyperglycemia may manipulate their metabolism, and whether this increases ROS or aldehyde levels. This may also provide much-needed mechanistic insight into the nutritional effects that have been at the heart of DOHaD research.

Considering the rapid and efficient transport of glucose across the placenta and limited transport of fat²⁰⁸, as well as the conspicuous lack of lipid deposition in the newborn murine liver under HFD programming, future studies must also address whether a high-glucose diet exerts similar effects on fetal HSPCs as HFD, as both cause hyperglycemia¹⁷⁷. It also must be determined whether the expression of glycolysis, lipid metabolism, and antioxidant enzymes are perturbed in fetal HSPCs by maternal diet or obesity, and whether levels of ROS or aldehydes are raised. Unfortunately, markers of oxidative stress from lipid peroxidation, such as 4-hydroxynonenal (4-HNE) and malondialdehyde (MDA), will not clarify whether ROS are being produced as a result of excessive fat or glucose, as surpluses of both nutrients can cause oxidative stress⁶⁸. Glucose-induced oxidative stress may at first seem contradictory, given that anaerobic glycolysis helps to limit ROS formation. However, current evidence suggests hyperglycemia may cause an imbalance between cellular antioxidants and ROS by depleting cellular cofactors used in redox reactions, decreasing ROS-scavenging enzymes, perturbing expression of developmental genes, and reducing expression and activity of glyceraldehyde 3-phosphate dehydrogenase (GAPDH), which functions in glycolysis^{227,228}. GAPDH activity was also reduced by excessive glucose in rat embryos *in vitro*, and this was accompanied by reduced growth and increased malformation, while addition of the antioxidant *N*-acetylcysteine (NAC) ameliorated these effects²²⁹. However, it is unknown whether hyperglycemia is toxic to HSCs, in which glycolysis is used preferentially over oxidative phosphorylation. Overall, testing HFD-programmed fetal liver HSPCs

for ROS and aldehyde-induced damage to the DNA or other cellular structures will be a necessary next step to determine whether more DNA damage is taking place, and characterization of fetal hematopoietic metabolism and modulation of nutrient uptake by the maternal diet will clarify basic effects of HFD on the developing HSPCs.

Potential Involvement of Mitochondria

In addition to studying other organs, we may need to examine other organelles; the nucleus has been the primary site of study for DNA damage, yet mitochondria have their own DNA and are a key site of metabolism and cellular signaling. In fact, mitochondrial DNA (mtDNA) is substantially more vulnerable to damage, as it is closer in proximity to ROS (mostly produced in the electron transport chain) and is unshielded by histone proteins^{230,231}. Mitochondria share several DNA repair proteins with the nucleus, including FANCD1 (BRCA1) and FANCG, and have some of their own repair genes²³²⁻²³⁵. These organelles are the site of ALDH2 activity, which has been intensively studied in recent years for its role in neutralizing genotoxic endogenous aldehydes in FA, but no studies to date have addressed its potential role in protection of mtDNA, despite reports of mitochondrial dysfunction in FA^{234,236-239}. Central to these recent studies is the impairment of ROS scavengers such as superoxide dismutase, which results in a pro-oxidant state in FA cells^{237,239}. Mitochondrial dysfunction has also been documented in HFD and with high-fat, high-sucrose diet-induced diabetes^{240,241}. Energy generation via oxidative phosphorylation and fatty acid oxidation (FAO)

take place within the mitochondrion, however, glycolysis occurs in the cytoplasm, and as a result, long-term HSCs, which primarily use FAO, contain a relatively small number of mitochondria²²³. Conversely, the more differentiated progenitor cells have increased mitochondrial mass and perform more oxidative phosphorylation. Studies to quantify relative mitochondrial mass in fetal HSCs and hematopoietic progenitors, and testing for mtDNA damage will broaden our understanding of FA and HFD pathology as well as fetal HSC metabolism.

The Potential to Ameliorate Effects of Fetal Programming by Antioxidant Therapy

Due to the damage incurred by oxidative stress in both FA and HFD, there has been renewed interest in antioxidant therapy. *N*-acetyl cysteine (NAC), combined with alpha-lipoic acid, was found to genetically stabilize FA patient lymphocytes²⁴². NAC supplementation in *ex vivo* cultures of primary bone marrow from FA patients, combined with hypoxia (which reduces oxidative stress and mimics the oxygen tension of the bone marrow microenvironment), improved their survival, viability, and clonogenicity⁷². In hematopoietic research outside of FA, NAC was demonstrated to ameliorate myeloproliferation and increase lifespan in *Stat3*^{-/-} animals that had a ROS overproduction phenotype¹²³. NAC also ameliorated GAPDH deficiency and developmental defects in rat embryos that were cultured under high glucose²²⁹. A different antioxidant, Tempol, which mimics the effect of superoxide dismutase, lowered DNA damage in FA fibroblasts and delayed cancer onset in *Fancd2*^{-/-} *Trp53*^{+/-} mice²⁴³. Yet another antioxidant tested in FA mice is resveratrol, which partially normalizes the cell

cycle status and quantity of *Fancd2*^{-/-} KSL cells¹³⁷. Quercetin is an antioxidant that has been shown to improve insulin signaling in HFD-fed, insulin-resistant FA mice and prevent damage to the endothelium in HFD-programmed placentas in C57BL/6 mice^{244,245}. Overall, a diverse collection of data support the hypothesis that antioxidants may be used in FA programming to protect HSPCs and their DNA from oxidative damage (Fig. 6.2A).

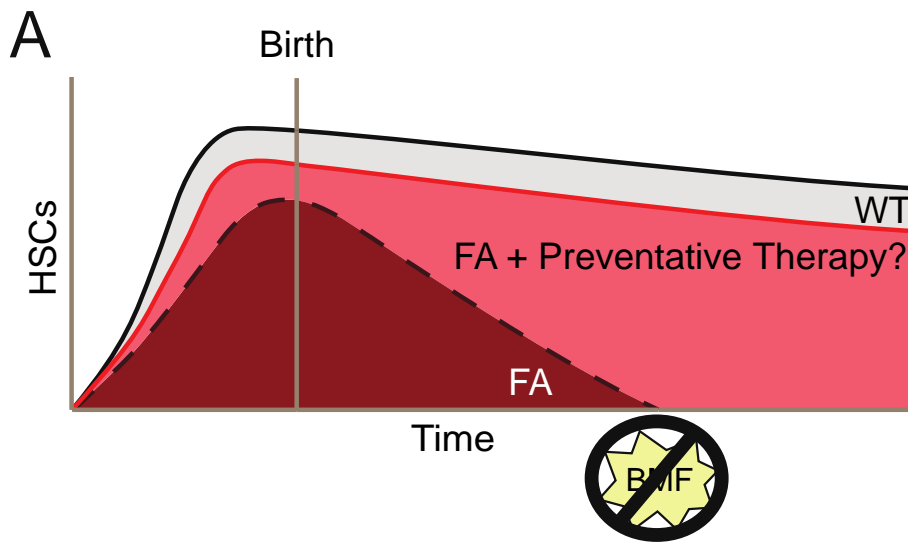


Figure 6.2: Potential for preventative therapy during development. (A) Prenatal and early postnatal life are critical windows of development during which preventative therapy, such as with antioxidant compounds, may be uniquely powerful to boost hematopoietic stem cell numbers and reduce the risk of bone marrow failure.

Epigenetics

DNA damage has been demonstrated to modify the chromatin state, which may epigenetically alter gene expression¹²¹. Epigenetic changes are one of the main mechanisms by which fetal programming is thought to occur, and though this usually occurs by histone modification and DNA methylation, damage-driven chromatin modification may occur in the cases of FA, HFD-programming, or

maternal obesity. We found that *Hmga2*, a chromatin-associated protein involved in stem cell self-renewal, genomic instability, and obesity, was upregulated in both *Fancc*^{-/-} HSPC-enriched cells and chronic HFD whole fetal liver, and we also found evidence of DNA damage in these models (Chapters 3 and 4 herein). Further studies will need to clarify whether *Hmga2* expression and DNA damage coincide, or if they are independent processes.

Potential Indirect Effects on Fetal Liver HSPC

Another effect of HFD and maternal obesity that would be a fascinating avenue for investigation is whether the effects on fetal liver HSPCs are direct or indirect, as effects on other tissues could impact surrounding cells. Both the placenta and the nervous system appear to be targets, and toxicity to the surrounding stromal cells, such as endothelial cells or hepatoblasts, which are in close contact with HSCs, may need to be considered as well^{14,165,245-248}. We and others have documented changes in placental size from HFD (Chapter 4 herein), and inflammation in this tissue has been reported from HFD or maternal obesity²⁴⁶. Furthermore, a prenatal diet high in saturated fat was found to cause lipid peroxidation and vasculopathy in the murine placenta²⁴⁵. Placental dysfunction could affect nutrient and oxygen transport to the liver, impacting HSPC expansion or survival, or given that the placenta is a hematopoietic niche, could have a negative impact on resident HSPCs there which later seed the liver^{249,250}. Additionally, Grant *et al.* reported a reduction in sympathetic innervation of the liver in nonhuman primates that were 1 year old, though it is unknown whether

this defect arose *in utero* or postnatally¹²⁵. Little is known about the crosstalk between the brain and the liver, especially in the fetus, but the brain is involved in metabolic control of the liver and the sympathetic nervous system regulates circadian HSC trafficking^{251,252}. Altogether, much remains to be elucidated in the potential indirect effects of HFD and maternal obesity on fetal liver HSPC, and these may need to be considered in future investigations.

In summary, the molecular pathology of FA, HFD programming, and maternal obesity have been documented to share several characteristics, including involvement of ROS and aldehydes, a propensity for oxidative stress and DNA damage, mitochondrial dysfunction, and promising responses to antioxidants. Though aspects have yet to be examined for potential contributions to the pathology of the FA or HFD-programmed 14.5 dpc fetal mouse, our current findings illustrate some common phenotypic characteristics of the liver between these models. We have found reductions in fetal liver size in FA mice and in chronic HFD fetuses, and smaller HSPC pools in these and diet reversal fetal livers. Impaired short-term repopulation was seen in chronic HFD, diet reversal HSPC, and *Fancc*^{-/-} fetal livers, with significantly diminished serial repopulation in the latter. Transcript analysis has identified upregulated DNA repair genes in HFD and obese-programmed fetal livers, as well as *Fancc*^{-/-} and *Fancd2*^{-/-} HSPC. Finally, transcriptional upregulation of chromatin-organizing protein *Hmga2* occurred in both chronic HFD livers and *Fancc*^{-/-} ASL cells; it may indicate a push for self-renewal in these models or further potentiate genomic instability by

inhibition of NHEJ repair. Future investigations in both FA and HFD-programmed fetal livers will focus on delineating mechanisms that impair HSC self renewal, particularly the nature of DNA damage and the cellular response.

Conclusions

Until now, fetal programming of the hematopoietic stem and progenitor cell compartment has been largely unexplored. The onset of Fanconi anemia-associated bone marrow failure at a median of 7 years of age has long been thought to imply the predominant, or even isolated, postnatal loss of HSCs, but in this dissertation, I have demonstrated in three different FA mouse models that there is a prenatal deficiency in HSPCs, with a repopulating defect at least in *Fancc*^{-/-}, and that this occurs spontaneously and in the absence of an additional gene knockout or chemical induction to reveal hematopoietic deficiencies^{59,60,253}. Evidence of DNA damage response via γ H2AX foci, along with the induction of genes involved in DNA repair in HSPC-enriched populations of the fetal liver, suggest that the early accumulation of genomic damage during HSPC pool expansion marks the onset of hematopoietic failure in FA. Here, the relative deficiency of HSPCs in the fetus may exert additional replicative stress on existing stem cells, as fewer of them are present to form the pool of definitive HSC that form the blood system. This is expected to be exacerbated postnatally in times of stress, such as infection or blood loss^{134,254}. Increased proportions of FA fetal liver HSPCs are in G₁ phase of cell cycle, suggesting cell cycle arrest. Further analysis of the FA HSPC-enriched population revealed a modest

increase in foci formed by γ H2AX, implicating the DNA damage response, and this was supported by gene expression analysis in FA fetal liver HSPC-enriched cells, revealing upregulation of NHEJ and HR genes. My work is the first to assess developmental hematopoiesis both quantitatively and functionally in whole animal models of FA.

In an alternative model, I have examined fetal programming and demonstrated that a prenatal high-fat diet and maternal obesity impact the fetal liver HSPC compartment. While HFD in the absence of obesity, elevates fetal weight and liver cellularity, HFD paired with maternal obesity reduces fetal weight, liver cell counts, and KSL cell content, and induces a deficiency in short-term hematopoietic repopulation capacity. Though diet reversal (obesity without HFD) ameliorates some of these effects and improves short-term engraftment, a reduction in HSPC content in the fetal liver persists. All three conditions increased fetal liver lymphoid cell content, suggesting an early skewing of differentiation. Gene expression analysis of HFD fetal livers and postnatal day 1 HFD livers revealed upregulation of *Trp53bp1*, which encodes a DNA damage binding protein, and a candidate panel of DNA damage checkpoint and repair genes was upregulated in fetal livers as well. Notably, the chromatin regulatory gene *Hmga2* was transcriptionally upregulated by more than two-fold in animals on chronic HFD supplementation, suggesting a potential role for chromatin modification, perturbed self-renewal, or suppression of NHEJ^{154,156,157,255}.

High-fat diet aggravates the existing hematopoietic defects in the *Fancc*^{-/-} fetus. In addition to decreasing *Fancc*^{-/-} placental and fetal weights, and liver cellularity, it also worsens the deficiency of hematopoietic progenitor cells and modestly increases ROS in the *Fancc*^{-/-} fetal liver.

I have investigated the contribution of the developmental origins of disease in the fetal hematopoietic stem and progenitor cell compartment in Fanconi anemia, prenatal high-fat diet, and maternal obesity. I have demonstrated that fetal HSPCs are both quantitatively and functionally deficient in FA, which likely means the system is built with a hematopoietic handicap from the earliest point in life. Understanding the basis of HSPC pool constraints in FA presents a compelling opportunity to explore preventative therapies that mitigate the risk of hematopoietic failure as a chief cause of morbidity and mortality in patients. The effect of HFD on FA HSPCs should also encourage nutritional guidance and intervention for both FA parents and patients, especially since patients are at increased risk for type 2 diabetes. Likewise, the effects of HFD on hematopoietic development, even in the absence of a genetic defect such as FA, opens the DOHaD field to hematopoietic stem cell research, providing the first evidence of nutritional programming in the hematopoietic stem cell compartment. Overall, this thesis demonstrates the vulnerability of fetal liver HSPCs to prenatal nutritional and genomic insults and provides the basis for the studies that will fully elucidate pathways that can be targeted for therapeutic intervention.

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